

COMP4161: Advanced Topics in Software Verification

# fun

Gerwin Klein, June Andronick, Ramana Kumar S2/2016



#### Content



- → Intro & motivation, getting started
- → Foundations & Principles

<ul> <li>Lambda Calculus, natural deduction</li> </ul>	[1,2]
Higher Order Logic	[3 <sup>a</sup> ]
<ul> <li>Term rewriting</li> </ul>	[4]

→ Proof & Specification Techniques

<ul> <li>Inductively defined sets, rule induction</li> </ul>	[5]
<ul> <li>Datatypes, recursion, induction</li> </ul>	[6, 7]
<ul> <li>Hoare logic, proofs about programs, C verification</li> </ul>	$[8^{b}, 9]$

- (mid-semester break)
- Writing Automated Proof Methods [10]
- Isar, codegen, typeclasses, locales [11<sup>c</sup>,12]

<sup>&</sup>lt;sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

### **General Recursion**



#### The Choice

- → Limited expressiveness, automatic termination
   primrec
  - ·
- → High expressiveness, termination proof may fail
  - fun
- → High expressiveness, tweakable, termination proof manual
  - function

### fun — examples



```
fun sep :: "'a \Rightarrow 'a list \Rightarrow 'a list"
where
    "sep a (x \# y \# zs) = x \# a \# sep a (y \# zs)"
    "sep a xs = xs"
fun ack :: "nat \Rightarrow nat \Rightarrow nat"
where
    "ack 0 \text{ n} = \text{Suc n}"
    "ack (Suc m) 0 = ack m 1"
    "ack (Suc m) (Suc n) = ack m (ack (Suc m) n)"
```

### fun



- → The definition:
  - pattern matching in all parameters
  - arbitrary, linear constructor patterns
  - reads equations sequentially like in Haskell (top to bottom)
  - proves termination automatically in many cases (tries lexicographic order)
- → Generates own induction principle
- → May fail to prove termination:
  - use function (sequential) instead
  - allows you to prove termination manually

### fun — induction principle



- → Each **fun** definition induces an induction principle
- → For each equation: show P holds for lhs, provided P holds for each recursive call on rhs
- → Example **sep.induct**:

#### **Termination**

#### Isabelle tries to prove termination automatically

- → For most functions this works with a lexicographic termination relation.
- → Sometimes not ⇒ error message with unsolved subgoal
- → You can prove automation separately.

```
function (sequential) quicksort where quicksort [] = [] |
```

```
quicksort [y \leftarrow xs.y \le x]@[x]@ quicksort [y \leftarrow xs.y \le x]@[x]@ quicksort [y \leftarrow xs.x < y]
```

by pat\_completeness auto

#### termination

by (relation "measure length") (auto simp: less\_Suc\_eq\_le)

function is the fully tweakable, manual version of fun



### How does fun/function work?



#### Recall **primrec**:

- → defined one recursion operator per datatype D
- → inductive definition of its graph  $(x, f \ x) \in D_{-rel}$
- → prove totality:  $\forall x. \exists y. (x, y) \in D_{-rel}$
- $\rightarrow$  prove uniqueness:  $(x, y) \in D_{-rel} \Rightarrow (x, z) \in D_{-rel} \Rightarrow y = z$
- $\rightarrow$  recursion operator for datatype  $D_{-rec}$ , defined via THE.
- → primrec: apply datatype recursion operator

## How does fun/function work?



#### Similar strategy for **fun**:

- → a new inductive definition for each fun f
- $\rightarrow$  extract recursion scheme for equations in f
- $\rightarrow$  define graph f\_rel inductively, encoding recursion scheme
- → prove totality (= termination)
- → prove uniqueness (automatic)
- → derive original equations from f\_rel
- → export induction scheme from f\_rel

### How does fun/function work?



#### Can separate and defer termination proof:

- → skip proof of totality
- $\rightarrow$  instead derive equations of the form:  $x \in f\_dom \Rightarrow f \ x = \dots$
- → similarly, conditional induction principle
- $\rightarrow$   $f\_dom = acc f\_rel$
- $\rightarrow$  acc = accessible part of  $f_rel$
- → the part that can be reached in finitely many steps
- → termination =  $\forall x. \ x \in f\_dom$
- → still have conditional equations for partial functions

## **Proving Termination**



Command termination fun\_name sets up termination goal

 $\forall x. \ x \in fun\_name\_dom$ 

Three main proof methods:

- → lexicographic\_order (default tried by fun)
- → size\_change (different automated technique)
- → relation R (manual proof via well-founded relation)

### **Well Founded Orders**



#### Definition

$$<_r$$
 is well founded if well founded induction holds wf  $r \equiv \forall P$ .  $(\forall x. (\forall y <_r x.P y) \longrightarrow P x) \longrightarrow (\forall x. P x)$ 

#### Well founded induction rule:

$$\frac{\text{wf } r \quad \bigwedge x. \ (\forall y <_r x. \ P \ y) \Longrightarrow P \ x}{P \ a}$$

#### **Alternative definition** (equivalent):

there are no infinite descending chains, or (equivalent): every nonempty set has a minimal element wrt  $<_r$  min  $r \ Q \ x \equiv \forall y \in Q. \ y \not<_r \ x$  wf  $r = (\forall Q \neq \{\}. \ \exists m \in Q. \ \text{min} \ r \ Q \ m)$ 

### Well Founded Orders: Examples



- → < on N is well founded well founded induction = complete induction
- $\Rightarrow$  > and < on  $\mathbb{N}$  are **not** well founded
- →  $x <_r y = x \text{ dvd } y \land x \neq 1 \text{ on } \mathbb{N}$  is well founded the minimal elements are the prime numbers
- $\Rightarrow$   $(a,b)<_r(x,y)=a<_1x\lor a=x\land b<_2y$  is well founded if  $<_1$  and  $<_2$  are
- →  $A <_r B = A \subset B \land \text{ finite } B \text{ is well founded}$
- $\rightarrow$   $\subseteq$  and  $\subset$  in general are **not** well founded

More about well founded relations: Term Rewriting and All That

## **Extracting the Recursion Scheme**



So far for termination. What about the recursion scheme?

Not fixed anymore as in primrec.

#### Examples:

→ fun fib where

```
 \begin{array}{l} \mbox{fib } 0 = 1 \mid \\ \mbox{fib } (\mbox{Suc } 0) = 1 \mid \\ \mbox{fib } (\mbox{Suc } (\mbox{Suc } n)) = \mbox{fib } n + \mbox{fib } (\mbox{Suc } n) \end{array}
```

Recursion: Suc (Suc n)  $\rightsquigarrow$  n, Suc (Suc n)  $\rightsquigarrow$  Suc n

 $\rightarrow$  fun f where f x = (if x = 0 then 0 else f (x - 1) \* 2)

Recursion:  $x \neq 0 \Longrightarrow x \rightsquigarrow x - 1$ 

## **Extracting the Recursion Scheme**



#### Higher Oder:

```
→ datatype 'a tree = Leaf 'a | Branch 'a tree list
fun treemap :: ('a ⇒ 'a) ⇒ 'a tree ⇒ 'a tree where
treemap fn (Leaf n) = Leaf (fn n) |
treemap fn (Branch I) = Branch (map (treemap fn) I)
```

```
Recursion: x \in \text{set } I \Longrightarrow (\text{fn, Branch } I) \leadsto (\text{fn, } x)
```

How to extract the context information for the call?

## **Extracting the Recursion Scheme**



Extracting context for equations

$$\Rightarrow$$

Congruence Rules!

Recall rule if\_cong:

[| b = c; c 
$$\Longrightarrow$$
 x = u;  $\neg$  c  $\Longrightarrow$  y = v |]  $\Longrightarrow$  (if b then x else y) = (if c then u else v)

**Read:** for transforming x, use b as context information, for y use  $\neg b$ .

**In fun\_def:** for recursion in x, use b as context, for y use  $\neg b$ .

## Congruence Rules for fun\_defs



The same works for function definitions.

declare my\_rule[fundef\_cong]
(if\_cong already added by default)

Another example (higher-order):

 $[\mid xs = ys; \ \bigwedge\! x. \ x \in \mathsf{set} \ ys \Longrightarrow \mathsf{f} \ x = \mathsf{g} \ x \mid] \Longrightarrow \mathsf{map} \ \mathsf{f} \ xs = \mathsf{map} \ \mathsf{g} \ \mathsf{ys}$ 

**Read:** for recursive calls in f, f is called with elements of xs



### **Further Reading**



Alexander Krauss.

Automating Recursive Definitions and Termination Proofs in Higher-Ord PhD thesis, TU Munich, 2009.

http://www4.in.tum.de/~krauss/diss/krauss\_phd.pdf

### We have seen today ...



- → General recursion with fun/function
- → Induction over recursive functions
- → How fun works
- → Termination, partial functions, congruence rules