

June Andronick, Christine Rizkallah, Miki Tanaka, Johannes Åman Pohjola T3/2019

CSIRO

Content

ĺ	DATA 61	ıll ı csiro

→ Intro & motivation, getting started	[1]
 → Foundations & Principles Lambda Calculus, natural deduction Higher Order Logic, Isar (part 1) Term rewriting 	[1,2] [3 ^a] [4]
 → Proof & Specification Techniques • Inductively defined sets, rule induction • Datatypes, recursion, induction, Isar (part 2) • Hoare logic, proofs about programs, invariants • C verification • Practice, questions, exam prep 	[5] [6, 7 ^b] [8] [9]

^aa1 due; ^ba2 due; ^ca3 due

DATA JULI

→ Defining HOL



- → Defining HOL
- → Higher Order Abstract Syntax



- → Defining HOL
- → Higher Order Abstract Syntax
- → Deriving proof rules



- → Defining HOL
- → Higher Order Abstract Syntax
- → Deriving proof rules
- → More automation



The Problem



Given a set of equations

$$l_1 = r_1$$

$$l_2 = r_2$$

$$\vdots$$

$$l_n = r_n$$

The Problem



Given a set of equations

$$l_1 = r_1$$

$$l_2 = r_2$$

$$\vdots$$

$$l_n = r_n$$

does equation l = r hold?

The Problem



Given a set of equations

$$l_1 = r_1$$

$$l_2 = r_2$$

$$\vdots$$

$$l_n = r_n$$

does equation l = r hold?

Applications in:

- → Mathematics (algebra, group theory, etc)
- → Functional Programming (model of execution)
- → Theorem Proving (dealing with equations, simplifying statements)

Term Rewriting: The Idea



use equations as reduction rules

$$\begin{array}{c}
l_1 \longrightarrow r_1 \\
l_2 \longrightarrow r_2 \\
\vdots \\
l_n \longrightarrow r_n
\end{array}$$

decide l = r by deciding $l \stackrel{*}{\longleftrightarrow} r$



$$\stackrel{0}{\longrightarrow} = \{(x,y)|x=y\}$$
 identity



$$\begin{array}{cccc} \stackrel{0}{\longrightarrow} & = & \{(x,y)|x=y\} & & \text{identity} \\ \stackrel{n+1}{\longrightarrow} & = & \stackrel{n}{\longrightarrow} \circ \longrightarrow & & \text{n+1 fold composition} \end{array}$$



$$\begin{array}{cccc} \stackrel{0}{\longrightarrow} & = & \{(x,y)|x=y\} & & \text{identity} \\ \stackrel{n+1}{\longrightarrow} & = & \stackrel{n}{\longrightarrow} \circ \longrightarrow & & \text{n+1 fold composition} \\ \stackrel{+}{\longrightarrow} & = & \bigcup_{i>0} \stackrel{i}{\longrightarrow} & & \text{transitive closure} \end{array}$$





 $\{y\}$ identity n+1 fold composition

transitive closure reflexive transitive closure reflexive closure









DATA IIII CSIRO

Same idea as for β :



Same idea as for β **:** look for n such that $l \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$

Does this always work?



Same idea as for β **:** look for n such that $I \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$

Does this always work?

If $I \xrightarrow{*} n$ and $r \xrightarrow{*} n$ then $I \xleftarrow{*} r$. Ok.



Same idea as for β **:** look for n such that $I \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$

Does this always work?

If $l \xrightarrow{*} n$ and $r \xrightarrow{*} n$ then $l \xleftarrow{*} r$. Ok. If $l \xleftarrow{*} r$, will there always be a suitable n?



Same idea as for β **:** look for n such that $I \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$

Does this always work?

If
$$l \xrightarrow{*} n$$
 and $r \xrightarrow{*} n$ then $l \xleftarrow{*} r$. Ok. If $l \xleftarrow{*} r$, will there always be a suitable n ? **No!**

Rules:
$$f \times A \longrightarrow a$$
, $g \times A \longrightarrow b$, $f (g \times A) \longrightarrow b$



Same idea as for β **:** look for n such that $I \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$

Does this always work?

If $l \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$ then $l \stackrel{*}{\longleftrightarrow} r$. Ok. If $l \stackrel{*}{\longleftrightarrow} r$, will there always be a suitable n? **No!**

Rules:
$$f \times \longrightarrow a$$
, $g \times \longrightarrow b$, $f(g \times) \longrightarrow b$
 $f \times \stackrel{*}{\longleftrightarrow} g \times \text{ because } f \times \longrightarrow a \longleftarrow f(g \times) \longrightarrow b \longleftarrow g \times b$



Same idea as for β **:** look for n such that $I \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$

Does this always work?

If $l \xrightarrow{*} n$ and $r \xrightarrow{*} n$ then $l \xleftarrow{*} r$. Ok. If $l \xleftarrow{*} r$, will there always be a suitable n? **No!**

Rules:
$$f \times \longrightarrow a$$
, $g \times \longrightarrow b$, $f (g \times) \longrightarrow b$
 $f \times \stackrel{*}{\longleftrightarrow} g \times \text{ because } f \times \longrightarrow a \longleftarrow f (g \times) \longrightarrow b \longleftarrow g \times$
But: $f \times \longrightarrow a$ and $g \times \longrightarrow b$ and a, b in normal form



Same idea as for β **:** look for n such that $I \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$

Does this always work?

If $l \xrightarrow{*} n$ and $r \xrightarrow{*} n$ then $l \xleftarrow{*} r$. Ok. If $l \xleftarrow{*} r$, will there always be a suitable n? **No!**

Example:

Rules:
$$f \times \longrightarrow a$$
, $g \times \longrightarrow b$, $f (g \times) \longrightarrow b$
 $f \times \stackrel{*}{\longleftrightarrow} g \times because \quad f \times \longrightarrow a \longleftarrow f (g \times) \longrightarrow b \longleftarrow g \times b$
But: $f \times \longrightarrow a$ and $g \times \longrightarrow b$ and $g \times \longrightarrow b$ in normal form

Works only for systems with **Church-Rosser** property: $I \stackrel{*}{\longleftrightarrow} r \Longrightarrow \exists n \ I \stackrel{*}{\longleftrightarrow} n \land r \stackrel{*}{\longleftrightarrow} n$



Same idea as for β **:** look for n such that $I \stackrel{*}{\longrightarrow} n$ and $r \stackrel{*}{\longrightarrow} n$

Does this always work?

If $l \xrightarrow{*} n$ and $r \xrightarrow{*} n$ then $l \xleftarrow{*} r$. Ok. If $l \xleftarrow{*} r$, will there always be a suitable n? **No!**

Example:

Rules:
$$f \times \longrightarrow a$$
, $g \times \longrightarrow b$, $f (g \times) \longrightarrow b$
 $f \times \stackrel{*}{\longleftrightarrow} g \times \text{ because } f \times \longrightarrow a \longleftarrow f (g \times) \longrightarrow b \longleftarrow g \times$
But: $f \times \longrightarrow a$ and $g \times \longrightarrow b$ and a, b in normal form

Works only for systems with **Church-Rosser** property:

$$I \stackrel{*}{\longleftrightarrow} r \Longrightarrow \exists n. \ I \stackrel{*}{\longrightarrow} n \land r \stackrel{*}{\longrightarrow} n$$

Fact: \longrightarrow is Church-Rosser iff it is confluent.





Problem:

is a given set of reduction rules confluent?





Problem:

is a given set of reduction rules confluent?

undecidable





Problem:

is a given set of reduction rules confluent?

undecidable

Local Confluence







Problem:

is a given set of reduction rules confluent?

undecidable

Local Confluence



Fact: local confluence and termination ⇒ confluence

Termination



- \longrightarrow is **terminating** if there are no infinite reduction chains
- \longrightarrow is **normalizing** if each element has a normal form
- \longrightarrow is convergent if it is terminating and confluent

Termination



- \longrightarrow is **terminating** if there are no infinite reduction chains
- \longrightarrow is **normalizing** if each element has a normal form
- \longrightarrow is convergent if it is terminating and confluent

Example:

 \longrightarrow_{β} in λ is not terminating, but confluent

Termination



- \longrightarrow is **terminating** if there are no infinite reduction chains
- \longrightarrow is **normalizing** if each element has a normal form
- \longrightarrow is **convergent** if it is terminating and confluent

- \longrightarrow_{β} in λ is not terminating, but confluent
- \longrightarrow_{β} in λ^{\rightarrow} is terminating and confluent, i.e. convergent

Termination



- \longrightarrow is **terminating** if there are no infinite reduction chains
- \longrightarrow is **normalizing** if each element has a normal form
- \longrightarrow is **convergent** if it is terminating and confluent

Example:

- \longrightarrow_{β} in λ is not terminating, but confluent
- \longrightarrow_{β} in λ^{\rightarrow} is terminating and confluent, i.e. convergent

Problem: is a given set of reduction rules terminating?

Termination



- \longrightarrow is **terminating** if there are no infinite reduction chains
- \longrightarrow is **normalizing** if each element has a normal form
- \longrightarrow is **convergent** if it is terminating and confluent

Example:

- \longrightarrow_{β} in λ is not terminating, but confluent
- \longrightarrow_{β} in λ^{\rightarrow} is terminating and confluent, i.e. convergent

Problem: is a given set of reduction rules terminating?

undecidable



Basic idea:



Basic idea: when each rule application makes terms simpler in some way.



Basic idea: when each rule application makes terms simpler in some way.

```
More formally: \longrightarrow is terminating when there is a well founded order < on terms for which s < t whenever t \longrightarrow s (well founded = no infinite decreasing chains a_1 > a_2 > \ldots)
```

Example:



Basic idea: when each rule application makes terms simpler in some way.

 $\textbf{More formally} : \longrightarrow \text{is terminating when there is a well founded}$

order < on terms for which s < t whenever $t \longrightarrow s$

(well founded = no infinite decreasing chains $a_1 > a_2 > ...$)

Example: $f(g x) \longrightarrow g x, g(f x) \longrightarrow f x$

This system always terminates. Reduction order:



Basic idea: when each rule application makes terms simpler in some way.

More formally: \longrightarrow is terminating when there is a well founded

order
$$<$$
 on terms for which $s < t$ whenever $t \longrightarrow s$

(well founded = no infinite decreasing chains
$$a_1 > a_2 > \ldots$$
)

Example:
$$f(g x) \longrightarrow g(f x) \longrightarrow f(x)$$

This system always terminates. Reduction order:

$$s <_r t \text{ iff } size(s) < size(t) \text{ with }$$

$$size(s) = number of function symbols in s$$



Basic idea: when each rule application makes terms simpler in some way.

More formally: \longrightarrow is terminating when there is a well founded

order
$$<$$
 on terms for which $s < t$ whenever $t \longrightarrow s$

(well founded = no infinite decreasing chains
$$a_1 > a_2 > \ldots$$
)

Example:
$$f(g x) \longrightarrow g x, g(f x) \longrightarrow f x$$

This system always terminates. Reduction order:

$$s <_r t$$
 iff $size(s) < size(t)$ with $size(s) =$ number of function symbols in s

 \odot Both rules always decrease size by 1 when applied to any term t



Basic idea: when each rule application makes terms simpler in some way.

More formally: \longrightarrow is terminating when there is a well founded

order
$$<$$
 on terms for which $s < t$ whenever $t \longrightarrow s$ (well founded = no infinite decreasing chains $a_1 > a_2 > ...$)

Example:
$$f(g x) \longrightarrow g x, g(f x) \longrightarrow f x$$

This system always terminates. Reduction order:

$$s <_r t$$
 iff $size(s) < size(t)$ with $size(s) =$ number of function symbols in s

- \odot Both rules always decrease size by 1 when applied to any term t
- $@<_r$ is well founded, because < is well founded on ${
 m I\! N}$



In practice: often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term t.

Show



In practice: often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term t.

Show for each rule $l_i = r_i$, that $r_i < l_i$.



In practice: often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term t.

Show for each rule $l_i = r_i$, that $r_i < l_i$.

Example:

$$g \times f (g \times)$$
 and $f \times g (f \times)$

Requires



In practice: often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term t.

Show for each rule $l_i = r_i$, that $r_i < l_i$.

Example:

$$g \times f (g \times)$$
 and $f \times g (f \times)$

Requires

u to become smaller whenever any subterm of u is made smaller.

Formally:



In practice: often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term *t*.

Show for each rule $l_i = r_i$, that $r_i < l_i$.

Example:

$$g \times f (g \times)$$
 and $f \times g (f \times)$

Requires

u to become smaller whenever any subterm of u is made smaller.

Formally:

Requires < to be **monotonic** with respect to the structure of terms:

$$s < t \longrightarrow u[s] < u[t].$$



In practice: often easier to consider just the rewrite rules by themselves, rather than their application to an arbitrary term *t*.

Show for each rule $l_i = r_i$, that $r_i < l_i$.

Example:

$$g \times f (g \times)$$
 and $f \times g (f \times)$

Requires

u to become smaller whenever any subterm of u is made smaller.

Formally:

Requires < to be **monotonic** with respect to the structure of terms:

$$s < t \longrightarrow u[s] < u[t].$$

True for most orders that don't treat certain parts of terms as special cases.



Problem: Rewrite formulae containing \neg , \land , \lor and \longrightarrow , so that they don't contain any implications and \neg is applied only to variables and constants.



Problem: Rewrite formulae containing \neg , \land , \lor and \longrightarrow , so that they don't contain any implications and \neg is applied only to variables and constants.

Rewrite Rules:



Problem: Rewrite formulae containing \neg , \land , \lor and \longrightarrow , so that they don't contain any implications and \neg is applied only to variables and constants.

Rewrite Rules:

→ Remove implications:



Problem: Rewrite formulae containing \neg , \land , \lor and \longrightarrow , so that they don't contain any implications and \neg is applied only to variables and constants.

Rewrite Rules:

→ Remove implications:

imp:
$$(A \longrightarrow B) = (\neg A \lor B)$$



Problem: Rewrite formulae containing \neg , \land , \lor and \longrightarrow , so that they don't contain any implications and \neg is applied only to variables and constants.

Rewrite Rules:

→ Remove implications:

imp:
$$(A \longrightarrow B) = (\neg A \lor B)$$

→ Push ¬s down past other operators:



Problem: Rewrite formulae containing \neg , \land , \lor and \longrightarrow , so that they don't contain any implications and \neg is applied only to variables and constants.

Rewrite Rules:

→ Remove implications:

imp:
$$(A \longrightarrow B) = (\neg A \lor B)$$

→ Push ¬s down past other operators:

notnot:
$$(\neg \neg P) = P$$

notand:
$$(\neg(A \land B)) = (\neg A \lor \neg B)$$

notor:
$$(\neg(A \lor B)) = (\neg A \land \neg B)$$



Problem: Rewrite formulae containing \neg , \land , \lor and \longrightarrow , so that they don't contain any implications and \neg is applied only to variables and constants.

Rewrite Rules:

→ Remove implications:

imp:
$$(A \longrightarrow B) = (\neg A \lor B)$$

→ Push ¬s down past other operators:

notnot: $(\neg \neg P) = P$

notand: $(\neg(A \land B)) = (\neg A \lor \neg B)$

notor: $(\neg (A \lor B)) = (\neg A \land \neg B)$

We show that the rewrite system defined by these rules is terminating.



Each time one of our rules is applied, either:

- → an implication is removed, or
- \rightarrow something that is not a \neg is hoisted upwards in the term.



Each time one of our rules is applied, either:

- → an implication is removed, or
- \rightarrow something that is not a \neg is hoisted upwards in the term.

This suggests a 2-part order, $<_r$: $s <_r t$ iff:

- \rightarrow num_imps $s < \text{num_imps } t$, or
- \rightarrow num_imps $s = \text{num_imps } t \land \text{osize } s < \text{osize } t$.



Each time one of our rules is applied, either:

- → an implication is removed, or
- → something that is not a ¬ is hoisted upwards in the term.

This suggests a 2-part order, $<_r$: $s <_r t$ iff:

- \rightarrow num_imps s < num_imps t, or
- → num_imps $s = \text{num_imps } t \land \text{osize } s < \text{osize } t$.

Let:

- \Rightarrow $s <_i t \equiv \text{num_imps } s < \text{num_imps } t \text{ and}$
- \Rightarrow $s <_n t \equiv \text{osize } s < \text{osize } t$

Then $<_i$ and $<_n$ are both well-founded orders (since both return nats).



Each time one of our rules is applied, either:

- → an implication is removed, or
- \rightarrow something that is not a \neg is hoisted upwards in the term.

This suggests a 2-part order, $<_r$: $s <_r t$ iff:

- \rightarrow num_imps s < num_imps t, or
- → num_imps $s = \text{num_imps } t \land \text{osize } s < \text{osize } t$.

Let:

- \Rightarrow $s <_i t \equiv \text{num_imps } s < \text{num_imps } t \text{ and}$
- \Rightarrow $s <_n t \equiv \text{osize } s < \text{osize } t$

Then $<_i$ and $<_n$ are both well-founded orders (since both return nats). $<_r$ is the lexicographic order over $<_i$ and $<_n$. $<_r$ is well-founded since $<_i$ and $<_n$ are both well-founded.



imp clearly decreases num_imps.



imp clearly decreases num_imps.

osize adds up all non-¬ operators and variables/constants, weights each one according to its depth within the term.



imp clearly decreases num_imps.

osize adds up all non- \neg operators and variables/constants, weights each one according to its depth within the term.

```
osize' c x = 2^x

osize' (\neg P) x = \text{osize'} \ P \ (x+1)

osize' (P \land Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \lor Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \longrightarrow Q) \ x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize P = osize' P \ 0
```



imp clearly decreases num_imps.

osize adds up all non- \neg operators and variables/constants, weights each one according to its depth within the term.

```
osize' c x = 2^x

osize' (\neg P) x = \text{osize'} \ P \ (x+1)

osize' (P \land Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \lor Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \longrightarrow Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize P = osize' P \ 0
```

The other rules decrease the depth of the things osize counts, so decrease osize.



Term rewriting engine in Isabelle is called **Simplifier**



Term rewriting engine in Isabelle is called Simplifier

apply simp

→ uses simplification rules



Term rewriting engine in Isabelle is called Simplifier

apply simp

- → uses simplification rules
- → (almost) blindly from left to right



Term rewriting engine in Isabelle is called Simplifier

apply simp

- → uses simplification rules
- → (almost) blindly from left to right
- → until no rule is applicable.



Term rewriting engine in Isabelle is called **Simplifier**

apply simp

- → uses simplification rules
- → (almost) blindly from left to right
- → until no rule is applicable.

termination: not guaranteed (may loop)



Term rewriting engine in Isabelle is called **Simplifier**

apply simp

→ uses simplification rules

→ (almost) blindly from left to right

→ until no rule is applicable.

termination: not guaranteed

(may loop)

confluence: not guaranteed

(result may depend on which rule is used first)

Control



→ Equations turned into simplification rules with [simp] attribute

Control



- → Equations turned into simplification rules with [simp] attribute
- → Adding/deleting equations locally: apply (simp add: <rules>) and apply (simp del: <rules>)

Control



- → Equations turned into simplification rules with [simp] attribute
- → Adding/deleting equations locally: apply (simp add: <rules>) and apply (simp del: <rules>)
- → Using only the specified set of equations: apply (simp only: <rules>)





→ Equations and Term Rewriting



→ Equations and Term Rewriting



- → Equations and Term Rewriting
- → Confluence and Termination of reduction systems



- → Equations and Term Rewriting
- → Confluence and Termination of reduction systems
- → Term Rewriting in Isabelle

Exercises



→ Show, via a pen-and-paper proof, that the osize function is monotonic with respect to the structure of terms from that example.