Environments

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Misc

- Assignment 1 has been **released**.
- It is due on Tuesday of Week 9, that is **18th September 2018**, 23:55.
- It is worth 30% of your class mark.
- You must complete a base task for 70% of those marks, and **three** of **five** extra tasks, each worth 10%.
- It is possible to score over 100% for this assignment, allowing you to compensate for lost marks elsewhere.
- Assignment tour in the lecture today, maybe overflowing into the extension slot.

Where we're at

- We refined the abstract M-Machine to a C-Machine, with explicit stacks:

$$s \succ e \qquad s \prec v$$

- Function application is still executed via substitution:

$$\frac{}{(\texttt{Apply}\ \langle\!\langle f.x.\ e \rangle\!\rangle\ \square) \triangleright s \prec v \ \mapsto_C\ s \prec e[x := v, f := (\texttt{Fun}\ (f.x.e))]}$$

- We're going to extend our C-Machine to replace substitutions with an environment, giving us a new *E-Machine*

Environments

Definition

An *environment* is a context containing equality assumptions about variables.
It can be viewed like a state that maps variables to their values, except that a variable's value does not change over time.

$$\frac{}{\bullet\ \textbf{Env}} \qquad \frac{\eta\ \textbf{Env}}{x = v, \eta\ \textbf{Env}}$$

We write $\eta(x)$ to indicate the leftmost value corresponding to x in η.

Let's change our machine states to include an environment:

$$s \mid \eta \succ e \qquad s \mid \eta \prec v$$

First Attempt

First, we'll add a rule for consulting the environment if we encounter a free variable:

$$\frac{}{s \mid \eta \succ x \quad \mapsto_E \quad s \mid \eta \prec \eta(x)}$$

Then, we just need to handle function application.

One broken attempt:

$$\frac{}{(\texttt{Apply}\ \langle\!\langle f.x.\ e \rangle\!\rangle\ \square) \triangleright s \mid \eta \prec v \ \mapsto_E \ s \mid (x = v, f = \langle\!\langle f.x.\ e \rangle\!\rangle, \eta) \succ e}$$

We don't know when to remove the variables again!

Second Attempt

We will extend our stacks to allow us to save the old environment to it.

$$\frac{s\ \textbf{Stack} \quad \eta\ \textbf{Env}}{\eta \triangleright s\ \textbf{Stack}}$$

Calling a function, we save the environment to the stack.

$$\frac{}{(\texttt{Apply}\ \langle\!\langle f.x.\ e \rangle\!\rangle\ \square) \triangleright s \mid \eta \prec v \ \mapsto_E\ \eta \triangleright s \mid (x = v, f = \langle\!\langle f.x.\ e \rangle\!\rangle, \eta) \succ e}$$

When the function returns, we restore the old environment, clearing out the new bindings:

$$\frac{}{\eta \triangleright s \mid \eta' \prec v \ \mapsto_E\ s \mid \eta \prec v}$$

Simple Example

$$
\begin{array}{rcll}
\circ & \mid \bullet & & \succ \ (\texttt{Ap}\ (\texttt{Fun}\ (f.x.\ (\texttt{Plus}\ x\ (\texttt{N}\ 1)))) \\
(\texttt{Ap}\ \square\ (\texttt{N}\ 3)) \triangleright \circ & \mid \bullet & & \succ \ (\texttt{Fun}\ (f.x.\ (\texttt{Plus}\ x\ (\texttt{N}\ 1)))) \\
(\texttt{Ap}\ \square\ (\texttt{N}\ 3)) \triangleright \circ & \mid \bullet & & \prec \ \langle\!\langle f.x.\ (\texttt{Plus}\ x\ (\texttt{N}\ 1)) \rangle\!\rangle \\
(\texttt{Ap}\ \langle\!\langle \cdots \rangle\!\rangle\ \square) \triangleright \circ & \mid \bullet & & \succ \ (\texttt{N}\ 3) \\
(\texttt{Ap}\ \langle\!\langle \cdots \rangle\!\rangle\ \square) \triangleright \circ & \mid \bullet & & \prec \ 3 \\
\bullet \triangleright \circ & \mid x = 3, f = \langle\!\langle \cdots \rangle\!\rangle, \bullet & & \succ \ (\texttt{Plus}\ x\ (\texttt{N}\ 1)) \\
(\texttt{Plus}\ \square\ (\texttt{N}\ 1)) \triangleright \bullet \triangleright \circ & \mid x = 3, f = \langle\!\langle \cdots \rangle\!\rangle, \bullet & & \succ \ x \\
(\texttt{Plus}\ \square\ (\texttt{N}\ 1)) \triangleright \bullet \triangleright \circ & \mid x = 3, f = \langle\!\langle \cdots \rangle\!\rangle, \bullet & & \prec \ 3 \\
(\texttt{Plus}\ 3\ \square) \triangleright \bullet \triangleright \circ & \mid x = 3, f = \langle\!\langle \cdots \rangle\!\rangle, \bullet & & \succ \ (\texttt{N}\ 1) \\
(\texttt{Plus}\ 3\ \square) \triangleright \bullet \triangleright \circ & \mid x = 3, f = \langle\!\langle \cdots \rangle\!\rangle, \bullet & & \prec \ 1 \\
\bullet \triangleright \circ & \mid x = 3, f = \langle\!\langle \cdots \rangle\!\rangle, \bullet & & \prec \ 4 \\
\circ & \mid \bullet & & \prec \ 4
\end{array}
$$

Seems to work for basic examples, but is there some way to break it?

Closure Capture

$$\circ \mid \bullet \succ (\texttt{Ap}\ (\texttt{Ap}\ (\texttt{Fun}\ (f.x.\ (\texttt{Fun}\ (g.y.\ x))))\ (\texttt{N}\ 3))\ (\texttt{N}\ 4))$$

$$\mapsto_E \quad (\texttt{Ap}\ \square\ (\texttt{N}\ 4)) \triangleright \circ \mid \bullet \succ (\texttt{Ap}\ (\texttt{Fun}\ (f.x.\ (\texttt{Fun}\ (g.y.\ x))))\ (\texttt{N}\ 3))$$

$$\mapsto_E \quad (\texttt{Ap}\ \square\ (\texttt{N}\ 3)) \triangleright (\texttt{Ap}\ \square\ (\texttt{N}\ 4)) \triangleright \circ \mid \bullet \succ (\texttt{Fun}\ (f.x.\ (\texttt{Fun}\ (g.y.\ x))))$$

$$\mapsto_E \quad (\texttt{Ap}\ \square\ (\texttt{N}\ 3)) \triangleright (\texttt{Ap}\ \square\ (\texttt{N}\ 4)) \triangleright \circ \mid \bullet \prec \langle\!\langle f.x.\ (\texttt{Fun}\ (g.y.\ x)) \rangle\!\rangle$$

$$\mapsto_E \quad (\texttt{Ap}\ \langle\!\langle f \cdots \rangle\!\rangle\ \square) \triangleright (\texttt{Ap}\ \square\ (\texttt{N}\ 4)) \triangleright \circ \mid \bullet \succ (\texttt{N}\ 3)$$

$$\mapsto_E \quad (\texttt{Ap}\ \langle\!\langle f \cdots \rangle\!\rangle\ \square) \triangleright (\texttt{Ap}\ \square\ (\texttt{N}\ 4)) \triangleright \circ \mid \bullet \prec 3$$

$$\mapsto_E \quad \bullet \triangleright (\texttt{Ap}\ \square\ (\texttt{N}\ 4)) \triangleright \circ \mid x = 3, f = \langle\!\langle f \cdots \rangle\!\rangle, \bullet \succ (\texttt{Fun}\ (g.y.\ x))$$

$$\mapsto_E \quad \bullet \triangleright (\texttt{Ap}\ \square\ (\texttt{N}\ 4)) \triangleright \circ \mid x = 3, f = \langle\!\langle f \cdots \rangle\!\rangle, \bullet \prec \langle\!\langle g.y.\ x \rangle\!\rangle$$

$$\mapsto_E \quad (\texttt{Ap}\ \square\ (\texttt{N}\ 4)) \triangleright \circ \mid \bullet \prec \langle\!\langle g.y.\ x \rangle\!\rangle$$

$$\mapsto_E \quad (\texttt{Ap}\ \langle\!\langle g.y.\ x \rangle\!\rangle\ \square) \triangleright \circ \mid \bullet \succ (\texttt{N}\ 4)$$

$$\mapsto_E \quad (\texttt{Ap}\ \langle\!\langle g.y.\ x \rangle\!\rangle\ \square) \triangleright \circ \mid \bullet \prec 4$$

$$\mapsto_E \quad \bullet \triangleright \circ \mid y = 4, g = \langle\!\langle g.y.\ x \rangle\!\rangle, \bullet \succ x$$

Oh no! We're stuck!

Something went wrong!

Returning functions as a result means that the function's body expression escapes the scope of bound variables that existed where it is defined:

$$(\textbf{let } x = 3 \textbf{ in recfun } f\ y = x + y)\ 5$$

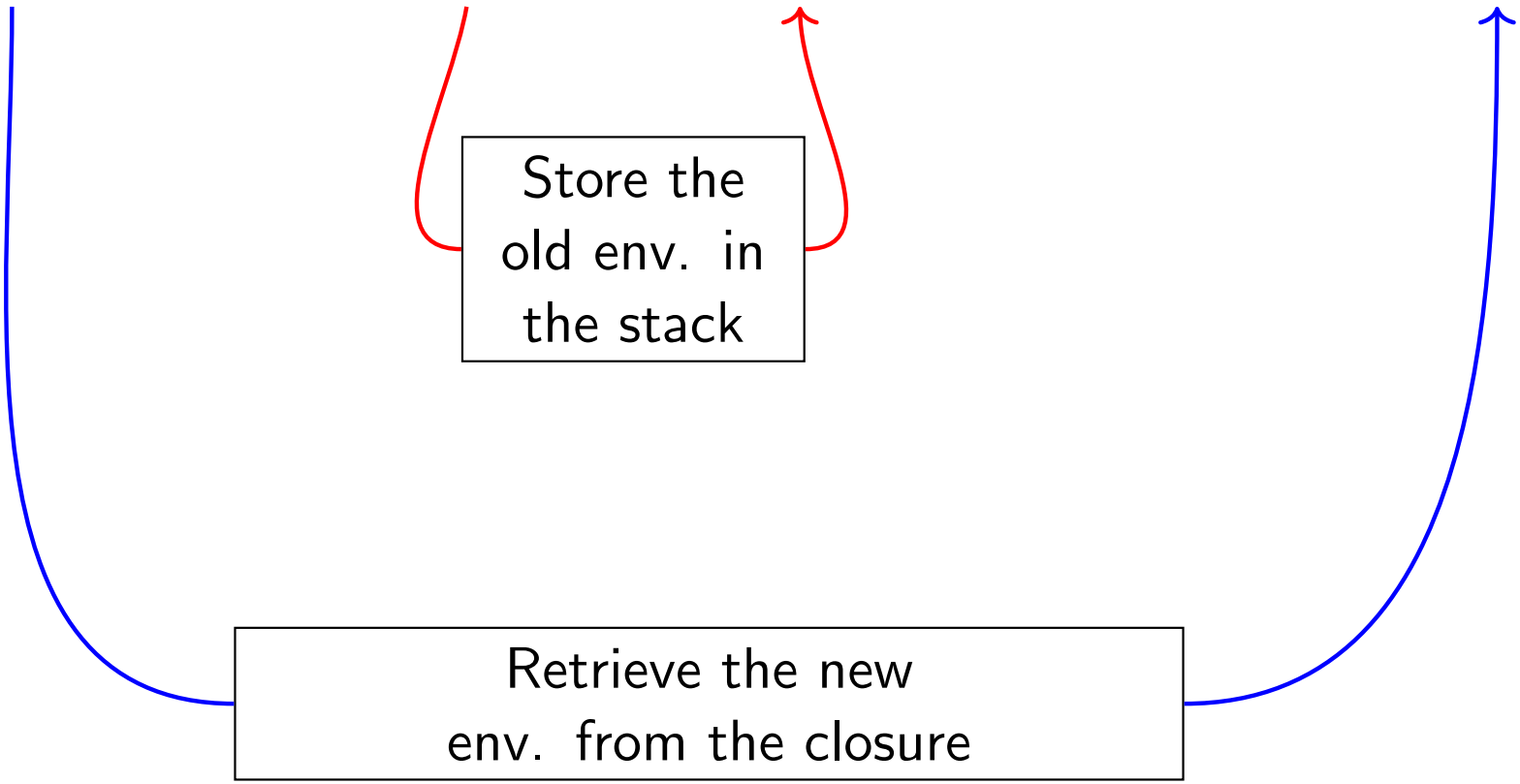
The function value $\langle\langle f.y.\ x + y \rangle\rangle$, when it is applied, does not "remember" that $x = 3$ when the function was defined.

Solution: Store the environment inside the function value!

$$\frac{}{s \mid \eta \succ (\texttt{Recfun}\ (f.x.\ e)) \mapsto_E s \mid \eta \prec \langle\langle \eta,\ f.x.\ e \rangle\rangle}$$

This type of function value is called a *closure*.

$$(\texttt{Apply}\ \langle\!\langle \eta', f.x.\ e \rangle\!\rangle\ \square) \triangleright s \mid \eta \prec v \mapsto_E \eta \triangleright s \mid (x = v, f = \langle\!\langle f.x.\ e \rangle\!\rangle, \eta') \succ e$$



Our Example

$\circ \mid \bullet \succ (\mathtt{Ap}\ (\mathtt{Ap}\ (\mathtt{Fun}\ (f.x.\ (\mathtt{Fun}\ (g.y.\ x))))\ (\mathtt{N}\ 3))\ (\mathtt{N}\ 4))$

$(\mathtt{Ap}\ \square\ (\mathtt{N}\ 4)) \triangleright \circ \mid \bullet \succ (\mathtt{Ap}\ (\mathtt{Fun}\ (f.x.\ (\mathtt{Fun}\ (g.y.\ x))))\ (\mathtt{N}\ 3))$

$(\mathtt{Ap}\ \square\ (\mathtt{N}\ 3)) \triangleright (\mathtt{Ap}\ \square\ (\mathtt{N}\ 4)) \triangleright \circ \mid \bullet \succ (\mathtt{Fun}\ (f.x.\ (\mathtt{Fun}\ (g.y.\ x))))$

$(\mathtt{Ap}\ \square\ (\mathtt{N}\ 3)) \triangleright (\mathtt{Ap}\ \square\ (\mathtt{N}\ 4)) \triangleright \circ \mid \bullet \prec \langle\!\langle \bullet, f.x.\ (\mathtt{Fun}\ (g.y.\ x)) \rangle\!\rangle$

$(\mathtt{Ap}\ \langle\!\langle \bullet, f \cdots \rangle\!\rangle\ \square) \triangleright (\mathtt{Ap}\ \square\ (\mathtt{N}\ 4)) \triangleright \circ \mid \bullet \succ (\mathtt{N}\ 3)$

$(\mathtt{Ap}\ \langle\!\langle \bullet, f \cdots \rangle\!\rangle\ \square) \triangleright (\mathtt{Ap}\ \square\ (\mathtt{N}\ 4)) \triangleright \circ \mid \bullet \prec 3$

$\bullet \triangleright (\mathtt{Ap}\ \square\ (\mathtt{N}\ 4)) \triangleright \circ \mid x = 3, f = \langle\!\langle f \cdots \rangle\!\rangle, \bullet \succ (\mathtt{Fun}\ (g.y.\ x))$

$\bullet \triangleright (\mathtt{Ap}\ \square\ (\mathtt{N}\ 4)) \triangleright \circ \mid x = 3, f = \langle\!\langle f \cdots \rangle\!\rangle, \bullet \prec \langle\!\langle (x = 3, f = \cdots, \bullet), g.y.\ x \rangle\!\rangle$

$(\mathtt{Ap}\ \square\ (\mathtt{N}\ 4)) \triangleright \circ \mid \bullet \prec \langle\!\langle (x = 3, f = \cdots, \bullet), g.y.\ x \rangle\!\rangle$

$(\mathtt{Ap}\ \langle\!\langle (x = 3, f = \cdots, \bullet), g.y.\ x \rangle\!\rangle\ \square) \triangleright \circ \mid \bullet \succ (\mathtt{N}\ 4)$

$(\mathtt{Ap}\ \langle\!\langle (x = 3, f = \cdots, \bullet), g.y.\ x \rangle\!\rangle\ \square) \triangleright \circ \mid \bullet \prec 4$

$\bullet \triangleright \circ \mid y = 4, g = \langle\!\langle g.y.\ x \rangle\!\rangle, x = 3, f = \cdots, \bullet \succ x$

$\bullet \triangleright \circ \mid y = 4, g = \langle\!\langle g.y.\ x \rangle\!\rangle, x = 3, f = \cdots, \bullet \prec 3$

$\circ \mid \bullet \prec 3$

Refinement

- We already sketched the proof that shows that each C-machine execution has a corresponding M-machine execution (refinement).
- This means that any functional correctness (not security or cost) property we prove about all M-machine executions of a program apply just as well to any C-machine executions of the same program.
- Now we want to prove that each E-machine execution has a corresponding C-machine execution (and therefore a M-machine execution).

Ingredients for Refinement

Once again, we want the same ingredients to prove a simulation proof that we did in the previous refinement. That is, we must define an abstraction function $\mathcal{A}$ that converts E-machine states to C-machine states, such that:

- Each initial state in the E-machine is mapped to an initial state in the C-Machine.
- Each final state in the E-machine is mapped to a final state in the C-Machine.
- For each transition from one state to another in the E-machine, either there exists a corresponding transition in the C-Machine, or the two states map to the same C-machine state.

How to define $\mathcal{A}$?

- Our abstraction function $\mathcal{A}$ applies the environment η as a substitution to the current expression, and to the stack, starting at the left.
- If any environment is encountered in the stack, switch to substituting with that environment instead.
- E-Machine values are converted to C-Machine values merely by applying the environment inside closures as a substitution to the expression inside the closure.

With such a function definition, it is trivial to prove that each E-Machine transition has a corresponding transition in the C-Machine, as it is 1:1.