



System Modelling and Design

Modelling a Queue Towards Implementation

Revision: March 28 2011

Ken Robinson

School of Computer Science & Engineering
The University of New South Wales, Sydney Australia

March 28, 2011

©Ken Robinson 2005-2010

`mailto::k.robinson@unsw.edu.au`



A Queue Development

This model will involve data refinement towards what could be called a *pointer implementation*.

We will specify a simple *Queue* machine that models a queue manager. A *queue*, of course, is a *first in first out* structure.

The items in the queue are represented by the set *ITEM* and it should be noted that we allow the same item to appear more than once in the queue. We are never concerned about the identity of the items, we are only concerned with the queue tokens that are taken from the set *QUEUE*. The queue tokens are unique.



Queue Events

The machine has the following events:

Enqueue(item) an event that places an item on the end of the queue. The event creates a unique queue identifier for this item. A unique item identifier is also generated for the item that is queued. A queue can contain multiple instances of the same item value.

Dequeue an event that removes the item that is at the head of the queue.

Unqueue(qid) removes the item from the queue identified by the queue identifier, *qid*. This is not a strict queue event; it is used to remove an item outside the queue discipline.



Queue Events

The machine has the following events:

Enqueue(item) an event that places an item on the end of the queue.

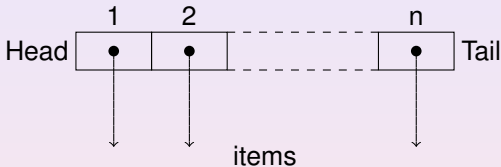
The event creates a unique queue identifier for this item. A unique item identifier is also generated for the item that is queued. A queue can contain multiple instances of the same item value.

Dequeue an event that removes the item that is at the head of the queue.

Unqueue(qid) removes the item from the queue identified by the queue identifier, *qid*. This is not a strict queue event; it is used to remove an item outside the queue discipline.

Modelling of queue

The queue is first modelled by a sequence with the head of the queue being the first element of the sequence; the end of the queue is the last element of the sequence.

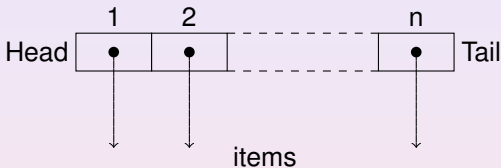


Because a sequence is a monolithic structure the coherence of the queue structure is trivially guaranteed.

The Unqueue event requires unique identification of items in the queue. Since the position of an item in the queue changes as the queue changes, the initial position of an item in the queue cannot be used to uniquely identify the item. For that reason the elements of the queue will be unique identifiers, *queuetokens*. A function, *queueitem*, maps from *queuetokens* to the actual items.

Modelling of queue

The queue is first modelled by a sequence with the head of the queue being the first element of the sequence; the end of the queue is the last element of the sequence.



Because a sequence is a monolithic structure the coherence of the queue structure is trivially guaranteed.

The Unqueue event requires unique identification of items in the queue. Since the position of an item in the queue changes as the queue changes, the initial position of an item in the queue cannot be used to uniquely identify the item. For that reason the elements of the queue will be unique identifiers, *queuetokens*. A function, *queueitem*, maps from *queuetokens* to the actual items.

Context Machines

Since EventB does not have a sequence type we need to define our own sequence type and accompanying functions for managing queues represented as sequences.

QueueContext contains *QUEUE*, which models the set of all injective queues of *TOKEN*.

QueueContext also contains the carrier set *ITEM*, to represent the items that are contained in a queue.

Outline



The QueueA machine I

The first model we build uses rather ad-hoc queue operations.

MACHINE QueueA

SEES QueueContext

VARIABLES

queuetokens

tokens for currently queued items

queue

the queue of tokens

queueitems

a function that binds the item associated with a token

qsize

current size of queue

The QueueA machine II

INVARIANTS

inv1: $queuetokens \subseteq TOKEN$

inv2: $queue \in QUEUE$

inv3: $qsize \in \mathbb{N}$

inv4: $queue \in 1 .. qsize \rightsquigarrow queuetokens$

inv5: $\forall i, j. i \in dom(queue) \wedge j \in dom(queue) \wedge i \neq j$
 \Rightarrow
 $queue(i) \neq queue(j)$

inv6: $queuetokens = ran(queue)$

inv7: $queueitems \in queuetokens \rightarrow ITEM$

inv8: $card(queue) = qsize$

inv9: $queue^{-1} \in queuetokens \rightsquigarrow 1 .. qsize$

thm1: $queuetokens \neq \emptyset \Leftrightarrow qsize \neq 0$

The QueueA machine III

EVENTS

Initialisation

begin

act1: *queuetokens* := \emptyset

act2: *queue* := \emptyset

act3: *qsize* := 0

act4: *queueitems* := \emptyset

end

The QueueA machine IV

Event *Enqueue* $\hat{=}$

any

item

qid

when

grd1: $item \in ITEM$

grd2: $qid \in TOKEN \setminus queuetokens$

then

act1: $queuetokens := queuetokens \cup \{qid\}$

act2: $queue(qsize + 1) := qid$

act3: $queueitems(qid) := item$

act4: $qsize := qsize + 1$

end

The QueueA machine V

Event *Dequeue* $\hat{=}$

when

grd1: $qsize \neq 0$

then

act1:

$queue : |queue' \in QUEUE$

$\wedge queue' \in 1 .. qsize - 1 \mapsto queuetokens \setminus \{queue(1)\}$

$\wedge (\forall i \cdot i \in 1 .. qsize - 1 \Rightarrow queue'(i) = queue(i + 1))$

act2: $queueitems := \{queue(1)\} \triangleleft queueitems$

act3: $queuetokens := queuetokens \setminus \{queue(1)\}$

act4: $qsize := qsize - 1$

end

The QueueA machine VI

Event *Unqueue* $\hat{=}$

any

qid

when

grd1: $qid \in \text{queuetokens}$

grd2: $qsize \neq 0$

The QueueA machine VII

then

act1:

$$\begin{aligned}
 & \text{queue} : | \text{queue}' \in 1 .. (\text{qsize} - 1) \rightsquigarrow \text{queuetokens} \setminus \{\text{qid}\} \\
 & \wedge (\text{qsize} = 1 \Rightarrow \text{queue}' = \emptyset) \\
 & \wedge (\text{qsize} > 1 \Rightarrow \\
 & (\forall i \cdot i \in 1 .. \text{queue}^{-1}(\text{qid}) - 1 \Rightarrow \text{queue}'(i) = \text{queue}(i)) \\
 & \wedge \\
 & (\forall j \cdot j \in \text{queue}^{-1}(\text{qid}) + 1 .. \text{qsize} \\
 & \Rightarrow \text{queue}'(j - 1) = \text{queue}(j)))
 \end{aligned}$$

act2: $\text{queueitems} := \{\text{qid}\} \triangleleft \text{queueitems}$

act3: $\text{queuetokens} := \text{queuetokens} \setminus \{\text{qid}\}$

act4: $\text{qsize} := \text{qsize} - 1$

end

END

Next we will define a QueueType with queue operations.

CONTEXT QueueType

EXTENDS QueueContext

CONSTANTS

ENQUEUE

DEQUEUE

DELETE

AXIOMS

axm1: ENQUEUE \in QUEUE \times TOKEN \mapsto QUEUE

axm2: $\forall q, t. q \in \text{QUEUE} \wedge t \notin \text{ran}(q)$

\Rightarrow

$q \mapsto t \in \text{dom}(\text{ENQUEUE})$

axm3: $\forall q, t. q \in \text{QUEUE} \wedge t \notin \text{ran}(q)$

\Rightarrow

$\text{ENQUEUE}(q \mapsto t) = q \triangleleft \{\text{card}(q) + 1 \mapsto t\}$



- axm4:* $\forall q, t \cdot q \in \text{QUEUE} \wedge t \in \text{TOKEN} \wedge t \notin \text{ran}(q)$
 $\Rightarrow \text{card}(\text{ENQUEUE}(q \mapsto t)) = \text{card}(q) + 1$
- axm5:* $\forall q, t \cdot q \in \text{QUEUE} \wedge t \in \text{TOKEN} \wedge t \notin \text{ran}(q)$
 $\Rightarrow \text{dom}(\text{ENQUEUE}(q \mapsto t)) = 1 .. \text{card}(q) + 1$
- axm6:* $\forall q, t, i \cdot q \in \text{QUEUE} \wedge t \notin \text{ran}(q) \Rightarrow$
 $(i \in \text{dom}(q) \Rightarrow \text{ENQUEUE}(q \mapsto t)(i) = q(i))$
 \wedge
 $(i = \text{card}(q) + 1 \Rightarrow \text{ENQUEUE}(q \mapsto t)(i) = t)$
- axm7:* $\text{DEQUEUE} \in \text{QUEUE} \rightarrow \text{QUEUE}$
- axm8:* $\text{dom}(\text{DEQUEUE}) = \text{QUEUE} \setminus \{\emptyset\}$
- axm9:* $\forall q \cdot q \in \text{QUEUE} \wedge q \neq \emptyset$
 \Rightarrow
 $\text{DEQUEUE}(q) \in 1 .. \text{card}(q) - 1 \mapsto \text{ran}(q) \setminus \{q(1)\}$
- axm10:* $\forall q \cdot q \in \text{dom}(\text{DEQUEUE})$
 \Rightarrow
 $\text{card}(\text{DEQUEUE}(q)) = \text{card}(q) - 1$

axm11: $\forall q \cdot q \in \text{dom}(\text{DEQUEUE})$

\Rightarrow

$\text{dom}(\text{DEQUEUE}(q)) = 1 .. \text{card}(q) - 1$

axm12:

$\forall q, i \cdot q \in \text{dom}(\text{DEQUEUE}) \wedge i \in \text{dom}(\text{DEQUEUE}(q))$

\Rightarrow

$\text{DEQUEUE}(q)(i) = q(i + 1)$

axm13: $\text{DELETE} \in \text{QUEUE} \times \mathbb{N}_1 \mapsto \text{QUEUE}$

axm14: $\forall q, i \cdot q \in \text{QUEUE} \wedge i \in \text{dom}(q)$

\Leftrightarrow

$q \mapsto i \in \text{dom}(\text{DELETE})$

axm15: $\forall q, i \cdot q \mapsto i \in \text{dom}(\text{DELETE})$

\Rightarrow

$\text{card}(\text{DELETE}(q \mapsto i)) = \text{card}(q) - 1$

axm16: $\forall q, i \cdot q \mapsto i \in \text{dom}(\text{DELETE})$

\Rightarrow

$\text{dom}(\text{DELETE}(q \mapsto i)) = 1 .. \text{card}(q) - 1$

axm17:

$$\forall q, i, j. q \mapsto i \in \text{dom}(\text{DELETE})$$

$$\Rightarrow$$

$$(j < i \wedge j \in \text{dom}(q) \Rightarrow \text{DELETE}(q \mapsto i)(j) = q(j))$$

$$\wedge$$

$$(j \geq i \wedge j + 1 \in \text{dom}(q) \Rightarrow \text{DELETE}(q \mapsto i)(j) = q(j + 1))$$

END



The QueueB machine I

Then refine QueueA to QueueB using QueueType

MACHINE QueueB

REFINES QueueA

SEES QueueType

VARIABLES

queuetokens tokens for currently queued items

queue the queue of tokens

queueitems a function for fetching the item associated with a
 token

qsize current size of queue

The QueueB machine II

INVARIANTS

inv1: $queuetokens \subseteq TOKEN$

inv2: $queue \in QUEUE$

inv3: $qsize = card(queue)$

inv4: $queue \in 1 .. qsize \mapsto queuetokens$

inv5: $\forall i, j. i \in dom(queue) \wedge j \in dom(queue) \wedge i \neq j$
 \Rightarrow
 $queue(i) \neq queue(j)$

inv6: $queuetokens = ran(queue)$

inv7: $queueitems \in queuetokens \rightarrow ITEM$

inv8: $queue^{-1} \in queuetokens \mapsto 1 .. qsize$

inv9:

$(\forall qid. qid \in TOKEN \setminus queuetokens$

\Rightarrow

$ENQUEUE(queue \mapsto qid) = queue \leftarrow \{qsize + 1 \mapsto qid\}$)

The QueueB machine III

inv10: $\forall qid \cdot qid \in \text{queuetokens}$

\Rightarrow

$\text{queue} \mapsto \text{queue}^{-1}(qid) \in \text{dom}(\text{DELETE})$

inv11:

$\text{qsize} \neq 1$

\Rightarrow

$(\forall qid, i \cdot qid \in \text{queuetokens} \wedge i \in 1 .. (\text{queue}^{-1}(qid) - 1)$

\Rightarrow

$(\text{DELETE}(\text{queue} \mapsto \text{queue}^{-1}(qid)))(i) = \text{queue}(i)$

inv12:

$\text{qsize} \neq 1$

\Rightarrow

$(\forall qid, i \cdot qid \in \text{queuetokens} \wedge i \in \text{queue}^{-1}(qid) + 1 .. \text{qsize}$

\Rightarrow

$(\text{DELETE}(\text{queue} \mapsto \text{queue}^{-1}(qid)))(i - 1) = \text{queue}(i)$



The QueueB machine IV

inv13: $\forall qid \cdot qid \in \text{queuetokens}$
 \Rightarrow
 $\text{queue}^{-1}(qid) \leq \text{qsize}$

The QueueB machine VI

Event *Enqueue* $\hat{=}$

refines *Enqueue*

any

item

qid

when

grd1: $item \in ITEM$

grd2: $qid \in TOKEN \setminus queuetokens$

then

act1: $queuetokens := queuetokens \cup \{qid\}$

act2: $queue := ENQUEUE(queue \mapsto qid)$

act3: $queueitems(qid) := item$

act4: $qsize := qsize + 1$

end

The QueueB machine VIII

Event *Unqueue* $\hat{=}$

refines *Unqueue*

any

qid

when

grd1: $qid \in \text{queuetokens}$

then

act1: $\text{queue} := \text{DELETE}(\text{queue} \mapsto \text{queue}^{-1}(qid))$

act2: $\text{queueitems} := \{qid\} \triangleleft \text{queueitems}$

act3: $\text{queuetokens} := \text{queuetokens} \setminus \{qid\}$

act4: $qsize := qsize - 1$

end

END

Refining the Queue machine

The refinement replaces the monolithic sequence model by a list model, in which the discrete elements of the set *queuetokens* are organised as a list using the following variables:

qfirst the first element of the list;

qlast the last element of the list;

qnext a function that links an element of the list to the next element in the list —relevant only to lists with more than one item;

qsize the size of the list.

picture required

Refining the Queue machine

The refinement replaces the monolithic sequence model by a list model, in which the discrete elements of the set *queuetokens* are organised as a list using the following variables:

- qfirst** the first element of the list;
- qlast** the last element of the list;
- qnext** a function that links an element of the list to the next element in the list —relevant only to lists with more than one item;
- qsize** the size of the list.

picture required



Additionally, the refinement uses the variable *queueitem* in the same role as in the *Queue* machine. Although this variables has the same name it is a new variable that is related by equivalence to the variable in the refined machine.

A refinement relation relates the list model to the queue model.

Data refinements may not use variables of the refined machine except in invariants. *Complete hiding* is enforced.



Additionally, the refinement uses the variable *queueitem* in the same role as in the *Queue* machine. Although this variables has the same name it is a new variable that is related by equivalence to the variable in the refined machine.

A refinement relation relates the list model to the queue model.

Data refinements may not use variables of the refined machine except in invariants. *Complete hiding* is enforced.



Additionally, the refinement uses the variable *queueitem* in the same role as in the *Queue* machine. Although this variables has the same name it is a new variable that is related by equivalence to the variable in the refined machine.

A refinement relation relates the list model to the queue model.

Data refinements may not use variables of the refined machine except in invariants. *Complete hiding* is enforced.

Relational composition and iteration

Since we are modelling a list structure we will use *relational composition* on the *qnext* function to describes paths along the list, and we will use transitive *closure* of *qnext* to describe reachability.

Suppose we have a list with at least 2 elements, then

$qfirst$	gives the identity of the first item in the list
$qnext(qfirst)$	gives the identity of the second item in the list
$(qnext ; qnext)(qfirst)$	gives the identity of the third item in the list
...	etc

Multiple composition is expressed by *iteration*: $qnext^n$ (provided by the constant function $iterate(qnext \mapsto n)$), is the result of composing $qnext$ with itself n times.

If $r \in X \leftrightarrow X$, then $r^0 = id(X)$ and $r^{n+1} = r^n ; r$.

Relational composition and iteration

Since we are modelling a list structure we will use *relational composition* on the *qnext* function to describes paths along the list, and we will use transitive *closure* of *qnext* to describe reachability.

Suppose we have a list with at least 2 elements, then

$qfirst$	gives the identity of the first item in the list
$qnext(qfirst)$	gives the identity of the second item in the list
$(qnext ; qnext)(qfirst)$	gives the identity of the third item in the list
...	etc

Multiple composition is expressed by *iteration*: $qnext^n$ (provided by the constant function $iterate(qnext \mapsto n)$), is the result of composing $qnext$ with itself n times.

If $r \in X \leftrightarrow X$, then $r^0 = id(X)$ and $r^{n+1} = r^n ; r$.

Relational composition and iteration

Since we are modelling a list structure we will use *relational composition* on the *qnext* function to describes paths along the list, and we will use transitive *closure* of *qnext* to describe reachability.

Suppose we have a list with at least 2 elements, then

<i>qfirst</i>	gives the identity of the first item in the list
<i>qnext</i> (<i>qfirst</i>)	gives the identity of the second item in the list
(<i>qnext</i> ; <i>qnext</i>)(<i>qfirst</i>)	gives the identity of the third item in the list
...	etc

Multiple composition is expressed by *iteration*: $qnext^n$ (provided by the constant function $iterate(qnext \mapsto n)$), is the result of composing *qnext* with itself *n* times.

If $r \in X \leftrightarrow X$, then $r^0 = id(X)$ and $r^{n+1} = r^n ; r$.

Relational composition and iteration

Since we are modelling a list structure we will use *relational composition* on the *qnext* function to describes paths along the list, and we will use transitive *closure* of *qnext* to describe reachability.

Suppose we have a list with at least 2 elements, then

<i>qfirst</i>	gives the identity of the first item in the list
<i>qnext</i> (<i>qfirst</i>)	gives the identity of the second item in the list
<i>(qnext ; qnext)</i> (<i>qfirst</i>)	gives the identity of the third item in the list
...	etc

Multiple composition is expressed by *iteration*: $qnext^n$ (provided by the constant function $iterate(qnext \mapsto n)$), is the result of composing *qnext* with itself *n* times.

If $r \in X \leftrightarrow X$, then $r^0 = id(X)$ and $r^{n+1} = r^n ; r$.

Relational composition and iteration

Since we are modelling a list structure we will use *relational composition* on the *qnext* function to describes paths along the list, and we will use transitive *closure* of *qnext* to describe reachability.

Suppose we have a list with at least 2 elements, then

<i>qfirst</i>	gives the identity of the first item in the list
<i>qnext</i> (<i>qfirst</i>)	gives the identity of the second item in the list
(<i>qnext</i> ; <i>qnext</i>)(<i>qfirst</i>)	gives the identity of the third item in the list
...	etc

Multiple composition is expressed by *iteration*: $qnext^n$ (provided by the constant function $iterate(qnext \mapsto n)$), is the result of composing *qnext* with itself *n* times.

If $r \in X \leftrightarrow X$, then $r^0 = id(X)$ and $r^{n+1} = r^n ; r$.

Relational composition and iteration

Since we are modelling a list structure we will use *relational composition* on the *qnext* function to describes paths along the list, and we will use transitive *closure* of *qnext* to describe reachability.

Suppose we have a list with at least 2 elements, then

<i>qfirst</i>	gives the identity of the first item in the list
<i>qnext</i> (<i>qfirst</i>)	gives the identity of the second item in the list
(<i>qnext</i> ; <i>qnext</i>)(<i>qfirst</i>)	gives the identity of the third item in the list
...	etc

Multiple composition is expressed by *iteration*: $qnext^n$ (provided by the constant function $iterate(qnext \mapsto n)$), is the result of composing *qnext* with itself n times.

If $r \in X \leftrightarrow X$, then $r^0 = id(X)$ and $r^{n+1} = r^n ; r$.

Relational composition and iteration

Since we are modelling a list structure we will use *relational composition* on the *qnext* function to describes paths along the list, and we will use transitive *closure* of *qnext* to describe reachability.

Suppose we have a list with at least 2 elements, then

<i>qfirst</i>	gives the identity of the first item in the list
<i>qnext</i> (<i>qfirst</i>)	gives the identity of the second item in the list
(<i>qnext</i> ; <i>qnext</i>)(<i>qfirst</i>)	gives the identity of the third item in the list
...	etc

Multiple composition is expressed by *iteration*: $qnext^n$ (provided by the constant function $iterate(qnext \mapsto n)$), is the result of composing *qnext* with itself n times.

If $r \in X \leftrightarrow X$, then $r^0 = id(X)$ and $r^{n+1} = r^n ; r$.

Relational composition and iteration

Since we are modelling a list structure we will use *relational composition* on the *qnext* function to describes paths along the list, and we will use transitive *closure* of *qnext* to describe reachability.

Suppose we have a list with at least 2 elements, then

$qfirst$	gives the identity of the first item in the list
$qnext(qfirst)$	gives the identity of the second item in the list
$(qnext ; qnext)(qfirst)$	gives the identity of the third item in the list
...	etc

Multiple composition is expressed by *iteration*: $qnext^n$ (provided by the constant function $iterate(qnext \mapsto n)$), is the result of composing *qnext* with itself n times.

If $r \in X \leftrightarrow X$, then $r^0 = id(X)$ and $r^{n+1} = r^n ; r$.

Closure

Reflexive transitive closure of a relation r , written r^* , is the union of all iterations of r , that is

$$r^* = \bigcup_{n \in \mathbb{N}} (r^n)^\dagger$$

Irreflexive transitive closure of a relation, written r^+ , does not explicitly include r^0 from the union

$$r^+ = \bigcup_{n \in \mathbb{N}_1} (r^n),$$

but it may be present, depending on r . EventB (RODIN) does not supply *closure*; it has to be defined as a constant function.

[†] It should be clear that continuous composition of a relation with itself will eventually reach a stationary relation.

Closure

Reflexive transitive closure of a relation r , written r^* , is the union of all iterations of r , that is

$$r^* = \bigcup n.(n \in \mathbb{N} \mid r^n)^\dagger$$

Irreflexive transitive closure of a relation, written r^+ , does not explicitly include r^0 from the union

$$r^+ = \bigcup n.(n \in \mathbb{N}_1 \mid r^n),$$

but it may be present, depending on r . EventB (RODIN) does not supply *closure*; it has to be defined as a constant function.

[†] It should be clear that continuous composition of a relation with itself will eventually reach a stationary relation.

Relational composition of functions

It should be clear that if f is a function then $f ; f$ is also a function and by extrapolation f^n is a function.

Further, if f is an injective function then f^n is also an injective function.

Thus, $qnext^n$ is an injective function that gives all paths of length n within the list.

$qnext^+$ is a set of injective functions representing all paths, of all lengths from 0 to the length of the list, within the list.

It follows that $qnext^+[\{qfirst\}]$, the image of the first node in the list under $qnext^+$, is the set of all nodes in the list.

Relational composition of functions

It should be clear that if f is a function then $f ; f$ is also a function and by extrapolation f^n is a function.

Further, if f is an injective function then f^n is also an injective function.

Thus, $qnext^n$ is an injective function that gives all paths of length n within the list.

$qnext^+$ is a set of injective functions representing all paths, of all lengths from 0 to the length of the list, within the list.

It follows that $qnext^+[\{qfirst\}]$, the image of the first node in the list under $qnext^+$, is the set of all nodes in the list.

Relational composition of functions

It should be clear that if f is a function then $f ; f$ is also a function and by extrapolation f^n is a function.

Further, if f is an injective function then f^n is also an injective function.

Thus, $qnext^n$ is an injective function that gives all paths of length n within the list.

$qnext^+$ is a set of injective functions representing all paths, of all lengths from 0 to the length of the list, within the list.

It follows that $qnext^+[\{qfirst\}]$, the image of the first node in the list under $qnext^+$, is the set of all nodes in the list.

Relational composition of functions

It should be clear that if f is a function then $f ; f$ is also a function and by extrapolation f^n is a function.

Further, if f is an injective function then f^n is also an injective function.

Thus, $qnext^n$ is an injective function that gives all paths of length n within the list.

$qnext^+$ is a set of injective functions representing all paths, of all lengths from 0 to the length of the list, within the list.

It follows that $qnext^+[\{qfirst\}]$, the image of the first node in the list under $qnext^+$, is the set of all nodes in the list.

Relational composition of functions

It should be clear that if f is a function then $f ; f$ is also a function and by extrapolation f^n is a function.

Further, if f is an injective function then f^n is also an injective function.

Thus, $qnext^n$ is an injective function that gives all paths of length n within the list.

$qnext^+$ is a set of injective functions representing all paths, of all lengths from 0 to the length of the list, within the list.

It follows that $qnext^+[\{qfirst\}]$, the image of the first node in the list under $qnext^+$, is the set of all nodes in the list.

Relational composition of functions

It should be clear that if f is a function then $f ; f$ is also a function and by extrapolation f^n is a function.

Further, if f is an injective function then f^n is also an injective function.

Thus, $qnext^n$ is an injective function that gives all paths of length n within the list.

$qnext^+$ is a set of injective functions representing all paths, of all lengths from 0 to the length of the list, within the list.

It follows that $qnext^+[\{qfirst\}]$, the image of the first node in the list under $qnext^+$, is the set of all nodes in the list.

CONTEXT Iteration

EXTENDS QueueType

CONSTANTS

iterate

iclosure

AXIOMS

axm1:

$$\text{iterate} \in (\text{TOKEN} \leftrightarrow \text{TOKEN}) \times \mathbb{N} \rightarrow (\text{TOKEN} \leftrightarrow \text{TOKEN})$$

axm2:

$$\forall r. r \in \text{TOKEN} \leftrightarrow \text{TOKEN} \Rightarrow \text{iterate}(r \mapsto 0) = \text{dom}(r) \triangleleft \text{id}$$

axm3: $\forall r, n. r \in \text{TOKEN} \leftrightarrow \text{TOKEN} \wedge n \in \mathbb{N}_1$

\Rightarrow

$$\text{iterate}(r \mapsto n) = \text{iterate}(r \mapsto n - 1); r$$

thm1: $\forall s. s \subseteq \mathbb{N} \wedge 0 \in s \wedge (\forall n. n \in s \Rightarrow n + 1 \in s) \Rightarrow \mathbb{N} \subseteq s$



thm2: $\forall r, n \cdot r \in \text{TOKEN} \leftrightarrow \text{TOKEN} \wedge n \in \mathbb{N}_1$
 \Rightarrow
 $\text{dom}(\text{iterate}(r \mapsto n)) \subseteq \text{dom}(r)$

thm3: $\forall r, n \cdot r \in \text{TOKEN} \leftrightarrow \text{TOKEN} \wedge n \in \mathbb{N}_1$
 \Rightarrow
 $\text{ran}(\text{iterate}(r \mapsto n)) \subseteq \text{ran}(r)$



thm4:

$iclosure \in (TOKEN \leftrightarrow TOKEN) \rightarrow (TOKEN \leftrightarrow TOKEN)$

axm5: $\forall r. r \in TOKEN \leftrightarrow TOKEN$

\Rightarrow

$iclosure(r) = (\bigcup n. n \in \mathbb{N}_1 | iterate(r \mapsto n))$

thm5: $\forall r. r \in TOKEN \leftrightarrow TOKEN$

\Rightarrow

$dom(iclosure(r)) \subseteq dom(r)$

END

The invariant of QueueR

The list consists of the elements of *queuetokens* hence

$$qsize = \text{card}(\text{queuetokens})$$

For non-empty lists, *qfirst* and *qlast* are elements of *queuetokens*

$$\text{queuetokens} \neq \emptyset \implies \text{qfirst} \in \text{queuetokens}$$

$$\text{queuetokens} \neq \emptyset \implies \text{qlast} \in \text{queuetokens}$$

The list is linear and connected, hence *qnext* is injective, but it is also surjective and therefore bijective:

$$\text{qnext} \in \text{queuetokens} \setminus \{\text{qlast}\} \mapsto \text{queuetokens} \setminus \{\text{qfirst}\}$$

The invariant of QueueR

The list consists of the elements of *queuetokens* hence

$$qsize = \text{card}(\text{queuetokens})$$

For non-empty lists, *qfirst* and *qlast* are elements of *queuetokens*

$$\text{queuetokens} \neq \emptyset \implies qfirst \in \text{queuetokens}$$

$$\text{queuetokens} \neq \emptyset \implies qlast \in \text{queuetokens}$$

The list is linear and connected, hence *qnext* is injective, but it is also surjective and therefore bijective:

$$qnext \in \text{queuetokens} \setminus \{qlast\} \rightsquigarrow \text{queuetokens} \setminus \{qfirst\}$$

The invariant of QueueR

The list consists of the elements of *queuetokens* hence

$$qsize = \text{card}(\text{queuetokens})$$

For non-empty lists, *qfirst* and *qlast* are elements of *queuetokens*

$$\text{queuetokens} \neq \emptyset \implies \text{qfirst} \in \text{queuetokens}$$

$$\text{queuetokens} \neq \emptyset \implies \text{qlast} \in \text{queuetokens}$$

The list is linear and connected, hence *qnext* is injective, but it is also surjective and therefore bijective:

$$qnext \in \text{queuetokens} \setminus \{\text{qlast}\} \mapsto \text{queuetokens} \setminus \{\text{qfirst}\}$$

The invariant of QueueR

The list consists of the elements of *queuetokens* hence

$$qsize = \text{card}(\text{queuetokens})$$

For non-empty lists, *qfirst* and *qlast* are elements of *queuetokens*

$$\text{queuetokens} \neq \emptyset \implies \text{qfirst} \in \text{queuetokens}$$

$$\text{queuetokens} \neq \emptyset \implies \text{qlast} \in \text{queuetokens}$$

The list is linear and connected, hence *qnext* is injective, but it is also surjective and therefore bijective:

$$\text{qnext} \in \text{queuetokens} \setminus \{\text{qlast}\} \mapsto \text{queuetokens} \setminus \{\text{qfirst}\}$$

The Refinement relation

Each element of the queue model can be retrieved from the list model

$$\forall i. i \in 1 .. qsize \implies queue(i) = qnext^{i-1}(qfirst)$$

QueueR Theorems

The following should follow from the invariant:

1. Any element of the list that is not *qfirst* must be in $\text{dom}(qnext)$

$$\forall t. t \in \text{queuetokens} \wedge \text{qsize} > 1 \wedge t \neq \text{qfirst} \Rightarrow t \in \text{dom}(qnext)$$

2. Any element of the list that is not *qlast* must be in $\text{ran}(qnext)$

$$\forall t. t \in \text{queuetokens} \wedge \text{qsize} > 1 \wedge t \neq \text{qfirst} \Rightarrow t \in \text{ran}(qnext)$$

3. Following all sequences of *qnext* from *qfirst* should give all tokens in *queuetokens*

$$\text{closure1}(qnext)[\{\text{qfirst}\}] = \text{queuetokens}$$

4. The following should also follow from the refinement relation:

$$\text{qsize} \neq 0 \Rightarrow \text{queue}(1) = \text{qfirst}$$

$$\text{qsize} \neq 0 \Rightarrow \text{queue}(\text{qsize}) = \text{qlast}$$

$$\text{qsize} \neq 0 \Rightarrow \forall i. i \in 1 .. \text{qsize} - 1 \Rightarrow \text{queue}(i + 1) = \text{qnext}(\text{queue}(i))$$

QueueR Theorems

The following should follow from the invariant:

1. Any element of the list that is not *qfirst* must be in $\text{dom}(qnext)$

$$\forall t. t \in \text{queuetokens} \wedge \text{qsize} > 1 \wedge t \neq \text{qlast} \Rightarrow t \in \text{dom}(qnext)$$

2. Any element of the list that is not *qlast* must be in $\text{ran}(qnext)$

$$\forall t. t \in \text{queuetokens} \wedge \text{qsize} > 1 \wedge t \neq \text{qfirst} \Rightarrow t \in \text{ran}(qnext)$$

3. Following all sequences of *qnext* from *qfirst* should give all tokens in *queuetokens*

$$\text{closure1}(qnext)[\{\text{qfirst}\}] = \text{queuetokens}$$

4. The following should also follow from the refinement relation:

$$\text{qsize} \neq 0 \Rightarrow \text{queue}(1) = \text{qfirst}$$

$$\text{qsize} \neq 0 \Rightarrow \text{queue}(\text{qsize}) = \text{qlast}$$

$$\text{qsize} \neq 0 \Rightarrow \forall i. i \in 1 .. \text{qsize} - 1 \Rightarrow \text{queue}(i + 1) = \text{qnext}(\text{queue}(i))$$

QueueR Theorems

The following should follow from the invariant:

1. Any element of the list that is not *qfirst* must be in $\text{dom}(qnext)$

$$\forall t. t \in \text{queuetokens} \wedge \text{qsize} > 1 \wedge t \neq \text{qlast} \Rightarrow t \in \text{dom}(qnext)$$

2. Any element of the list that is not *qlast* must be in $\text{ran}(qnext)$

$$\forall t. t \in \text{queuetokens} \wedge \text{qsize} > 1 \wedge t \neq \text{qfirst} \Rightarrow t \in \text{ran}(qnext)$$

3. Following all sequences of *qnext* from *qfirst* should give all tokens in *queuetokens*

$$\text{closure1}(qnext)[\{\text{qfirst}\}] = \text{queuetokens}$$

4. The following should also follow from the refinement relation:

$$\text{qsize} \neq 0 \Rightarrow \text{queue}(1) = \text{qfirst}$$

$$\text{qsize} \neq 0 \Rightarrow \text{queue}(\text{qsize}) = \text{qlast}$$

$$\text{qsize} \neq 0 \Rightarrow \forall i. i \in 1 .. \text{qsize} - 1 \Rightarrow \text{queue}(i + 1) = \text{qnext}(\text{queue}(i))$$

QueueR Theorems

The following should follow from the invariant:

1. Any element of the list that is not *qfirst* must be in $\text{dom}(qnext)$

$$\forall t. t \in \text{queuetokens} \wedge \text{qsize} > 1 \wedge t \neq \text{qlast} \Rightarrow t \in \text{dom}(qnext)$$

2. Any element of the list that is not *qlast* must be in $\text{ran}(qnext)$

$$\forall t. t \in \text{queuetokens} \wedge \text{qsize} > 1 \wedge t \neq \text{qfirst} \Rightarrow t \in \text{ran}(qnext)$$

3. Following all sequences of *qnext* from *qfirst* should give all tokens in *queuetokens*

$$\text{closure1}(qnext)[\{\text{qfirst}\}] = \text{queuetokens}$$

4. The following should also follow from the refinement relation:

$$\text{qsize} \neq 0 \Rightarrow \text{queue}(1) = \text{qfirst}$$

$$\text{qsize} \neq 0 \Rightarrow \text{queue}(\text{qsize}) = \text{qlast}$$

$$\text{qsize} \neq 0 \Rightarrow \forall i. i \in 1 .. \text{qsize} - 1 \Rightarrow \text{queue}(i + 1) = \text{qnext}(\text{queue}(i))$$

Loops

There must be no loops. When moving from a monolithic structure to a list it is clear that loops are possible. It is easy to see by informal induction on the way the list is built that there will be no loops, but it follows from the type of *qnext*, so the following should be a theorem:

$$qnext^+ \cap id(queuetokens) = \emptyset$$

Traversing the list from *qfirst* should cover all the elements of *queuetokens*

$$qnext^+ [\{qfirst\}] = queuetokens$$

The QueueR machine II

inv4: $qsize \neq 0 \Rightarrow qlast = queue(qsize)$

inv5: $qnext \in queuetokens \rightsquigarrow queuetokens$

inv6: $dom(qnext) = queuetokens \setminus \{qlast\}$

inv7: $qnext \cap id = \emptyset$

inv8: $ran(qnext) = queuetokens \setminus \{qfirst\}$

inv9: $qsize = 1 \Rightarrow qfirst = qlast$

inv10: $\forall i \cdot i \in 1 .. qsize \wedge i < qsize$

\Rightarrow

$qnext(queue(i)) = queue(i + 1)$

inv11: $qsize \geq 1$

$\Rightarrow iterate(qnext \mapsto 0)[\{qfirst\}] = \{queue(1)\}$

inv12: $qsize \geq 1$

$\Rightarrow (\forall n \cdot n \in 1 .. qsize - 1$

$\wedge iterate(qnext \mapsto n - 1)[\{qfirst\}] = \{queue(n)\}$

\Rightarrow

$iterate(qnext \mapsto n)[\{qfirst\}] = \{queue(n + 1)\}$)



The QueueR machine III

- inv13:* $qsize \geq 1$
 $\Rightarrow (\forall n \cdot n \in 1 .. qsize - 1$
 $\Rightarrow \text{iterate}(qnext \mapsto n - 1)[\{qfirst\}] = \{\text{queue}(n)\})$
- inv14:* $qsize \geq 1 \Rightarrow \text{iclosure}(qnext)[\{qfirst\}] = \text{queuetokens}$



The QueueR machine IV

EVENTS

Initialisation

begin

act1: queuetokens := \emptyset

act2: qsize := 0

act3: queueitems := \emptyset

act4: qfirst \in TOKEN

act5: qlast \in TOKEN

act6: qnext := \emptyset

end

The QueueR machine V

Event *Enqueue0* $\hat{=}$

refines *Enqueue*

any

item

qid

when

grd1: *item* \in *ITEM*

grd2: *qid* \in *TOKEN* \ *queuetokens*

grd3: *qsize* = 0

then

act1: *queuetokens* := *queuetokens* \cup {*qid*}

act2: *queueitems*(*qid*) := *item*

act3: *qsize* := *qsize* + 1

act4: *qfirst* := *qid*

act5: *qlast* := *qid*

end

The QueueR machine X

Event $Unqueue1 \hat{=}$

refines $Unqueue$

any

qid

when

$grd1: qid \in queuetokens$

$grd2: qsize > 1$

$grd3: qid = qfirst$

then

$act1: queueitems := \{qid\} \triangleleft queueitems$

$act2: queuetokens := queuetokens \setminus \{qid\}$

$act3: qsize := qsize - 1$

$act4: qfirst := qnext(qid)$

$act5: qnext := \{qid\} \triangleleft qnext$

end

The QueueR machine XI

Event *Unqueue2* $\hat{=}$

refines *Unqueue*

any

qid

when

grd1: $qid \in queuetokens$

grd2: $qsize > 1$

grd3: $qlast = qid$

then

act1: $queueitems := \{qid\} \triangleleft queueitems$

act2: $queuetokens := queuetokens \setminus \{qid\}$

act3: $qsize := qsize - 1$

act4: $qlast := qnext^{-1}(qid)$

act5: $qnext := qnext \triangleright \{qid\}$

end

The QueueR machine XII

Event *Unqueue3* $\hat{=}$

refines *Unqueue*

any

qid

when

grd1: $qid \in \text{queuetokens}$

grd2: $qsize > 1$

grd3: $qfirst \neq qid$

grd4: $qlast \neq qid$

then

act1: $\text{queueitems} := \{qid\} \triangleleft \text{queueitems}$

act2: $\text{queuetokens} := \text{queuetokens} \setminus \{qid\}$

act3: $qsize := qsize - 1$

act4: $qnext(qnext^{-1}(qid)) := qnext(qid)$

end

END

Refinement of Unqueue3

The event `Unqueue3` deletes an item from within the queue, that is neither the first or last items on the queue.

Implementing `prev`

Until now we got `prev` for free because `qnext` is an injective function, so `prev` has been obtained by simply inverting `qnext`. In an implementation we have no such luxury. In the refinement of `Unqueue3` we implement `prev` by using a loop to search from the beginning of the queue (`list`) for the predecessor of the item to be deleted. This, of course, is inefficient. If efficiency is important, we could implement a doubly linked list, ie implement `qprev`.

Refinement of Unqueue3

The event `Unqueue3` deletes an item from within the queue, that is neither the first or last items on the queue.

Implementing `prev`

Until now we got `prev` for free because `qnext` is an injective function, so `prev` has been obtained by simply inverting `qnext`. In an implementation we have no such luxury. In the refinement of `Unqueue3` we implement `prev` by using a loop to search from the beginning of the queue (list) for the predecessor of the item to be deleted. This, of course, is inefficient. If efficiency is important, we could implement a doubly linked list, ie implement `qprev`.

Refinement of Unqueue3

The event `Unqueue3` deletes an item from within the queue, that is neither the first or last items on the queue.

Implementing `prev`

Until now we got `prev` for free because `qnext` is an injective function, so `prev` has been obtained by simply inverting `qnext`. In an implementation we have no such luxury. In the refinement of `Unqueue3` we implement `prev` by using a loop to search from the beginning of the queue (list) for the predecessor of the item to be deleted. This, of course, is inefficient. If efficiency is important, we could implement a doubly linked list, ie implement `qprev`.

Preventing Interference

The refinement of `Unqueue3` consists of three events:

- `Unqueue3I`: initiates the computation of `qprev`. This event sets `qprev` to `qfirst` and sets a flag, `deleting`, to `TRUE`.
- `Unqueue3M`: an event that represents *still searching*. It advances `qprev` to `qnext(qprev)`.
- `Unqueue3F`: the final step. The item to be deleted has been found, so the current value of `qprev` is the value we want. This event does the deletion and sets `deleting` to `FALSE`.

The purpose of *deleting*

Until the deletion is complete the other queue events must not run as the state of the queue is not yet correct. Until now `Unqueue3` was an atomic event; in this refinement the actions of that event are spread across three events.



QueueRR II

INVARIANTS

inv1: deleting \in *BOOL*

inv2: qprev \in *TOKEN*

inv3: qidv \in *TOKEN*

inv4: deleting = *TRUE* \Rightarrow *qidv* \in *queuetokens*

inv5: deleting = *TRUE* \Rightarrow *qidv* \neq *qfirst*

inv6: deleting = *TRUE* \Rightarrow *qsize* $>$ 1

inv7: deleting = *TRUE* \Rightarrow *qprev* \in *dom(qnext)*

inv8: deleting = *TRUE* \Rightarrow *qidv* \in *iclosure(qnext)[{qprev}]*



QueueRR V

act2 : *queueitems(qid) := item*

act3 : *qsize := qsize + 1*

act4 : *qfirst := qid*

act5 : *qlast := qid*

end

QueueRR VI

```

Event Enqueue1  $\hat{=}$ 
extends Enqueue1
  any
  item
  qid
  when
grd1: item  $\in$  ITEM
grd2: qid  $\in$  TOKEN \ queuetokens
grd3: qsize  $\neq$  0
  grd4: deleting = FALSE
  then
act1: queuetokens := queuetokens  $\cup$  {qid}
act2: queueitems(qid) := item

```



QueueRR VII

```
act3: qsize := qsize + 1  
act4: qnext(qlast) := qid  
act5: qlast := qid  
end
```



QueueRR VIII

```
Event Dequeue0  $\hat{=}$   
extends Dequeue0  
  when  
    grd1: qsize = 1  
    grd2: deleting = FALSE  
  then  
    act1: qsize := qsize - 1  
    act2: queuetokens := queuetokens \ {qfirst}  
    act3: queueitems := {qfirst}  $\triangleleft$  queueitems  
    act4: qnext := {qfirst}  $\triangleleft$  qnext  
  end
```



QueueRR IX

```
Event Dequeue1  $\hat{=}$   
extends Dequeue1  
  when  
    grd1 : qsize > 1  
    grd2 : deleting = FALSE  
  then  
    act1 : qsize := qsize - 1  
    act2 : queuetokens := queuetokens \ {qfirst}  
    act3 : queueitems := {qfirst}  $\triangleleft$  queueitems  
    act4 : qfirst := qnext(qfirst)  
    act5 : qnext := {qfirst}  $\triangleleft$  qnext  
  end
```



QueueRR X

```

Event Unqueue0  $\hat{=}$ 
extends Unqueue0
  any
  qid
  when
    grd1 : qid  $\in$  queuetokens
    grd2 : qsize = 1
    grd3 : deleting = FALSE
  then
    act1 : queueitems := {qid}  $\triangleleft$  queueitems
    act2 : queuetokens := queuetokens \ {qid}
    act3 : qsize := qsize - 1
  end

```

QueueRR XI

```

Event Unqueue1  $\hat{=}$ 
extends Unqueue1
  any
  qid
  when
    grd1 : qid  $\in$  queuetokens
    grd2 : qsize > 1
    grd3 : qid = qfirst
    grd4: deleting = FALSE
  then
    act1 : queueitems := {qid}  $\triangleleft$  queueitems
    act2 : queuetokens := queuetokens \ {qid}
    act3 : qsize := qsize - 1
  
```



QueueRR XII

```
act4 : qfirst := qnext(qid)  
act5 : qnext := {qid}  $\triangleleft$  qnext  
end
```



QueueRR XIII

Event *Unqueue2* $\hat{=}$

refines *Unqueue2*

when

grd1: *deleting* = *TRUE*

grd2: *qnext*(*qprev*) = *qidv*

grd3: *qlast* = *qidv*

with

qid: *qid* = *qidv*

then

act1: *queueitems* := {*qidv*} \triangleleft *queueitems*

act2: *queuetokens* := *queuetokens* \ {*qidv*}

act3: *qsize* := *qsize* - 1

act4: *qlast* := *qprev*



QueueRR XIV

act5: qnext := qnext \triangleright {qidv}

act6: deleting := FALSE

end



QueueRR XV

Event *Unqueue3* $\hat{=}$

refines *Unqueue3*

when

grd1: *deleting* = *TRUE*

grd2: *qnext*(*qprev*) = *qidv*

grd3: *qidv* \neq *qlast*

with

qid: *qid* = *qidv*

then

act1: *queueitems* := {*qidv*} \Leftarrow *queueitems*

act2: *queuetokens* := *queuetokens* \ {*qidv*}

act3: *qsize* := *qsize* - 1

act4: *qnext*(*qprev*) := *qnext*(*qidv*)

act5: *deleting* := *FALSE*

end



QueueRR XVII

Event *UnqueueS* $\hat{=}$

Status convergent

when

grd1: *deleting* = TRUE

grd2: *qnext*(*qprev*) \neq *qidv*

then

act1: *qprev* := *qnext*(*qprev*)

end



QueueRR XVIII

VARIANT

```
iclosure(qnext)[{qprev}]
```

END

Notes on the Variant

The variant for the search event is the set of remaining items in the queue from the current item pointed to by $prev$. Clearly we expect that the number of remaining items in that set is finite and decreasing. The set of items is obtained by applying $closure(qnext)$ to $prev$.

Notes on the Variant

The variant for the search event is the set of remaining items in the queue from the current item pointed to by $prev$. Clearly we expect that the number of remaining items in that set is finite and decreasing. The set of items is obtained by applying $closure(qnext)$ to $prev$.