



COMP 4161  
NICTA Advanced Course

Advanced Topics in Software Verification

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# HOL

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## Content



Rough timeline

- Intro & motivation, getting started [1]
- Foundations & Principles
  - Lambda Calculus, natural deduction [2,3,4<sup>a</sup>]
  - Higher Order Logic [5,6<sup>b</sup>,7]
  - Term rewriting [8,9,10<sup>c</sup>]
- Proof & Specification Techniques
  - Isar [11,12<sup>d</sup>]
  - Inductively defined sets, rule induction [13<sup>e</sup>,15]
  - Datatypes, recursion, induction [16,17<sup>f</sup>,18,19]
  - Calculational reasoning, mathematics style proofs [20]
  - Hoare logic, proofs about programs [21<sup>g</sup>,22,23]

<sup>a</sup>a1 out; <sup>b</sup>a1 due; <sup>c</sup>a2 out; <sup>d</sup>a2 due; <sup>e</sup>session break; <sup>f</sup>a3 out; <sup>g</sup>a3 due

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## QUANTIFIERS

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## Scope



- Scope of parameters: whole subgoal
- Scope of  $\forall, \exists, \dots$ : ends with ; or  $\implies$

### Example:

$$\bigwedge x y. [\forall y. P y \longrightarrow Q z y; Q x y] \implies \exists x. Q x y$$

means

$$\bigwedge x y. [(\forall y_1. P y_1 \longrightarrow Q z y_1); Q x y] \implies (\exists x_1. Q x_1 y)$$

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## Natural deduction for quantifiers



$$\frac{\frac{\bigwedge x. P x}{\forall x. P x} \text{allI} \quad \frac{\forall x. P x \quad P ?x \implies R}{R} \text{allE}}{\frac{P ?x}{\exists x. P x} \text{exI} \quad \frac{\exists x. P x \quad \bigwedge x. P x \implies R}{R} \text{exE}}$$

- **allI** and **exE** introduce new parameters ( $\bigwedge x$ ).
- **allE** and **exI** introduce new unknowns ( $?x$ ).

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## Instantiating Rules



**apply** (rule\_tac x = "term" in rule)

Like **rule**, but  $?x$  in *rule* is instantiated by *term* before application.

Similar: **erule\_tac**

**!**  $x$  is in *rule*, not in goal **!**

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## Two Successful Proofs



1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\bigwedge x. \exists y. x = y$

best practice

**apply** (rule\_tac x = "x" in exI)

1.  $\bigwedge x. x = x$

**apply** (rule refl)

**simpler & clearer**

exploration

**apply** (rule exI)

1.  $\bigwedge x. x = ?y x$

**apply** (rule refl)

$?y \mapsto \lambda u. u$

**shorter & trickier**

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## Two Unsuccessful Proofs



1.  $\exists y. \forall x. x = y$

**apply** (rule\_tac x = "???" in exI)

**apply** (rule exI)

1.  $\forall x. x = ?y$

**apply** (rule allI)

1.  $\bigwedge x. x = ?y$

**apply** (rule refl)

$?y \mapsto x$  yields  $\bigwedge x'. x' = x$

Principle:

$?f x_1 \dots x_n$  can only be replaced by term  $t$

if  $params(t) \subseteq x_1, \dots, x_n$

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## Safe and Unsafe Rules



**Safe** allI, exE

**Unsafe** allE, exI

Create parameters first, unknowns later

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## DEMO: QUANTIFIER PROOFS

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## Parameter names



Parameter names are chosen by Isabelle

1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\wedge x. \exists y. x = y$

**apply** (rule\_tac x = "x" in exI)

**Brittle!**

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## Renaming parameters



1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\wedge x. \exists y. x = y$

**apply** (rename\_tac N)

1.  $\wedge N. \exists y. N = y$

**apply** (rule\_tac x = "N" in exI)

In general:

**(rename\_tac  $x_1 \dots x_n$ )** renames the rightmost (inner)  $n$  parameters to  $x_1 \dots x_n$

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Forward Proof: frule and drule



**apply** (frule < rule >)

Rule:  $[A_1; \dots; A_m] \Rightarrow A$

Subgoal: 1.  $[B_1; \dots; B_n] \Rightarrow C$

Substitution:  $\sigma(B_i) \equiv \sigma(A_i)$

New subgoals: 1.  $\sigma([B_1; \dots; B_n] \Rightarrow A_2)$   
 $\vdots$   
 m-1.  $\sigma([B_1; \dots; B_n] \Rightarrow A_m)$   
 m.  $\sigma([B_1; \dots; B_n; A] \Rightarrow C)$

Like **frule** but also deletes  $B_i$ : **apply** (drule < rule >)

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Examples for Forward Rules



$$\frac{P \wedge Q}{P} \text{ conjunct1} \quad \frac{P \wedge Q}{Q} \text{ conjunct2}$$

$$\frac{P \rightarrow Q \quad P}{Q} \text{ mp}$$

$$\frac{\forall x. P x}{P ?x} \text{ spec}$$

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Forward Proof: OF



$r$  [OF  $r_1 \dots r_n$ ]

Prove assumption 1 of theorem  $r$  with theorem  $r_1$ , and assumption 2 with theorem  $r_2$ , and ...

Rule  $r$   $[A_1; \dots; A_m] \Rightarrow A$

Rule  $r_1$   $[B_1; \dots; B_n] \Rightarrow B$

Substitution  $\sigma(B) \equiv \sigma(A_1)$

$r$  [OF  $r_1$ ]  $\sigma([B_1; \dots; B_n; A_2; \dots; A_m] \Rightarrow A)$

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Forward proofs: THEN



$r_1$  [THEN  $r_2$ ] means  $r_2$  [OF  $r_1$ ]

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## DEMO: FORWARD PROOFS

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### Hilbert's Epsilon Operator



(David Hilbert, 1862-1943)

$\varepsilon x. Px$  is a value that satisfies  $P$  (if such a value exists)

$\varepsilon$  also known as **description operator**.  
In Isabelle the  $\varepsilon$ -operator is written  $\text{SOME } x. P x$

$$\frac{P ?x}{P (\text{SOME } x. P x)} \text{ someI}$$

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### More Epsilon

$\varepsilon$  implies Axiom of Choice:

$$\forall x. \exists y. Q x y \implies \exists f. \forall x. Q x (f x)$$

Existential and universal quantification can be defined with  $\varepsilon$ .

Isabelle also knows the definite description operator **THE** (aka  $\iota$ ):

$$\frac{}{(\text{THE } x. x = a) = a} \text{ the_eq_trivial}$$

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### Some Automation

#### More Proof Methods:

- apply** (intro <intro-rules>) repeatedly applies intro rules
- apply** (elim <elim-rules>) repeatedly applies elim rules
- apply** clarify applies all safe rules that do not split the goal
- apply** safe applies all safe rules
- apply** blast an automatic tableaux prover (works well on predicate logic)
- apply** fast another automatic search tactic

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## EPSILON AND AUTOMATION DEMO

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We have learned so far...

- Proof rules for predicate calculus
- Safe and unsafe rules
- Forward Proof
- The Epsilon Operator
- Some automation

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## Exercises



→ We said that  $\mathcal{E}$  implies the Axiom of Choice:

$$\forall x. \exists y. Q x y \implies \exists f. \forall x. Q x (f x)$$

→ Prove the axiom of choice as a lemma, using only the introduction and elimination rules for  $\forall$  and  $\exists$ , namely `allI`, `exI`, `allE`, `exE`, and the introduction rule for  $\mathcal{E}$ , `someI`, using only the proof methods `rule`, `rule_tac`, `erule`, `erule_tac` and `assumption`.

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