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Owicki-Gries Method

Invariants and Machine Instructions



Shared Variable Proof Methods, Hardware-Assisted Critical Sections

Johannes Åman Pohjola CSE, UNSW Term 2 2022

Owicki-Gries Method

Invariants and Machine Instructions

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We also introduced the SPIN model checking tool for rigorous analysis of candidate solutions.

In this lecture, we will introduce a formal proof method for verifying safety properties, and apply it to a new kind of critical section solution that relies on hardware support.

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# **Transition Diagrams**

Definition		

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A *transition diagram* is a tuple (L, T, s, t) where:

- *L* is a set of *locations* (program counter values).
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- $\ell_i$  and  $\ell_j$  are locations.
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while  $i \neq N$  do  
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Given a transition diagram (L, T, s, t):

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#### Verifying Partial Correctness

Given a transition diagram (L, T, s, t):

**4** Associate with each location  $\ell \in L$  an assertion  $\mathcal{Q}(\ell) : \Sigma \to \mathbb{B}$ .

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### Verifying Partial Correctness

Given a transition diagram (L, T, s, t):

**1** Associate with each location  $\ell \in L$  an assertion  $\mathcal{Q}(\ell) : \Sigma \to \mathbb{B}$ .

**2** Prove that this assertion network is *inductive*, that is: For each transition in T  $\ell_i \xrightarrow{g;f} \ell_j$  show that:

 $\mathcal{Q}(\ell_i) \wedge g \Rightarrow \mathcal{Q}(\ell_j) \circ f$ 

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**3** Show that  $\varphi \Rightarrow Q(s)$  and  $Q(t) \Rightarrow \psi$ .

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**3** Show that  $\varphi \Rightarrow \mathcal{Q}(s)$  and  $\mathcal{Q}(t) \Rightarrow \psi$ .

Johannes will now demonstrate on the previous example

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# **Adding Concurrency**



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#### **Parallel Composition**

Given two processes P and Q with transition diagrams  $(L_P, T_P, s_P, t_P)$  and  $(L_Q, T_Q, s_Q, t_Q)$ , the *parallel composition* of P and Q, written  $P \parallel Q$  is defined as (L, T, s, t) where:

• 
$$L = L_P \times L_Q$$

• 
$$s = s_P s_Q$$

• 
$$t = t_P t_G$$

• 
$$p_i q_i \xrightarrow{g;f} p_j q_i \in T$$
 if  $p_i \xrightarrow{g;f} p_j \in T_P$   
•  $p_i q_i \xrightarrow{g;f} p_i q_j \in T$  if  $q_i \xrightarrow{g;f} q_j \in T_Q$ 

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### State Space Explosion

If we were SPIN, we would immediately begin exhaustively analysing this large diagram. But human brains don't have that much storage space.

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#### Susan Owicki's solution

Define inductive assertion networks for P and Q separately. By proving some non-interference properties derive an inductive network for  $P \parallel Q$  automatically. This means we won't have to draw that large product diagram!

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### **Owicki-Gries Method**

#### Steps

### To show $\{\varphi\} P \parallel Q \{\psi\}$ :





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### **Owicki-Gries Method**



#### Steps

To show  $\{\varphi\} P \parallel Q \{\psi\}$ :

Define local assertion networks
 \$\mathcal{P}\$ and \$\mathcal{Q}\$ for both processes.
 Show that they're inductive.

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- Define local assertion networks
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- Por each location p ∈ L<sub>P</sub>, show that P(p) is not falsified by any transition of Q. That is, for each q → q' ∈ T<sub>Q</sub>:
   P(p) ∧ Q(q) ∧ g ⇒ P(p) ∘ f

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### **Owicki-Gries Method**





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**③** Vice versa for Q.

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   Show that they're inductive.
- ② For each location p ∈ L<sub>P</sub>, show that  $\mathcal{P}(p)$  is not falsified by any transition of Q. That is, for each q  $\xrightarrow{g;f}$  q' ∈ T<sub>Q</sub>:  $\mathcal{P}(p) \land \mathcal{Q}(q) \land g \Rightarrow \mathcal{P}(p) \circ f$
- **③** Vice versa for Q.
- Show that  $\varphi \Rightarrow \mathcal{P}(s_P) \land \mathcal{Q}(s_Q)$ and  $\mathcal{P}(t_P) \land \mathcal{Q}(t_Q) \Rightarrow \psi$ .

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## How does it help?

The Owicki-Gries method generalises to n processes, by requiring more interference freedom obligations.

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#### **Derived Assertion Network**

The automatic assertion network we get for the parallel composition from the Owicki-Gries method is the conjunction of the local assertions at each of the component states.

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The automatic assertion network we get for the parallel composition from the Owicki-Gries method is the conjunction of the local assertions at each of the component states.

Assume k transitions and m locations per process. For m processes, Floyd's method spawns  $2 + n \cdot k \cdot m^{n-1}$  proof obligations!

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Owicki-Gries reduces that to  $2 + n \cdot k \cdot (1 + (n - 1) \cdot m)$  — merely quadratic in *n*.

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## **Proving Mutual Exclusion**

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Manna-Pnueli Algorithm						
integer wantp, wantq $\leftarrow 0, 0$						
forever do		forever do				
$p_1$	non-critical section	$q_1$	non-critical section			
$p_2$	if wantq $= -1$	$q_2$	if wantp $= -1$			
	<b>then</b> wantp $\leftarrow -1$		<b>then</b> wantq $\leftarrow 1$			
	else wantp $\leftarrow 1$		else wantq $\leftarrow -1$			
p <sub>3</sub>	<b>await</b> wantq $ eq$ wantp	$q_3$	<b>await</b> wantq $ eq -$ wantp			
p <sub>4</sub>	critical section	$q_4$	critical section			
$p_5$	wantp $\leftarrow 0$	$q_5$	wantq $\leftarrow$ 0			

Note: The  $p_2$  and  $q_2$  steps are one atomic step!

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### **Machine Instructions**

What about if we had a single machine instruction to swap two values atomically, XC?

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What about if we had a single machine instruction to swap two values atomically, XC?

bit common $\leftarrow 1$						
bit tp $\leftarrow$ 0		bit tq $\leftarrow$ 0				
forever do		forever do				
$p_1$	non-critical section	$q_1$	non-critical section			
	repeat		repeat			
<b>p</b> <sub>2</sub>	XC(tp, common)	<b>q</b> <sub>2</sub>	<pre>XC(tq, common);</pre>			
<b>p</b> 3	until t ${\sf p}=1$	<b>q</b> 3	until t $q=1$			
<b>p</b> 4	critical section	<b>q</b> 4	critical section			
<b>p</b> 5	XC(tp, common)	<b>q</b> 5	XC(tq, common)			

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Example (Exchange-based Critical Section Solution)

Using assertions about the program counters, we can craft an invariant for the XC example!

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Where  $\oplus$  is xor. Note:  $\mathcal{I}$  is false at  $p_4q_4$ . So if this invariant is preserved we have mutex.

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$$egin{array}{rcl} \mathcal{I} &\equiv & ( ext{common} \oplus ext{tp} \oplus ext{tq}) = 1 \land (P@p_4 \Rightarrow ext{tp} = 1) \land \ & (Q@q_4 \Rightarrow ext{tq} = 1) \land 
egin{array}{rcl} & ( ext{common} \oplus ext{tp} \wedge ext{common} = ext{tp}) \land \ & ( ext{common} \oplus ext{tp} \wedge ext{common} = ext{tp}) \land \ & ( ext{common} \oplus ext{tp} + ext{tq}) \land \ & ( ext{common} \oplus ext{tp} + ext{tq}) \land \ & ( ext{common} \oplus ext{tp} + ext{tq}) \land \ & ( ext{common} \oplus ext{tq}) \land \ & ( ext{common} \oplus ext{tp} + ext{tq}) \land \ & ( ext{common} \oplus ext{tq}) \land \ & ( ext{tq}) \land \ &$$

Where  $\oplus$  is xor. Note:  $\mathcal{I}$  is false at  $p_4q_4$ . So if this invariant is preserved we have mutex.

Lets prove mutual exclusion for XC!

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### What now?

- You now have all you need to complete Assignment 0 (warm-up), due Monday the 20th.
- Next week: We will examine some more sophisticated critical section solutions for *n* processes.
- We may also learn about *semaphores*, time permitting!.