

COMP4161: Advanced Topics in Software Verification

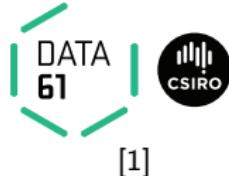


Gerwin Klein, June Andronick, Ramana Kumar  
S2/2016

[data61.csiro.au](http://data61.csiro.au)



# Content



- Intro & motivation, getting started [1]
- Foundations & Principles
  - Lambda Calculus, natural deduction [1,2]
  - Higher Order Logic [3<sup>a</sup>]
  - Term rewriting [4]
- Proof & Specification Techniques
  - Inductively defined sets, rule induction [5]
  - Datatypes, recursion, induction [6, 7]
  - Hoare logic, proofs about programs, C verification [8<sup>b</sup>,9]
  - (mid-semester break)
  - Writing Automated Proof Methods [10]
  - Isar, codegen, typeclasses, locales [11<sup>c</sup>,12]

---

<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

# Last Time



→ Conditional term rewriting

# Last Time



- Conditional term rewriting
- Case Splitting with the simplifier

# Last Time



- Conditional term rewriting
- Case Splitting with the simplifier
- Congruence rules

# Last Time



- Conditional term rewriting
- Case Splitting with the simplifier
- Congruence rules
- AC Rules

# Last Time



- Conditional term rewriting
- Case Splitting with the simplifier
- Congruence rules
- AC Rules
- Knuth-Bendix Completion (Waldmeister)

# Last Time



- Conditional term rewriting
- Case Splitting with the simplifier
- Congruence rules
- AC Rules
- Knuth-Bendix Completion (Waldmeister)
- Orthogonal Rewrite Systems

# Specification Techniques

## Sets

# Sets in Isabelle



Type '**a set**: sets over type '**a**

# Sets in Isabelle



Type '**a set**: sets over type '**a**

→  $\{\}, \{e_1, \dots, e_n\}, \{x. P x\}$

# Sets in Isabelle



Type '**a set**: sets over type '**a**

- $\{\}, \{e_1, \dots, e_n\}, \{x. P x\}$
- $e \in A, A \subseteq B$

# Sets in Isabelle



Type '**a set**: sets over type '**a**

- $\{\}, \{e_1, \dots, e_n\}, \{x. P x\}$
- $e \in A, A \subseteq B$
- $A \cup B, A \cap B, A - B, -A$

# Sets in Isabelle



Type '**a set**: sets over type '**a**

- $\{\}, \{e_1, \dots, e_n\}, \{x. P x\}$
- $e \in A, A \subseteq B$
- $A \cup B, A \cap B, A - B, \neg A$
- $\bigcup x \in A. B x, \bigcap x \in A. B x, \bigcap A, \bigcup A$

# Sets in Isabelle



Type '**a set**: sets over type '**a**

- $\{\}, \{e_1, \dots, e_n\}, \{x. P x\}$
- $e \in A, A \subseteq B$
- $A \cup B, A \cap B, A - B, \neg A$
- $\bigcup x \in A. B x, \bigcap x \in A. B x, \bigcap A, \bigcup A$
- $\{i..j\}$

# Sets in Isabelle



Type '**a set**: sets over type '**a**

- $\{\}, \{e_1, \dots, e_n\}, \{x. P x\}$
- $e \in A, A \subseteq B$
- $A \cup B, A \cap B, A - B, \neg A$
- $\bigcup x \in A. B x, \bigcap x \in A. B x, \bigcap A, \bigcup A$
- $\{i..j\}$
- `insert :: α ⇒ α set ⇒ α set`

# Sets in Isabelle



Type '**a set**: sets over type '**a**

- $\{\}, \{e_1, \dots, e_n\}, \{x. P x\}$
- $e \in A, A \subseteq B$
- $A \cup B, A \cap B, A - B, \neg A$
- $\bigcup x \in A. B x, \bigcap x \in A. B x, \bigcap A, \bigcup A$
- $\{i..j\}$
- `insert :: α ⇒ α set ⇒ α set`
- $f'A \equiv \{y. \exists x \in A. y = f x\}$
- ...

# Proofs about Sets



Natural deduction proofs:

→ equality:  $\llbracket A \subseteq B; B \subseteq A \rrbracket \implies A = B$

# Proofs about Sets



Natural deduction proofs:

- equalityl:  $\llbracket A \subseteq B; B \subseteq A \rrbracket \implies A = B$
- subsetl:  $(\bigwedge x. x \in A \implies x \in B) \implies A \subseteq B$

# Proofs about Sets



Natural deduction proofs:

- equalityl:  $\llbracket A \subseteq B; B \subseteq A \rrbracket \implies A = B$
- subsetl:  $(\bigwedge x. x \in A \implies x \in B) \implies A \subseteq B$
- ... (see Tutorial)

# Bounded Quantifiers



→  $\forall x \in A. P x$

# Bounded Quantifiers



→  $\forall x \in A. P x \equiv \forall x. x \in A \longrightarrow P x$

# Bounded Quantifiers



- $\forall x \in A. P x \equiv \forall x. x \in A \longrightarrow P x$
- $\exists x \in A. P x$

# Bounded Quantifiers



- $\forall x \in A. P x \equiv \forall x. x \in A \longrightarrow P x$
- $\exists x \in A. P x \equiv \exists x. x \in A \wedge P x$

# Bounded Quantifiers



- $\forall x \in A. P x \equiv \forall x. x \in A \rightarrow P x$
- $\exists x \in A. P x \equiv \exists x. x \in A \wedge P x$
- ballI:  $(\bigwedge x. x \in A \Rightarrow P x) \Rightarrow \forall x \in A. P x$
- bspec:  $\llbracket \forall x \in A. P x; x \in A \rrbracket \Rightarrow P x$

# Bounded Quantifiers



- $\forall x \in A. P x \equiv \forall x. x \in A \rightarrow P x$
- $\exists x \in A. P x \equiv \exists x. x \in A \wedge P x$
- ballI:  $(\bigwedge x. x \in A \Rightarrow P x) \Rightarrow \forall x \in A. P x$
- bspec:  $\llbracket \forall x \in A. P x; x \in A \rrbracket \Rightarrow P x$
- bexI:  $\llbracket P x; x \in A \rrbracket \Rightarrow \exists x \in A. P x$
- bexE:  $\llbracket \exists x \in A. P x; \bigwedge x. \llbracket x \in A; P x \rrbracket \Rightarrow Q \rrbracket \Rightarrow Q$

# Demo

Sets

# The Three Basic Ways of Introducing Theorems



→ Axioms:

Example: **axiomatization where** refl: " $t = t$ "

# The Three Basic Ways of Introducing Theorems



→ Axioms:

Example: axiomatization where refl: " $t = t$ "

**Do not use. Evil. Can make your logic inconsistent.**

# The Three Basic Ways of Introducing Theorems



## → Axioms:

Example: **axiomatization where refl: "t = t"**

**Do not use. Evil. Can make your logic inconsistent.**

## → Definitions:

Example: **definition inj where "inj f ≡ ∀x y. f x = f y → x = y"**

# The Three Basic Ways of Introducing Theorems



## → Axioms:

Example: **axiomatization where refl: "t = t"**

**Do not use. Evil. Can make your logic inconsistent.**

## → Definitions:

Example: **definition inj where "inj f ≡ ∀x y. f x = f y → x = y"**

Introduces a new lemma called inj\_def.

# The Three Basic Ways of Introducing Theorems



## → Axioms:

Example: **axiomatization where refl: "t = t"**

**Do not use. Evil. Can make your logic inconsistent.**

## → Definitions:

Example: **definition inj where "inj f ≡ ∀x y. f x = f y → x = y"**

Introduces a new lemma called inj\_def.

## → Proofs:

Example: **lemma "inj (λx. x + 1)"**

# The Three Basic Ways of Introducing Theorems



## → Axioms:

Example: **axiomatization where refl: "t = t"**

**Do not use. Evil. Can make your logic inconsistent.**

## → Definitions:

Example: **definition inj where "inj f ≡ ∀x y. f x = f y → x = y"**

Introduces a new lemma called inj\_def.

## → Proofs:

Example: **lemma "inj (λx. x + 1)"**

**The harder, but safe choice.**

# The Three Basic Ways of Introducing Types



→ **typedecl**: by name only

Example:      **typedecl** *names*

Introduces new type *names* without any further assumptions

# The Three Basic Ways of Introducing Types



- **typedecl**: by name only

Example:        **typedecl** *names*

Introduces new type *names* without any further assumptions

- **type\_synonym**: by abbreviation

Example:        **type\_synonym**  $\alpha$  *rel* = " $\alpha \Rightarrow \alpha \Rightarrow \text{bool}$ "

Introduces abbreviation *rel* for existing type  $\alpha \Rightarrow \alpha \Rightarrow \text{bool}$

Type abbreviations are immediately expanded internally

# The Three Basic Ways of Introducing Types



- **typedecl:** by name only

Example:       **typedecl** *names*

Introduces new type *names* without any further assumptions

- **type\_synonym:** by abbreviation

Example:       **type\_synonym**  $\alpha$  *rel* = " $\alpha \Rightarrow \alpha \Rightarrow \text{bool}$ "

Introduces abbreviation *rel* for existing type  $\alpha \Rightarrow \alpha \Rightarrow \text{bool}$

Type abbreviations are immediately expanded internally

- **typedef:** by definition as a set

Example:       **typedef** *new\_type* = "<{some set}" <proof>

Introduces a new type as a subset of an existing type.

The proof shows that the set on the rhs is non-empty.

# How `typedef` works



new type

# How `typedef` works



new type

existing type

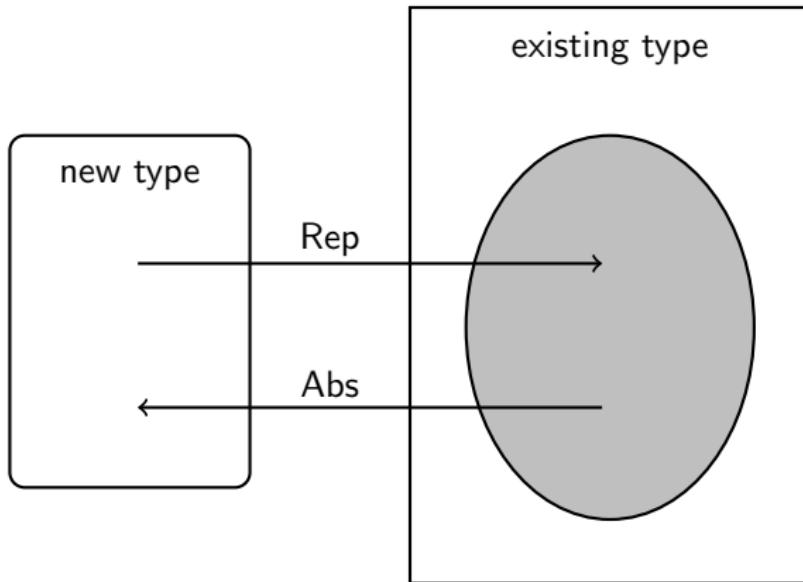
# How `typedef` works



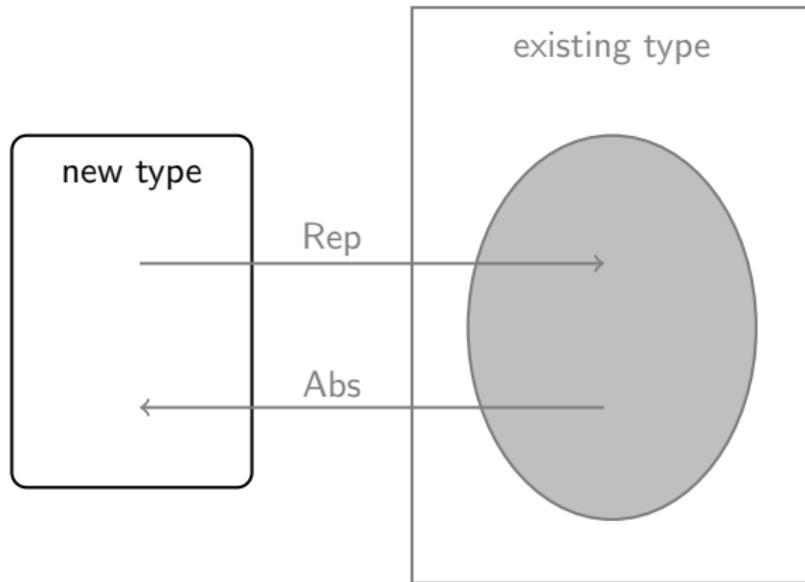
new type

existing type

# How `typedef` works



# How `typedef` works



# Example: Pairs



$(\alpha, \beta)$  Prod

- ① Pick existing type:

# Example: Pairs



$(\alpha, \beta)$  Prod

- ① Pick existing type:  $\alpha \Rightarrow \beta \Rightarrow \text{bool}$
- ② Identify subset:

# Example: Pairs



$(\alpha, \beta)$  Prod

① Pick existing type:  $\alpha \Rightarrow \beta \Rightarrow \text{bool}$

② Identify subset:

$$(\alpha, \beta) \text{ Prod} = \{f. \exists a b. f = \lambda(x :: \alpha) (y :: \beta). x = a \wedge y = b\}$$

③ We get from Isabelle:

# Example: Pairs



$(\alpha, \beta)$  Prod

① Pick existing type:  $\alpha \Rightarrow \beta \Rightarrow \text{bool}$

② Identify subset:

$$(\alpha, \beta) \text{ Prod} = \{f. \exists a. b. f = \lambda(x :: \alpha) (y :: \beta). x = a \wedge y = b\}$$

③ We get from Isabelle:

- functions Abs\_Prod, Rep\_Prod
- both injective
- $\text{Abs\_Prod} (\text{Rep\_Prod } x) = x$

④ We now can:

# Example: Pairs



$(\alpha, \beta)$  Prod

① Pick existing type:  $\alpha \Rightarrow \beta \Rightarrow \text{bool}$

② Identify subset:

$$(\alpha, \beta) \text{ Prod} = \{f. \exists a b. f = \lambda(x :: \alpha) (y :: \beta). x = a \wedge y = b\}$$

③ We get from Isabelle:

- functions Abs\_Prod, Rep\_Prod
- both injective
- $\text{Abs\_Prod} (\text{Rep\_Prod } x) = x$

④ We now can:

- define constants Pair, fst, snd in terms of Abs\_Prod and Rep\_Prod
- derive all characteristic theorems
- forget about Rep/Abs, use characteristic theorems instead

# Demo

## Introducing new Types

# Inductive Definitions

# Example



$$\frac{}{\langle \text{skip}, \sigma \rangle \longrightarrow \sigma} \quad \frac{\llbracket e \rrbracket \sigma = v}{\langle x := e, \sigma \rangle \longrightarrow \sigma[x \mapsto v]}$$

$$\frac{\langle c_1, \sigma \rangle \longrightarrow \sigma' \quad \langle c_2, \sigma' \rangle \longrightarrow \sigma''}{\langle c_1; c_2, \sigma \rangle \longrightarrow \sigma''}$$

$$\frac{\llbracket b \rrbracket \sigma = \text{False}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma}$$

$$\frac{\llbracket b \rrbracket \sigma = \text{True} \quad \langle c, \sigma \rangle \longrightarrow \sigma' \quad \langle \text{while } b \text{ do } c, \sigma' \rangle \longrightarrow \sigma''}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma''}$$

# What does this mean?



# What does this mean?



→  $\langle c, \sigma \rangle \longrightarrow \sigma'$  fancy syntax for a relation  $(c, \sigma, \sigma') \in E$

# What does this mean?



- $\langle c, \sigma \rangle \longrightarrow \sigma'$  fancy syntax for a relation  $(c, \sigma, \sigma') \in E$
- relations are sets:  $E :: (\text{com} \times \text{state} \times \text{state})$  set

# What does this mean?



- $\langle c, \sigma \rangle \longrightarrow \sigma'$  fancy syntax for a relation  $(c, \sigma, \sigma') \in E$
- relations are sets:  $E :: (\text{com} \times \text{state} \times \text{state})$  set
- the rules define a set inductively

# What does this mean?



- $\langle c, \sigma \rangle \longrightarrow \sigma'$  fancy syntax for a relation  $(c, \sigma, \sigma') \in E$
- relations are sets:  $E :: (\text{com} \times \text{state} \times \text{state})$  set
- the rules define a set inductively

**But which set?**

# Simpler Example



$$\frac{0 \in N}{n \in N} \qquad \frac{n \in N}{n + 1 \in N}$$

# Simpler Example



$$\frac{}{0 \in N} \qquad \frac{n \in N}{n + 1 \in N}$$

→  $N$  is the set of natural numbers  $\mathbb{N}$

# Simpler Example



$$\frac{}{0 \in N} \qquad \frac{n \in N}{n + 1 \in N}$$

- $N$  is the set of natural numbers  $\mathbb{N}$
- But why not the set of real numbers?  $0 \in \mathbb{R}$ ,  $n \in \mathbb{R} \implies n + 1 \in \mathbb{R}$

# Simpler Example



$$\frac{}{0 \in N} \qquad \frac{n \in N}{n + 1 \in N}$$

- $N$  is the set of natural numbers  $\mathbb{N}$
- But why not the set of real numbers?  $0 \in \mathbb{R}$ ,  $n \in \mathbb{R} \implies n + 1 \in \mathbb{R}$
- $\mathbb{N}$  is the **smallest** set that is **consistent** with the rules.

# Simpler Example



$$\frac{}{0 \in N} \qquad \frac{n \in N}{n + 1 \in N}$$

- $N$  is the set of natural numbers  $\mathbb{N}$
- But why not the set of real numbers?  $0 \in \mathbb{R}$ ,  $n \in \mathbb{R} \implies n + 1 \in \mathbb{R}$
- $\mathbb{N}$  is the **smallest** set that is **consistent** with the rules.

**Why the smallest set?**

# Simpler Example



$$\frac{}{0 \in N} \qquad \frac{n \in N}{n + 1 \in N}$$

- $N$  is the set of natural numbers  $\mathbb{N}$
- But why not the set of real numbers?  $0 \in \mathbb{R}$ ,  $n \in \mathbb{R} \implies n + 1 \in \mathbb{R}$
- $\mathbb{N}$  is the **smallest** set that is **consistent** with the rules.

## Why the **smallest** set?

- Objective: **no junk**. Only what must be in  $X$  shall be in  $X$ .

# Simpler Example



$$\frac{}{0 \in N} \qquad \frac{n \in N}{n + 1 \in N}$$

- $N$  is the set of natural numbers  $\mathbb{N}$
- But why not the set of real numbers?  $0 \in \mathbb{R}$ ,  $n \in \mathbb{R} \implies n + 1 \in \mathbb{R}$
- $\mathbb{N}$  is the **smallest** set that is **consistent** with the rules.

## Why the **smallest** set?

- Objective: **no junk**. Only what must be in  $X$  shall be in  $X$ .
- Gives rise to a nice proof principle (rule induction)

# Simpler Example



$$\frac{}{0 \in N} \qquad \frac{n \in N}{n + 1 \in N}$$

- $N$  is the set of natural numbers  $\mathbb{N}$
- But why not the set of real numbers?  $0 \in \mathbb{R}$ ,  $n \in \mathbb{R} \implies n + 1 \in \mathbb{R}$
- $\mathbb{N}$  is the **smallest** set that is **consistent** with the rules.

## Why the **smallest** set?

- Objective: **no junk**. Only what must be in  $X$  shall be in  $X$ .
- Gives rise to a nice proof principle (rule induction)
- Alternative (greatest set) occasionally also useful: coinduction

# Rule Induction



$$\frac{}{0 \in N} \quad \frac{n \in N}{n + 1 \in N}$$

induces induction principle

$$[\![P\ 0; \ \bigwedge n. P\ n \implies P\ (n + 1)]\!] \implies \forall x \in N. P\ x$$

# Demo

## Inductive Definitions

# We have learned today ...



→ Sets

# We have learned today ...



- Sets
- Type Definitions

# We have learned today ...



- Sets
- Type Definitions
- Inductive Definitions