

COMP4161: Advanced Topics in Software Verification



Gerwin Klein, June Andronick, Ramana Kumar S2/2016



## Content

DATA IIII CSIRO	)
[1]	

→	Intro	&	motivation,	getting	started
---	-------	---	-------------	---------	---------

→ Foundations & Principles

<ul> <li>Lambda Calculus, natural deduction</li> </ul>	[1,2]
Higher Order Logic	[3ª]
<ul> <li>Term rewriting</li> </ul>	[4]

→ Proof & Specification Techniques

Inductively defined sets, rule induction

	[-]
<ul> <li>Datatypes, recursion, induction</li> </ul>	[6, 7]
<ul> <li>Hoare logic, proofs about programs, C verification</li> </ul>	$[8^{b}, 9]$

(mid-semester break)

<ul> <li>Writing Automated Proof Methods</li> </ul>	[10]
	[110.10]

Isar, codegen, typeclasses, locales [11c,12]

<sup>&</sup>lt;sup>a</sup>a1 due: <sup>b</sup>a2 due: <sup>c</sup>a3 due

DATA JULI

→ Defining HOL



- → Defining HOL
- → Higher Order Abstract Syntax



- → Defining HOL
- → Higher Order Abstract Syntax
- → Deriving proof rules



- → Defining HOL
- → Higher Order Abstract Syntax
- → Deriving proof rules
- → More automation



## The Problem



## Given a set of equations

$$l_1 = r_1$$

$$l_2 = r_2$$

$$\vdots$$

$$l_n = r_n$$

## The Problem



### Given a set of equations

$$l_1 = r_1$$

$$l_2 = r_2$$

$$\vdots$$

$$l_n = r_n$$

does equation l = r hold?

## The Problem



### Given a set of equations

$$l_1 = r_1$$

$$l_2 = r_2$$

$$\vdots$$

$$l_n = r_n$$

does equation l = r hold?

### **Applications in:**

- → Mathematics (algebra, group theory, etc)
- → Functional Programming (model of execution)
- → Theorem Proving (dealing with equations, simplifying statements)

# Term Rewriting: The Idea



use equations as reduction rules

$$\begin{array}{c}
l_1 \longrightarrow r_1 \\
l_2 \longrightarrow r_2 \\
\vdots \\
l_n \longrightarrow r_n
\end{array}$$

decide l = r by deciding  $l \stackrel{*}{\longleftrightarrow} r$ 



$$\stackrel{0}{\longrightarrow} = \{(x,y)|x=y\}$$
 identity



$$\begin{array}{cccc} \stackrel{0}{\longrightarrow} & = & \{(x,y)|x=y\} & & \text{identity} \\ \stackrel{n+1}{\longrightarrow} & = & \stackrel{n}{\longrightarrow} \circ \longrightarrow & & \text{n+1 fold composition} \end{array}$$



$$\begin{array}{cccc} \stackrel{0}{\longrightarrow} & = & \{(x,y)|x=y\} & & \text{identity} \\ \stackrel{n+1}{\longrightarrow} & = & \stackrel{n}{\longrightarrow} \circ \longrightarrow & & \text{n+1 fold composition} \\ \stackrel{+}{\longrightarrow} & = & \bigcup_{i>0} \stackrel{i}{\longrightarrow} & & \text{transitive closure} \end{array}$$





 $\{y\}$  identity n+1 fold composition

transitive closure reflexive transitive closure reflexive closure









DATA IIII CSIRO

Same idea as for  $\beta$ :



**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

Does this always work?



**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

## Does this always work?

If  $I \xrightarrow{*} n$  and  $r \xrightarrow{*} n$  then  $I \xleftarrow{*} r$ . Ok.



**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

## Does this always work?

If  $l \xrightarrow{*} n$  and  $r \xrightarrow{*} n$  then  $l \xleftarrow{*} r$ . Ok. If  $l \xleftarrow{*} r$ , will there always be a suitable n?



**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

## Does this always work?

If 
$$l \xrightarrow{*} n$$
 and  $r \xrightarrow{*} n$  then  $l \xleftarrow{*} r$ . Ok. If  $l \xleftarrow{*} r$ , will there always be a suitable  $n$ ? **No!**

Rules: 
$$f \times A \longrightarrow a$$
,  $g \times A \longrightarrow b$ ,  $f (g \times A) \longrightarrow b$ 



**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

## Does this always work?

If 
$$l \stackrel{*}{\longrightarrow} n$$
 and  $r \stackrel{*}{\longrightarrow} n$  then  $l \stackrel{*}{\longleftrightarrow} r$ . Ok. If  $l \stackrel{*}{\longleftrightarrow} r$ , will there always be a suitable  $n$ ? **No!**

Rules: 
$$f \times \longrightarrow a$$
,  $g \times \longrightarrow b$ ,  $f(g \times) \longrightarrow b$   
 $f \times \stackrel{*}{\longleftrightarrow} g \times \text{ because } f \times \longrightarrow a \longleftarrow f(g \times) \longrightarrow b \longleftarrow g \times b$ 



**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

## Does this always work?

If  $l \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$  then  $l \stackrel{*}{\longleftrightarrow} r$ . Ok. If  $l \stackrel{*}{\longleftrightarrow} r$ , will there always be a suitable n? **No!** 

Rules: 
$$f \times \longrightarrow a$$
,  $g \times \longrightarrow b$ ,  $f (g \times) \longrightarrow b$   
 $f \times \stackrel{*}{\longleftrightarrow} g \times because \quad f \times \longrightarrow a \longleftarrow f (g \times) \longrightarrow b \longleftarrow g \times b$   
**But:**  $f \times \longrightarrow a$  and  $g \times \longrightarrow b$  and  $g \times \longrightarrow b$  in normal form



**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

## Does this always work?

If  $l \xrightarrow{*} n$  and  $r \xrightarrow{*} n$  then  $l \xleftarrow{*} r$ . Ok. If  $l \xleftarrow{*} r$ , will there always be a suitable n? **No!** 

### Example:

Rules: 
$$f \times \longrightarrow a$$
,  $g \times \longrightarrow b$ ,  $f (g \times) \longrightarrow b$   
 $f \times \stackrel{*}{\longleftrightarrow} g \times because \quad f \times \longrightarrow a \longleftarrow f (g \times) \longrightarrow b \longleftarrow g \times b$   
**But:**  $f \times \longrightarrow a$  and  $g \times \longrightarrow b$  and  $g \times \longrightarrow b$  in normal form

Works only for systems with **Church-Rosser** property:  $I \stackrel{*}{\longleftrightarrow} r \Longrightarrow \exists n \ I \stackrel{*}{\longleftrightarrow} n \land r \stackrel{*}{\longleftrightarrow} n$ 



**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

## Does this always work?

If  $l \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$  then  $l \stackrel{*}{\longleftrightarrow} r$ . Ok. If  $l \stackrel{*}{\longleftrightarrow} r$ , will there always be a suitable n? **No!** 

### Example:

Rules: 
$$f \times \longrightarrow a$$
,  $g \times \longrightarrow b$ ,  $f (g \times) \longrightarrow b$   
 $f \times \stackrel{*}{\longleftrightarrow} g \times because \quad f \times \longrightarrow a \longleftarrow f (g \times) \longrightarrow b \longleftarrow g \times b$   
**But:**  $f \times \longrightarrow a$  and  $g \times \longrightarrow b$  and  $g \times \longrightarrow b$  in normal form

Works only for systems with **Church-Rosser** property:  $I \stackrel{*}{\longleftrightarrow} r \Longrightarrow \exists n, I \stackrel{*}{\longleftrightarrow} n \land r \stackrel{*}{\longleftrightarrow} n$ 

**Fact:**  $\longrightarrow$  is Church-Rosser iff it is confluent.





#### Problem:

is a given set of reduction rules confluent?





#### Problem:

is a given set of reduction rules confluent?

#### undecidable





#### Problem:

is a given set of reduction rules confluent?

#### undecidable

#### **Local Confluence**







#### **Problem:**

is a given set of reduction rules confluent?

#### undecidable

#### **Local Confluence**



**Fact:** local confluence and termination ⇒ confluence

## **Termination**



- $\longrightarrow$  is **terminating** if there are no infinite reduction chains
- $\longrightarrow$  is **normalizing** if each element has a normal form
- $\longrightarrow$  is convergent if it is terminating and confluent

## **Termination**



- $\longrightarrow$  is **terminating** if there are no infinite reduction chains
- $\longrightarrow$  is **normalizing** if each element has a normal form
- $\longrightarrow$  is convergent if it is terminating and confluent

## Example:

 $\longrightarrow_{\beta}$  in  $\lambda$  is not terminating, but confluent

## **Termination**



- $\longrightarrow$  is **terminating** if there are no infinite reduction chains
- $\longrightarrow$  is **normalizing** if each element has a normal form
- $\longrightarrow$  is **convergent** if it is terminating and confluent

- $\longrightarrow_{\beta}$  in  $\lambda$  is not terminating, but confluent
- $\longrightarrow_{\beta}$  in  $\lambda^{\rightarrow}$  is terminating and confluent, i.e. convergent

### **Termination**



- $\longrightarrow$  is **terminating** if there are no infinite reduction chains
- $\longrightarrow$  is **normalizing** if each element has a normal form
- $\longrightarrow$  is **convergent** if it is terminating and confluent

#### Example:

- $\longrightarrow_{\beta}$  in  $\lambda$  is not terminating, but confluent
- $\longrightarrow_{\beta}$  in  $\lambda^{\rightarrow}$  is terminating and confluent, i.e. convergent

**Problem:** is a given set of reduction rules terminating?

### **Termination**



- $\longrightarrow$  is **terminating** if there are no infinite reduction chains
- $\longrightarrow$  is **normalizing** if each element has a normal form
- $\longrightarrow$  is **convergent** if it is terminating and confluent

#### Example:

- $\longrightarrow_{\beta}$  in  $\lambda$  is not terminating, but confluent
- $\longrightarrow_{\beta}$  in  $\lambda^{\rightarrow}$  is terminating and confluent, i.e. convergent

**Problem:** is a given set of reduction rules terminating?

undecidable



Basic idea:



Basic idea: when each rule application makes terms simpler in some way.



Basic idea: when each rule application makes terms simpler in some way.

```
More formally: \longrightarrow is terminating when there is a well founded order < on terms for which s < t whenever t \longrightarrow s (well founded = no infinite decreasing chains a_1 > a_2 > \ldots)
```

#### Example:



**Basic idea:** when each rule application makes terms simpler in some way.

 $\textbf{More formally} : \longrightarrow \text{is terminating when there is a well founded}$ 

order < on terms for which s < t whenever  $t \longrightarrow s$ 

(well founded = no infinite decreasing chains  $a_1 > a_2 > ...$ )

**Example:**  $f(g x) \longrightarrow g x, g(f x) \longrightarrow f x$ 

This system always terminates. Reduction order:



**Basic idea:** when each rule application makes terms simpler in some way.

More formally:  $\longrightarrow$  is terminating when there is a well founded

order 
$$<$$
 on terms for which  $s < t$  whenever  $t \longrightarrow s$ 

(well founded = no infinite decreasing chains 
$$a_1 > a_2 > \ldots$$
)

**Example:** 
$$f(g x) \longrightarrow g(f x) \longrightarrow f(x)$$

This system always terminates. Reduction order:

$$s <_r t \text{ iff } size(s) < size(t) \text{ with }$$

$$size(s) = number of function symbols in s$$



**Basic idea:** when each rule application makes terms simpler in some way.

More formally:  $\longrightarrow$  is terminating when there is a well founded

order 
$$<$$
 on terms for which  $s < t$  whenever  $t \longrightarrow s$ 

(well founded = no infinite decreasing chains 
$$a_1 > a_2 > \ldots$$
)

**Example:** 
$$f(g x) \longrightarrow g x, g(f x) \longrightarrow f x$$

This system always terminates. Reduction order:

$$s <_r t$$
 iff  $size(s) < size(t)$  with  $size(s) =$  number of function symbols in  $s$ 

 $\odot$  Both rules always decrease size by 1 when applied to any term t



Basic idea: when each rule application makes terms simpler in some way.

More formally:  $\longrightarrow$  is terminating when there is a well founded

order 
$$<$$
 on terms for which  $s < t$  whenever  $t \longrightarrow s$  (well founded = no infinite decreasing chains  $a_1 > a_2 > ...$ )

**Example:** 
$$f(g x) \longrightarrow g x, g(f x) \longrightarrow f x$$

This system always terminates. Reduction order:

$$s <_r t$$
 iff  $size(s) < size(t)$  with  $size(s) =$  number of function symbols in  $s$ 

- $\odot$  Both rules always decrease size by 1 when applied to any term t
- $@<_r$  is well founded, because < is well founded on  ${
  m I\! N}$



In practice: often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term t.

Show



**In practice:** often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term t.

**Show** for each rule  $l_i = r_i$ , that  $r_i < l_i$ .



**In practice:** often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term t.

**Show** for each rule  $l_i = r_i$ , that  $r_i < l_i$ .

#### Example:

$$g \times f (g \times)$$
 and  $f \times g (f \times)$ 

#### Requires



**In practice:** often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term t.

**Show** for each rule  $l_i = r_i$ , that  $r_i < l_i$ .

#### Example:

$$g \times f (g \times)$$
 and  $f \times g (f \times)$ 

#### Requires

u to become smaller whenever any subterm of u is made smaller.

#### Formally:



**In practice:** often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term *t*.

**Show** for each rule  $l_i = r_i$ , that  $r_i < l_i$ .

#### Example:

$$g \times f (g \times)$$
 and  $f \times g (f \times)$ 

#### Requires

u to become smaller whenever any subterm of u is made smaller.

#### Formally:

Requires < to be **monotonic** with respect to the structure of terms:

$$s < t \longrightarrow u[s] < u[t].$$



**In practice:** often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term *t*.

**Show** for each rule  $l_i = r_i$ , that  $r_i < l_i$ .

#### **Example:**

$$g \times f (g \times)$$
 and  $f \times g (f \times)$ 

#### Requires

u to become smaller whenever any subterm of u is made smaller.

#### Formally:

Requires < to be **monotonic** with respect to the structure of terms:

$$s < t \longrightarrow u[s] < u[t].$$

True for most orders that don't treat certain parts of terms as special cases.



**Problem:** Rewrite formulae containing  $\neg$ ,  $\land$ ,  $\lor$  and  $\longrightarrow$ , so that they don't contain any implications and  $\neg$  is applied only to variables and constants.



**Problem:** Rewrite formulae containing  $\neg$ ,  $\land$ ,  $\lor$  and  $\longrightarrow$ , so that they don't contain any implications and  $\neg$  is applied only to variables and constants.

**Rewrite Rules:** 



**Problem:** Rewrite formulae containing  $\neg$ ,  $\land$ ,  $\lor$  and  $\longrightarrow$ , so that they don't contain any implications and  $\neg$  is applied only to variables and constants.

#### **Rewrite Rules:**

→ Remove implications:



**Problem:** Rewrite formulae containing  $\neg$ ,  $\land$ ,  $\lor$  and  $\longrightarrow$ , so that they don't contain any implications and  $\neg$  is applied only to variables and constants.

#### **Rewrite Rules:**

→ Remove implications:

**imp**: 
$$(A \longrightarrow B) = (\neg A \lor B)$$



**Problem:** Rewrite formulae containing  $\neg$ ,  $\land$ ,  $\lor$  and  $\longrightarrow$ , so that they don't contain any implications and  $\neg$  is applied only to variables and constants.

#### **Rewrite Rules:**

→ Remove implications:

**imp**: 
$$(A \longrightarrow B) = (\neg A \lor B)$$

→ Push ¬s down past other operators:



**Problem:** Rewrite formulae containing  $\neg$ ,  $\land$ ,  $\lor$  and  $\longrightarrow$ , so that they don't contain any implications and  $\neg$  is applied only to variables and constants.

#### **Rewrite Rules:**

→ Remove implications:

**imp**: 
$$(A \longrightarrow B) = (\neg A \lor B)$$

→ Push ¬s down past other operators:

**notnot:** 
$$(\neg \neg P) = P$$

**notand:** 
$$(\neg(A \land B)) = (\neg A \lor \neg B)$$

**notor:** 
$$(\neg(A \lor B)) = (\neg A \land \neg B)$$



**Problem:** Rewrite formulae containing  $\neg$ ,  $\land$ ,  $\lor$  and  $\longrightarrow$ , so that they don't contain any implications and  $\neg$  is applied only to variables and constants.

#### **Rewrite Rules:**

→ Remove implications:

**imp**: 
$$(A \longrightarrow B) = (\neg A \lor B)$$

→ Push ¬s down past other operators:

**notnot:**  $(\neg \neg P) = P$ 

**notand:**  $(\neg(A \land B)) = (\neg A \lor \neg B)$ 

**notor:**  $(\neg (A \lor B)) = (\neg A \land \neg B)$ 

We show that the rewrite system defined by these rules is terminating.



Each time one of our rules is applied, either:

- → an implication is removed, or
- $\rightarrow$  something that is not a  $\neg$  is hoisted upwards in the term.



Each time one of our rules is applied, either:

- → an implication is removed, or
- $\rightarrow$  something that is not a  $\neg$  is hoisted upwards in the term.

This suggests a 2-part order,  $<_r$ :  $s <_r t$  iff:

- $\rightarrow$  num\_imps  $s < \text{num_imps } t$ , or
- $\rightarrow$  num\_imps  $s = \text{num\_imps } t \land \text{osize } s < \text{osize } t$ .



Each time one of our rules is applied, either:

- → an implication is removed, or
- → something that is not a ¬ is hoisted upwards in the term.

This suggests a 2-part order,  $<_r$ :  $s <_r t$  iff:

- $\rightarrow$  num\_imps s < num\_imps t, or
- → num\_imps  $s = \text{num\_imps } t \land \text{osize } s < \text{osize } t$ .

Let:

- $\Rightarrow$   $s <_i t \equiv \text{num\_imps } s < \text{num\_imps } t \text{ and}$
- $\Rightarrow$   $s <_n t \equiv \text{osize } s < \text{osize } t$

Then  $<_i$  and  $<_n$  are both well-founded orders (since both return nats).



Each time one of our rules is applied, either:

- → an implication is removed, or
- $\rightarrow$  something that is not a  $\neg$  is hoisted upwards in the term.

This suggests a 2-part order,  $<_r$ :  $s <_r t$  iff:

- $\rightarrow$  num\_imps s < num\_imps t, or
- → num\_imps  $s = \text{num\_imps } t \land \text{osize } s < \text{osize } t$ .

#### Let:

- $\Rightarrow$   $s <_i t \equiv \text{num\_imps } s < \text{num\_imps } t \text{ and}$
- $\Rightarrow$   $s <_n t \equiv \text{osize } s < \text{osize } t$

Then  $<_i$  and  $<_n$  are both well-founded orders (since both return nats).  $<_r$  is the lexicographic order over  $<_i$  and  $<_n$ .  $<_r$  is well-founded since  $<_i$  and  $<_n$  are both well-founded.



imp clearly decreases num\_imps.



imp clearly decreases num\_imps.

osize adds up all non-¬ operators and variables/constants, weights each one according to its depth within the term.



imp clearly decreases num\_imps.

osize adds up all non- $\neg$  operators and variables/constants, weights each one according to its depth within the term.

```
osize' c x = 2^x

osize' (\neg P) x = \text{osize'} \ P \ (x+1)

osize' (P \land Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \lor Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \longrightarrow Q) \ x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize P = osize' P \ 0
```



imp clearly decreases num\_imps.

osize adds up all non- $\neg$  operators and variables/constants, weights each one according to its depth within the term.

```
osize' c x = 2^x

osize' (\neg P) x = \text{osize'} \ P \ (x+1)

osize' (P \land Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \lor Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \longrightarrow Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize P = osize' P \ 0
```

The other rules decrease the depth of the things osize counts, so decrease osize.



Term rewriting engine in Isabelle is called **Simplifier** 



Term rewriting engine in Isabelle is called Simplifier

apply simp

→ uses simplification rules



Term rewriting engine in Isabelle is called Simplifier

apply simp

- → uses simplification rules
- → (almost) blindly from left to right



#### Term rewriting engine in Isabelle is called Simplifier

#### apply simp

- → uses simplification rules
- → (almost) blindly from left to right
- → until no rule is applicable.



Term rewriting engine in Isabelle is called **Simplifier** 

#### apply simp

- → uses simplification rules
- → (almost) blindly from left to right
- → until no rule is applicable.

**termination:** not guaranteed (may loop)



#### Term rewriting engine in Isabelle is called **Simplifier**

#### apply simp

→ uses simplification rules

→ (almost) blindly from left to right

→ until no rule is applicable.

termination: not guaranteed

(may loop)

**confluence:** not guaranteed

(result may depend on which rule is used first)

### Control



→ Equations turned into simplification rules with [simp] attribute

### Control



- → Equations turned into simplification rules with [simp] attribute
- → Adding/deleting equations locally: apply (simp add: <rules>) and apply (simp del: <rules>)

### **Control**



- → Equations turned into simplification rules with [simp] attribute
- → Adding/deleting equations locally: apply (simp add: <rules>) and apply (simp del: <rules>)
- → Using only the specified set of equations: apply (simp only: <rules>)





→ Equations and Term Rewriting



→ Equations and Term Rewriting



- → Equations and Term Rewriting
- → Confluence and Termination of reduction systems



- → Equations and Term Rewriting
- → Confluence and Termination of reduction systems
- → Term Rewriting in Isabelle

#### **Exercises**



→ Show, via a pen-and-paper proof, that the osize function is monotonic with respect to the structure of terms from that example.