

# COMP3161/COMP9161

## Preliminaries Exercises

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1. **Strange Loops:** The following system, based on a system called MIU, is perhaps famously mentioned in Douglas Hofstadter's book, *Gödel, Escher, Bach*.

$$\frac{}{\text{MI MIU}} 1 \quad \frac{x\text{I MIU}}{x\text{IU MIU}} 2 \quad \frac{\text{Mx MIU}}{\text{Mxx MIU}} 3 \quad \frac{x\text{IIIIy MIU}}{x\text{Uy MIU}} 4 \quad \frac{x\text{UUy MIU}}{xy \text{MIU}} 5$$

- (a) [★] Is MUII MIU derivable? If so, show the derivation tree. If not, explain why not.
- (b) [★★] Is  $\frac{x\text{IU MIU}}{x\text{I MIU}}$  admissible? Is it derivable? Justify your answer.
- (c) [★★★] Perhaps famously, MU MIU is not admissible. Prove this using rule induction. *Hint:* Try proving something related to the number of Is in the string.
- (d) Here is another language, which we'll call MI:

$$\frac{}{\text{MI MI}} A \quad \frac{\text{Mx MI}}{\text{Mxx MI}} B \quad \frac{x\text{IIIIIIy MI}}{xy \text{MI}} C$$

- i. [★★★] Prove using rule induction that all strings in MI could be expressed as follows, for some  $k$  and some  $i$ , where  $2^k - 6i > 0$  (where  $C^n$  is the character C repeated  $n$  times):

$$\text{M I}^{2^k - 6i}$$

- ii. We will now prove the opposite claim that, for all  $k$  and  $i$ , assuming  $2^k - 6i > 0$ :

$$\text{M I}^{2^k - 6i} \text{ MI}$$

To prove this we will need a few lemmas which we will prove separately.

- $\alpha$ ) [★★] Prove, using induction on the natural number  $k$  (i.e when  $k = 0$  and when  $k = k' + 1$ ), that  $\text{M I}^{2^k} \text{ MI}$
- $\beta$ ) [★★] Prove, using induction on the natural number  $i$ , that  $\text{M I}^k \text{ MI}$  implies  $\text{M I}^{k-6i} \text{ MI}$ , assuming  $k - 6i > 0$ .

Hence, as we know  $\text{M I}^{2^k} \text{ MI}$  for all  $k$  from lemma  $\alpha$ , we can conclude from lemma  $\beta$  that  $\text{M I}^{2^k - 6i} \text{ MI}$  for all  $k$  and all  $i$  where  $2^k - 6i > 0$  by modus ponens.

These two parts prove that the language MI is exactly characterised by the formulation  $\text{M I}^{2^k - 6i}$  where  $2^k - 6i > 0$ . A very useful result!

- iii. [★] Hence prove or disprove that the following rule is admissible in MI:

$$\frac{\text{Mxx MI}}{\text{Mx MI}} \text{LEM}_1$$

- iv. [★] Why is the following rule **not** admissible in MI?

$$\frac{xy \text{MI}}{x\text{IIIIIIy MI}} \text{LEM}_2$$

- v. [★★★] Prove that, for all  $s$ ,  $s \text{ MI} \implies s \text{ MIU}$ . Note that using straightforward rule induction appears to necessitate LEM<sub>2</sub> above, which we know is not admissible. Try proving using the characterisation we have already developed.

2. **Counting Sticks:** The following language (also presented in a similar form by Douglas Hofstadter, but the original invention is not his) is called the  $\Phi\Psi$  system. Unlike the MIU language discussed above, this language is not comprised of a single judgement, but of a ternary *relation*, written  $x \Phi y \Psi z$ , where  $x$ ,  $y$  and  $z$  are strings of hyphens (i.e. '-'), which may be empty ( $\epsilon$ ). The system is defined as follows:

$$\frac{}{\epsilon \Phi x \Psi x} B \quad \frac{x \Phi y \Psi z}{-x \Phi y \Psi -z} I$$

- (a) [ $\star$ ] Prove that  $-- \Phi --- \Psi -----$ .  
 (b) [ $\star$ ] Is the following rule admissible? Is it derivable? Explain your answer

$$\frac{-x \Phi y \Psi -z}{x \Phi y \Psi z} I'$$

- (c) [ $\star\star$ ] Show that  $x \Phi \epsilon \Psi x$ , for all hyphen strings  $x$ , by doing induction on the length of the hyphen string (where  $x = \epsilon$  and  $x = -x'$ ).  
 (d) [ $\star\star\star$ ] Show that if  $-x \Phi y \Psi z$  then  $x \Phi -y \Psi z$ , for all hyphen strings  $x$ ,  $y$  and  $z$ , by doing induction on the size of  $x$ .  
 (e) [ $\star\star$ ] Show that  $x \Phi y \Psi z$  implies  $y \Phi x \Psi z$ .  
 (f) [ $\star\star$ ] Have you figured out what the  $\Phi\Psi$  system actually is? Prove that if  $-x \Phi -y \Psi -z$ , then  $z = -x+y$  (where  $-x$  is a hyphen string of length  $x$ ).

3. **Ambiguity and Simultaneity:** Here is a simple grammar for a functional programming language <sup>1</sup>:

$$\frac{x \in \mathbb{N}}{x \text{ Expr}} \text{VAR.} \quad \frac{e_1 \text{ Expr} \quad e_2 \text{ Expr}}{e_1 e_2 \text{ Expr}} \text{APPL.} \quad \frac{e \text{ Expr}}{\lambda e \text{ Expr}} \text{ABST.} \quad \frac{e \text{ Expr}}{(e) \text{ Expr}} \text{PAREN.}$$

- (a) [ $\star$ ] Is this grammar ambiguous? If not, explain why not. If so, give an example of an expression that has multiple parse trees.  
 (b) [ $\star\star$ ] Develop a new (unambiguous) grammar that encodes the left associativity of application, that is  $1 \ 2 \ 3 \ 4$  should be parsed as  $((1 \ 2) \ 3) \ 4$  (modulo parentheses). Furthermore, lambda expressions should extend as far as possible, i.e.  $\lambda 1 \ 2$  is equivalent to  $\lambda(1 \ 2)$  not  $(\lambda 1)2$ .  
 (c) [ $\star\star\star$ ] Prove that all expressions in your grammar are representable in *Expr*, that is, that your grammar describes only strings that are in *Expr*.

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<sup>1</sup>if you're interested, it's called *lambda calculus*, with *de Bruijn indices* syntax, not that it's relevant to the question!