# **Session II**

#### **HOL = Functional programming + Logic**

## **Proof by Term Rewriting**

### Term rewriting means ...

## Using equations l = r from left to right as long as possible

### Term rewriting means ...

## Using equations l = r from left to right as long as possible

Terminology: equation ~> rewrite rule

Example:

Equation: 0 + n = nTerm: a + (0 + (b + c))

#### Example:

Equation: 0 + n = nTerm: a + (0 + (b + c))

**Result:** a + (b + c)

Example:

Equation: 0 + n = n

**Term:** a + (0 + (b + c))

**Result:** a + (b + c)

Rewrite rules can be conditional:  $\llbracket P_1 \dots P_n \rrbracket \Longrightarrow l = r$ 

#### Example:

Equation: 0 + n = n

**Term:** a + (0 + (b + c))

**Result:** a + (b + c)

Rewrite rules can be conditional:  $\llbracket P_1 \dots P_n \rrbracket \Longrightarrow l = r$  is used

 $\blacktriangleright \text{ like } l = r \text{, but}$ 

 $\blacktriangleright$   $P_1, \ldots, P_n$  must be proved by rewriting first.

#### Goal: 1. $\llbracket P_1; \ldots; P_m \rrbracket \Longrightarrow C$

apply(simp add:  $eq_1 \dots eq_n$ )

Goal: 1.  $\llbracket P_1; \ldots; P_m \rrbracket \Longrightarrow C$ 

apply(simp add:  $eq_1 \dots eq_n$ )

Simplify  $P_1 \ldots P_m$  and C using

Image with attribute simp

Goal: 1.  $\llbracket P_1; \ldots; P_m \rrbracket \Longrightarrow C$ 

apply(simp add:  $eq_1 \dots eq_n$ )

Simplify  $P_1 \ldots P_m$  and C using

- Iemmas with attribute simp
- ► additional lemmas  $eq_1 \dots eq_n$

Goal: 1.  $\llbracket P_1; \ldots; P_m \rrbracket \Longrightarrow C$ 

apply(simp add:  $eq_1 \dots eq_n$ )

Simplify  $P_1 \ldots P_m$  and C using

- Iemmas with attribute simp
- ► additional lemmas  $eq_1 \dots eq_n$
- assumptions  $P_1 \dots P_m$

Goal: 1.  $\llbracket P_1; \ldots; P_m \rrbracket \Longrightarrow C$ 

apply(simp add:  $eq_1 \dots eq_n$ )

Simplify  $P_1 \ldots P_m$  and C using

- Iemmas with attribute simp
- ► additional lemmas  $eq_1 \dots eq_n$
- assumptions  $P_1 \dots P_m$

Variations:

- ► (*simp* ... *del:* ...) removes *simp*-lemmas
- ► add and del are optional

Simplification may not terminate. Isabelle uses *simp*-rules (almost) blindly from left to right. Example: f(x) = g(x), g(x) = f(x)

Simplification may not terminate. Isabelle uses *simp*-rules (almost) blindly from left to right. Example: f(x) = g(x), g(x) = f(x)

$$\llbracket P_1 \dots P_n \rrbracket \Longrightarrow l = r$$

is suitable as a *simp*-rule only if *l* is "bigger" than *r* and each  $P_i$ 

Simplification may not terminate. Isabelle uses *simp*-rules (almost) blindly from left to right. Example: f(x) = g(x), g(x) = f(x)

$$\llbracket P_1 \dots P_n \rrbracket \Longrightarrow l = r$$

is suitable as a *simp*-rule only if *l* is "bigger" than *r* and each  $P_i$ 

$$n < m \implies (n < Suc m) = True$$
  
Suc  $n < m \implies (n < m) = True$ 

Simplification may not terminate. Isabelle uses *simp*-rules (almost) blindly from left to right. Example: f(x) = g(x), g(x) = f(x)

$$\llbracket P_1 \dots P_n \rrbracket \Longrightarrow l = r$$

is suitable as a *simp*-rule only if *l* is "bigger" than *r* and each  $P_i$ 

$$n < m \implies (n < Suc m) = True \quad YES$$
  
Suc  $n < m \implies (n < m) = True \quad NO$ 

Assumptions sometimes cause problems, e.g. nontermination. How to exclude them from *simp*:

apply(simp (no\_asm\_simp) ...)
Simplify only conclusion

apply(simp (no\_asm\_use) ...)
Simplify but do not use assumptions

apply(simp (no\_asm) ...)
Ignore assumptions completely

## Tracing

Set trace mode on/off in Proof General:

Isabelle/Isar  $\rightarrow$  Settings  $\rightarrow$  Trace simplifier

Output in separate buffer:

 $\textbf{Proof-General} \rightarrow \textbf{Buffers} \rightarrow \textbf{Trace}$ 

### auto

- auto acts on all subgoals
- simp acts only on subgoal 1
- auto applies simp and more

## **Demo: simp**

## **Type definitions in Isabelle/HOL**

Keywords:

- typedecl: pure declaration (session 1)
- types: abbreviation
- datatype: recursive datatype

### types

#### types $name = \tau$

#### Introduces an *abbreviation* name for type $\tau$

Examples:

#### types

name = string ('a,'b)foo = "'a list × 'b list"

### types

#### types $name = \tau$

Introduces an *abbreviation* name for type  $\tau$ 

Examples:

#### types

name = string ('a,'b)foo = "'a list × 'b list"

Type abbreviations are expanded after parsing Not present in internal representation and Isabelle output

## datatype

#### datatype 'a list = Nil | Cons 'a "'a list"

## datatype

datatype 'a list = Nil | Cons 'a "'a list"

Properties:

- ► Types: Nil :: 'a list Cons :: 'a ⇒ 'a list ⇒ 'a list
- **Distinctness:**  $Nil \neq Cons x xs$
- Injectivity: (Cons x xs = Cons y ys) = ( $x = y \land xs = ys$ )

Every datatype introduces a *case* construct, e.g.

(case xs of Nil  $\Rightarrow$  ... | Cons y ys  $\Rightarrow$  ... y ... ys ...)

- one case per constructor
- no nested patterns (Cons x (Cons y zs))
- but nested cases

Every datatype introduces a *case* construct, e.g.

(case xs of Nil  $\Rightarrow$  ... | Cons y ys  $\Rightarrow$  ... y ... ys ...)

one case per constructor

- no nested patterns (Cons x (Cons y zs))
- but nested cases

apply(case\_tac xs)  $\Rightarrow$  one subgoal for each constructor  $xs = Nil \Longrightarrow \dots$  $xs = Cons \ a \ list \Longrightarrow \dots$ 

## **Function definition schemas in Isabelle/HOL**

- Non-recursive with constdefs (session 1) No problem
- Primitive-recursive with prime Terminating by construction
- Well-founded recursion with recdef User must (help to) prove termination

#### primrec

consts app :: "'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list" primrec "app Nil ys = ys" "app (Cons x xs) ys = Cons x (app xs ys)"

### primrec

consts app :: "'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list" primrec "app Nil ys = ys" "app (Cons x xs) ys = Cons x (app xs ys)"

► Each recursive call structurally smaller than lhs.

### primrec

consts app :: "a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list" primrec "app Nil ys = ys" "app (Cons x xs) ys = Cons x (app xs ys)"

- ► Each recursive call structurally smaller than lhs.
- Equations used automatically in simplifier

## **Structural induction**

- P xs holds for all lists xs if
  - ► P Nil
  - ► and for arbitrary x and xs, P xs implies P (Cons x xs)

## **Structural induction**

P xs holds for all lists xs if

► P Nil

► and for arbitrary x and xs, P xs implies P (Cons x xs)

Induction theorem list.induct:  $[P \text{ Nil}; \bigwedge a \text{ list. } P \text{ list} \implies P (Cons a \text{ list})]$ 

 $\implies$  P list

## **Structural induction**

P xs holds for all lists xs if

► P Nil

► and for arbitrary x and xs, P xs implies P (Cons x xs)

Induction theorem list.induct:  $[P \text{ Nil}; \bigwedge a \text{ list. } P \text{ list} \implies P (Cons a \text{ list})]$ 

 $\implies$  P list

- General proof method for induction: (induct x)
  - x must be a free variable in the first subgoal.
  - ► The type of *x* must be a datatype.

## **Induction heuristics**

Theorems about recursive functions proved by induction

consts *itrev* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list primrec

itrev [] ys = ys itrev (x#xs) ys = itrev xs (x#ys)

lemma *itrev* xs [] = rev xs
## **Demo: proof attempt**

## Generalisation

## Replace constants by variables lemma *itrev* xs ys = rev xs @ ys

## Generalisation

Replace constants by variables

lemma *itrev* xs ys = rev xs @ ys

Quantify free variables by  $\forall$  (except the induction variable)

lemma  $\forall$  ys. *itrev* xs ys = rev xs @ ys

## **Function definition schemas in Isabelle/HOL**

- Non-recursive with constdefs (session 1) No problem
- Primitive-recursive with prime Terminating by construction
- Well-founded recursion with recdef User must (help to) prove termination

recdef — examples

consts Sep :: "' $a \times a$  list  $\Rightarrow a$  list" recdef Sep "measure ( $\lambda(a, xs)$ . size xs)" "Sep (a, x # y # zs) = x # a # sep (a, y # zs)" "Sep (a, xs) = xs"

recdef — examples

consts sep :: "'a × 'a list 
$$\Rightarrow$$
 'a list"  
recdef sep "measure ( $\lambda$ (a, xs). size xs)"  
"sep (a, x # y # zs) = x # a # sep (a, y # zs)"  
"sep (a, xs) = xs"

consts ack :: "nat  $\times$  nat  $\Rightarrow$  nat" recdef ack "measure ( $\lambda m$ . m) <\*lex\*> measure ( $\lambda n$ . n)" "ack (0, n) = Suc n" "ack (Suc m, 0) = ack (m, 1)" "ack (Suc m, Suc n) = ack (m, ack (Suc m, n))"

## recdef

## ► The definiton:

- one parameter
- free pattern matching, order of rules important
- termination relation
   (*measure* sufficient for most cases)

## recdef

## ► The definiton:

- one parameter
- free pattern matching, order of rules important
- termination relation
   (*measure* sufficient for most cases)

### Termination relation:

- must decrease for each recursive call
- must be well founded

## recdef

## ► The definiton:

- one parameter
- free pattern matching, order of rules important
- termination relation
   (*measure* sufficient for most cases)

## Termination relation:

- must decrease for each recursive call
- must be well founded
- Generates own induction principle.

## **Demo: recdef and induction**

### **Sets**

## **Notation**

Type 'a set: sets over type 'a

► {}, {e<sub>1</sub>,...,e<sub>n</sub>}, {x. P x}
► 
$$e \in A$$
,  $A \subseteq B$ 

$$\blacktriangleright A \cup B, A \cap B, A - B, -A$$

►  $\bigcup_{x \in A} B x$ ,  $\bigcap_{x \in A} B x$ 

► {*i..j*}

▶ ...

- insert :: 'a  $\Rightarrow$  'a set  $\Rightarrow$  'a set
- ►  $f' A \equiv \{y. \exists x \in A. y = fx\}$

## Inductively defined sets: even numbers

Informally:

- ► 0 is even
- ▶ If n is even, so is n+2
- ► These are the only even numbers

## Inductively defined sets: even numbers

Informally:

- ► 0 is even
- ▶ If n is even, so is n+2
- ► These are the only even numbers

In Isabelle/HOL:

 consts Ev :: nat set
 — The set of all even numbers

 inductive Ev
 —

 intros
 —

```
\mathbf{0}\in \mathbf{Ev}
```

 $n \in Ev \Longrightarrow n + 2 \in Ev$ 

To prove

$$n \in Ev \Longrightarrow Pn$$

by *rule induction* on  $n \in Ev$  we must prove

To prove

$$n \in Ev \Longrightarrow Pn$$

by *rule induction* on  $n \in Ev$  we must prove

► P 0

To prove

$$n \in Ev \Longrightarrow Pn$$

by *rule induction* on  $n \in Ev$  we must prove

- ► P 0
- $\blacktriangleright P n \Longrightarrow P(n+2)$

### To prove

$$n \in Ev \Longrightarrow Pn$$

by *rule induction* on  $n \in Ev$  we must prove

- ► P 0
- $\blacktriangleright P n \Longrightarrow P(n+2)$

Rule Ev. induct:

 $\left[\!\left[ n \in Ev; P \; 0; \; \bigwedge n. \; P \; n \Longrightarrow P(n+2) \right]\!\right] \Longrightarrow P \; n$ 

### To prove

$$n \in Ev \Longrightarrow Pn$$

by *rule induction* on  $n \in Ev$  we must prove

- ► P 0
- $\blacktriangleright P n \Longrightarrow P(n+2)$

Rule Ev. induct:

 $\left[\!\left[ n \in Ev; P \; 0; \; \bigwedge n. \; P \; n \Longrightarrow P(n+2) \right]\!\right] \Longrightarrow P \; n$ 

An elimination rule

## **Demo: inductively defined sets**

## Isar

## A Language for Structured Proofs

► unreadable

- unreadable
- hard to maintain

- unreadable
- ► hard to maintain
- do not scale

- unreadable
- ► hard to maintain
- do not scale

No structure!

## A typical Isar proof

#### proof

```
assume formula_0
have formula_1 by simp
:
have formula_n by blast
show formula_{n+1} by ....
qed
```

## A typical Isar proof

#### proof

```
assume formula_0
have formula_1 by simp
:
have formula_n by blast
show formula_{n+1} by ....
qed
```

proves  $formula_0 \Longrightarrow formula_{n+1}$ 

# proof = proof [method] statement\* qed | by method

# proof = proof [method] statement\* qed | by method

method = (*simp* ...) | (*blast* ...) | (*rule* ...) | ...

## proof = proof [method] statement\* qed | by method

method = (simp ...) | (blast ...) | (rule ...) | ...

statement = fix variables (∧)
| assume proposition (⇒)
| [from name<sup>+</sup>] (have | show) proposition proof
| next (separates subgoals)

## 

method = (simp ...) | (blast ...) | (rule ...) | ...

statement = fix variables $(\land)$ | assume proposition $(\Longrightarrow)$ | [from name+] (have | show) proposition proof| next(separates subgoals)

## **Demo: propositional logic**

## **Elimination rules / forward reasoning**

Elim rules are triggered by facts fed into a proof: from a have formula proof

## **Elimination rules / forward reasoning**

- Elim rules are triggered by facts fed into a proof: from a have formula proof
- ▶ from  $\vec{a}$  have formula proof (rule rule)

 $\vec{a}$  must prove the first *n* premises of *rule* in the right order the others are left as new subgoals

## **Elimination rules / forward reasoning**

- Elim rules are triggered by facts fed into a proof: from a have formula proof
- ▶ from  $\vec{a}$  have formula proof (rule rule)

 $\vec{a}$  must prove the first *n* premises of *rule* in the right order the others are left as new subgoals

- proof alone abbreviates proof rule
- rule: tries elim rules first (if there are incoming facts a?)

## **Practical Session II**

Theorem proving and sanity; Oh, my! What a delicate balance.

**—Victor Carreno**