COMP4161: Advanced Topics in Software Verification

Gerwin Klein, June Andronick, Ramana Kumar S2/2016



data61.csiro.au

DATA

#### Content

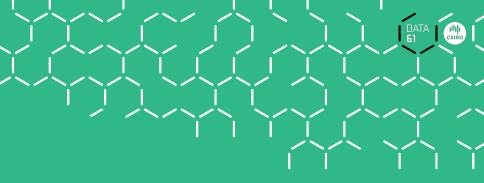
	[1]
→ Intro & motivation, getting started	[_]
<ul> <li>→ Foundations &amp; Principles</li> <li>Lambda Calculus, natural deduction</li> <li>Higher Order Logic</li> <li>Term rewriting</li> </ul>	[1,2] [3 <sup>ª</sup> ] [4]
<ul> <li>→ Proof &amp; Specification Techniques</li> <li>Inductively defined sets, rule induction</li> <li>Datatypes, recursion, induction</li> <li>Hoare logic, proofs about programs, C verification</li> <li>(mid-semester break)</li> <li>Writing Automated Proof Methods</li> <li>Isar, codegen, typeclasses, locales</li> </ul>	[5] [6, 7] [8 <sup>b</sup> ,9] [10] [11 <sup>c</sup> ,12]

<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

### Last Time



- → Sets
- ➔ Type Definitions
- ➔ Inductive Definitions



# **Inductive Definitions**

How They Work

#### The Nat Example



$$\frac{n \in N}{n+1 \in N}$$

- $\rightarrow$  *N* is the set of natural numbers  $\mathbb{N}$
- → But why not the set of real numbers?  $0 \in \mathbb{R}$ ,  $n \in \mathbb{R} \Longrightarrow n+1 \in \mathbb{R}$
- $\twoheadrightarrow$   $\mathbbm{N}$  is the smallest set that is consistent with the rules.

#### Why the smallest set?

- → Objective: **no junk**. Only what must be in X shall be in X.
- → Gives rise to a nice proof principle (rule induction)

### Formally



Rules 
$$\frac{a_1 \in X \quad \dots \quad a_n \in X}{a \in X}$$
 with  $a_1, \dots, a_n, a \in A$   
define set  $X \subseteq A$ 

**Formally:** set of rules  $R \subseteq A$  set  $\times A$  (R, X possibly infinite)

**Applying rules** *R* to a set *B*:  $\hat{R} B \equiv \{x. \exists H. (H, x) \in R \land H \subseteq B\}$ 

#### Example:

$$\begin{array}{lll} R & \equiv & \{(\{\},0)\} \cup \{(\{n\},n+1). \ n \in {\rm I\!R}\} \\ \hat{R} \ \{3,6,10\} & = & \{0,4,7,11\} \end{array}$$

#### The Set



#### **Definition:** *B* is *R*-closed iff $\hat{R} \ B \subseteq B$

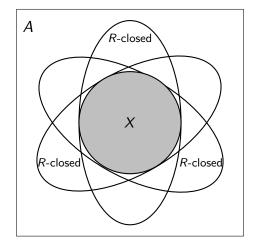
**Definition:** X is the least R-closed subset of A

This does always exist:

**Fact:**  $X = \bigcap \{ B \subseteq A. \ B \ R-closed \}$ 

### **Generation from Above**





#### **Rule Induction**



$$\frac{n \in N}{n+1 \in N}$$

induces induction principle

$$\llbracket P \ 0; \ \bigwedge n. \ P \ n \Longrightarrow P \ (n+1) \rrbracket \Longrightarrow \forall x \in X. \ P \ x$$

In general:

$$\frac{\forall (\{a_1,\ldots a_n\},a) \in R. \ P \ a_1 \land \ldots \land P \ a_n \Longrightarrow P \ a}{\forall x \in X. \ P \ x}$$

### Why does this work?



$$\frac{\forall (\{a_1, \dots a_n\}, a) \in R. \ P \ a_1 \wedge \dots \wedge P \ a_n \Longrightarrow P \ a}{\forall x \in X. \ P \ x}$$
$$\forall (\{a_1, \dots a_n\}, a) \in R. \ P \ a_1 \wedge \dots \wedge P \ a_n \Longrightarrow P \ a$$

says 
$$\{x. P x\}$$
 is *R*-closed

but:X is the least R-closed sethence: $X \subseteq \{x. P x\}$ which means: $\forall x \in X. P x$ 

qed

#### Rules with side conditions



$$\frac{a_1 \in X \quad \dots \quad a_n \in X \quad C_1 \quad \dots \quad C_m}{a \in X}$$

induction scheme:

$$(\forall (\{a_1, \dots a_n\}, a) \in R. P \ a_1 \land \dots \land P \ a_n \land \\ \begin{array}{c} C_1 \land \dots \land C_m \land \\ \{a_1, \dots, a_n\} \subseteq X \Longrightarrow P \ a) \\ \end{array} \\ \end{array}$$

### X as Fixpoint



How to compute X?  $X = \bigcap \{B \subseteq A. \ B \ R - closed\}$  hard to work with.

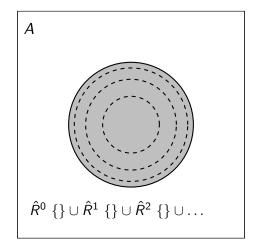
**Instead:** view X as least fixpoint, X least set with  $\hat{R} X = X$ .

Fixpoints can be approximated by iteration:

 $X_{0} = \hat{R}^{0} \{\} = \{\}$   $X_{1} = \hat{R}^{1} \{\} = \text{rules without hypotheses}$   $\vdots$   $X_{n} = \hat{R}^{n} \{\}$   $X_{u} = \bigcup_{n \in \mathbb{N}^{t}} (R^{n} \{\}) = X$ 

### **Generation from Below**





## Does this always work?



#### Knaster-Tarski Fixpoint Theorem:

Let  $(A, \leq)$  be a complete lattice, and  $f :: A \Rightarrow A$  a monotone function.

Then the fixpoints of f again form a complete lattice.

#### Lattice:

Finite subsets have a greatest lower bound (meet) and least upper bound (join).

#### **Complete Lattice:**

All subsets have a greatest lower bound and least upper bound.

#### Implications:

- → least and greatest fixpoints exist (complete lattice always non-empty).
- $\rightarrow$  can be reached by (possibly infinite) iteration. (Why?)

### Exercise



Formalize the this lecture in Isabelle:

- → Define closed  $f A :: (\alpha \text{ set} \Rightarrow \alpha \text{ set}) \Rightarrow \alpha \text{ set} \Rightarrow \text{bool}$
- → Show closed  $f A \land$  closed  $f B \implies$  closed  $f (A \cap B)$  if f is monotone (mono is predefined)
- → Define **lfpt** *f* as the intersection of all *f*-closed sets
- → Show that lfpt f is a fixpoint of f if f is monotone
- $\rightarrow$  Show that lfpt f is the least fixpoint of f
- → Declare a constant  $R :: (\alpha \text{ set } \times \alpha)$  set
- → Define  $\hat{R} :: \alpha$  set  $\Rightarrow \alpha$  set in terms of R
- → Show soundness of rule induction using R and lfpt  $\hat{R}$

### We have learned today ...



- → Formal background of inductive definitions
- $\rightarrow$  Definition by intersection
- ➔ Computation by iteration
- → Formalisation in Isabelle