



#### **COMP 4161**

#### NICTA Advanced Course

# **Advanced Topics in Software Verification**

Simon Winwood, Toby Murray, June Andronick, Gerwin Klein

# HOL

#### Slide 1

#### Content



- → Intro & motivation, getting started with Isabelle
- → Foundations & Principles
  - Lambda Calculus
  - Higher Order Logic, natural deduction
  - Term rewriting
- → Proof & Specification Techniques
  - Datatypes, recursion, induction
  - Inductively defined sets, rule induction
  - Calculational reasoning, mathematics style proofs
  - Hoare logic, proofs about programs

#### Slide 2

# **QUANTIFIERS**

#### Slide 3

# Scope



- Scope of parameters: whole subgoal
- Scope of  $\forall$ ,  $\exists$ , . . .: ends with ; or  $\Longrightarrow$

# Example:

$$\bigwedge x \ y. \ \llbracket \ \forall y. \ P \ y \longrightarrow Q \ z \ y; \ Q \ x \ y \ \rrbracket \implies \exists x. \ Q \ x \ y$$

means

 $\bigwedge x \ y. \ \llbracket \ (\forall y_1. \ P \ y_1 \longrightarrow Q \ z \ y_1); \ Q \ x \ y \ \rrbracket \implies (\exists x_1. \ Q \ x_1 \ y)$ 

Slide 4

1

2

# Natural deduction for quantifiers



$$\frac{\bigwedge x.\ P\ x}{\forall x.\ P\ x} \ \text{all} \qquad \frac{\forall x.\ P\ x}{R} \ \frac{P\ ?x \Longrightarrow R}{R} \ \text{allE}$$

$$\frac{P~?x}{\exists x.~P~x}~\text{exl} \qquad \frac{\exists x.~P~x~~\bigwedge x.~P~x \Longrightarrow R}{R}~\text{exE}$$

- all and exE introduce new parameters  $(\bigwedge x)$ .
- allE and ext introduce new unknowns (?x).

#### Slide 5

#### Instantiating Rules



**apply** (rule\_tac x = "term" in rule)

Like **rule**, but ?x in rule is instantiated by term before application.

Similar: erule\_tac

 $\cline{1}$  x is in rule, not in goal

Slide 6

# Two Successful Proofs



1.  $\forall x. \exists y. \ x = y$ 

apply (rule allI)

1.  $\bigwedge x$ .  $\exists y$ . x = y

best practice

exploration

apply (rule\_tac x = "x" in exl)

apply (rule exl)

1.  $\bigwedge x$ . x = x

1.  $\bigwedge x$ . x = ?y x

apply (rule refl)

**apply** (rule refl)  $?y \mapsto \lambda u.u$ 

simpler & clearer

shorter & trickier

#### Slide 7

# Two Unsuccessful Proofs



1.  $\exists y. \forall x. \ x = y$ 

apply (rule\_tac x = ??? in exl)

**apply** (rule exl) 1.  $\forall x. \ x = ?y$ 

apply (rule alli)

1.  $\bigwedge x. \ x = ?y$ 

apply (rule refl)

 $?y \mapsto x \text{ yields } \bigwedge x'.x' = x$ 

#### Principle:

 $f(x_1, \dots, x_n)$  can only be replaced by term  $f(x_1, \dots, x_n)$ 

**if**  $params(t) \subseteq x_1, \ldots, x_n$ 

Unsafe allE, exl

Create parameters first, unknowns later

Slide 9



DEMO: QUANTIFIER PROOFS

Slide 10

# Parameter names



#### Parameter names are chosen by Isabelle

```
1. \forall x. \exists y. \ x=y apply (rule allI)
1. \bigwedge x. \exists y. \ x=y apply (rule_tac x = "x" in exl)
```

# Brittle!

#### Slide 11

# Renaming parameters



```
1. \forall x. \ \exists y. \ x=y apply (rule allI)
1. \bigwedge x. \ \exists y. \ x=y apply (rename_tac N)
1. \bigwedge N. \ \exists y. \ N=y apply (rule_tac x = "N" in exI)
```

# In general:

(rename\_tac  $x_1 \ldots x_n$ ) renames the rightmost (inner) n parameters to  $x_1 \ldots x_n$ 

# Forward Proof: frule and drule



# apply (frule < rule >)

Substitution: 
$$\sigma(B_i) \equiv \sigma(A_1)$$

New subgoals: 1. 
$$\sigma(\llbracket B_1;\ldots;B_n\rrbracket\Longrightarrow A_2)$$
 :

m-1.  $\sigma(\llbracket B_1; \dots; B_n \rrbracket) \Longrightarrow A_m$ m.  $\sigma(\llbracket B_1; \dots; B_n; A \rrbracket) \Longrightarrow C$ 

Like **frule** but also deletes  $B_i$ : **apply** (drule < rule >)

#### Slide 13

# **Examples for Forward Rules**



$$\frac{P \wedge Q}{P}$$
 conjunct1  $\frac{P \wedge Q}{Q}$  conjunct2

$$\frac{P \longrightarrow Q \quad P}{Q} \ \, \mathrm{mp}$$

$$\frac{\forall x.\ P\ x}{P\ ?x}$$
 spec

Slide 14

# Forward Proof: OF



$$r$$
 [OF  $r_1 \dots r_n$ ]

Prove assumption 1 of theorem r with theorem  $r_1,$  and assumption 2 with theorem  $r_2,$  and  $\dots$ 

Rule 
$$r$$
  $[\![A_1; \ldots; A_m]\!] \Longrightarrow A$   
Rule  $r_1$   $[\![B_1; \ldots; B_n]\!] \Longrightarrow B$ 

Substitution 
$$\sigma(B) \equiv \sigma(A_1)$$

$$r \ [\mathsf{OF} \ r_1] \qquad \sigma(\llbracket B_1; \ldots; B_n; A_2; \ldots; A_m \rrbracket) \Longrightarrow A)$$

#### Slide 15

# Forward proofs: THEN



 $r_1$  [THEN  $r_2$ ] means  $r_2$  [OF  $r_1$ ]



**DEMO: FORWARD PROOFS** 

#### Slide 17

# Hilbert's Epsilon Operator





(David Hilbert, 1862-1943)

 $\varepsilon x$ . Px is a value that satisfies P (if such a value exists)

 $\varepsilon$  also known as description operator. In Isabelle the  $\varepsilon\text{-}\text{operator}$  is written SOME x. P x

$$\frac{P \, ?x}{P \, (\mathsf{SOME} \, x. \, P \, x)} \, \, \mathsf{somel}$$

Slide 18

# More Epsilon



arepsilon implies Axiom of Choice:

$$\forall x. \exists y. Q \ x \ y \Longrightarrow \exists f. \ \forall x. \ Q \ x \ (f \ x)$$

Existential and universal quantification can be defined with  $\varepsilon$ .

Isabelle also knows the definite description operator **THE** (aka  $\iota$ ):

 $\overline{(\mathsf{THE}\; x.\; x=a)=a}\;\;\mathsf{the\_eq\_trivial}$ 

#### Slide 19

#### Some Automation



More Proof Methods:

apply (intro <intro-rules>) repeatedly applies intro rules
apply (elim <elim-rules>) repeatedly applies elim rules

apply clarify applies all safe rules

that do not split the goal

apply safe applies all safe rules

**apply** blast an automatic tableaux prover

(works well on predicate logic)

apply fast another automatic search tactic



# **EPSILON AND AUTOMATION DEMO**

# Slide 21

# We have learned so far...



**NICTA** 

- → Proof rules for negation and contradiction
- → Proof rules for predicate calculus
- → Safe and unsafe rules
- → Forward Proof
- → The Epsilon Operator
- → Some automation