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**CSIRO** 

### Content



→ Intro & motivation, getting started

→ Foundations & Principles

 Lambda Calculus, natural deduction [1,2]Higher Order Logic, Isar (part 1)

[4]

Term rewriting

→ Proof & Specification Techniques

 Inductively defined sets, rule induction [5]  $[6, 7^b]$ 

Datatypes, recursion, induction, Isar (part 2)

 Hoare logic, proofs about programs, invariants [8]

C verification

[9]

Practice, questions, exam prep

 $[10^{c}]$ 

<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

### **Last Time**



- → Equations and Term Rewriting
- → Confluence and Termination of reduction systems
- → Term Rewriting in Isabelle

# Applying a Rewrite Rule



→  $l \longrightarrow r$  applicable to term t[s] if there is substitution  $\sigma$  such that  $\sigma l = s$ 

**→** Result:  $t[\sigma \ r]$ 

**→ Equationally:**  $t[s] = t[\sigma \ r]$ 

#### Example:

**Rule:**  $0 + n \longrightarrow n$ 

**Term:** a + (0 + (b + c))

**Substitution:**  $\sigma = \{n \mapsto b + c\}$ 

**Result:** a + (b + c)

# **Conditional Term Rewriting**



Rewrite rules can be conditional:

$$\llbracket P_1 \dots P_n \rrbracket \Longrightarrow I = r$$

is **applicable** to term t[s] with  $\sigma$  if

- $\rightarrow \sigma I = s$  and
- $\rightarrow \sigma P_1, \ldots, \sigma P_n$  are provable by rewriting.

## **Rewriting with Assumptions**



Last time: Isabelle uses assumptions in rewriting.

#### Can lead to non-termination.

#### Example:

**lemma** "
$$f x = g x \land g x = f x \Longrightarrow f x = 2$$
"

```
simp use and simplify assumptions (simp (no_asm)) ignore assumptions (simp (no_asm_use)) simplify, but do not use assumptions (simp (no_asm_simp)) use, but do not simplify assumptions
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## **Preprocessing**



Preprocessing (recursive) for maximal simplification power:

$$\begin{array}{cccc}
\neg A & \mapsto & A = False \\
A \longrightarrow B & \mapsto & A \Longrightarrow B \\
A \land B & \mapsto & A, B \\
\forall x. \ A \ x & \mapsto & A \ ?x \\
A & \mapsto & A = True
\end{array}$$

e: 
$$(p \longrightarrow q \land \neg r) \land s$$
 $\mapsto$ 
 $p \Longrightarrow q = \mathit{True} \quad p \Longrightarrow r = \mathit{False} \quad s = \mathit{True}$ 



## Case splitting with simp



$$P ext{ (case } e ext{ of } 0 \Rightarrow a \mid \operatorname{Suc} n \Rightarrow b)$$

$$= (e = 0 \longrightarrow P \ a) \land (\forall n. \ e = \operatorname{Suc} n \longrightarrow P \ b)$$
**Manually: apply** (simp split: nat.split)

Similar for any data type t: **t.split** 

### **Congruence Rules**



#### congruence rules are about using context

**Example**: in  $P \longrightarrow Q$  we could use P to simplify terms in Q

For  $\Longrightarrow$  hardwired (assumptions used in rewriting)

For other operators expressed with conditional rewriting.

#### Example:

$$\llbracket P = P'; P' \Longrightarrow Q = Q' \rrbracket \Longrightarrow (P \longrightarrow Q) = (P' \longrightarrow Q')$$

**Read**: to simplify  $P \longrightarrow Q$ 

- $\rightarrow$  first simplify P to P'
- $\rightarrow$  then simplify Q to Q' using P' as assumption
- $\rightarrow$  the result is  $P' \longrightarrow Q'$

### More Congruence



Sometimes useful, but not used automatically (slowdown):

$$\mathbf{conj\_cong:} \ \llbracket P = P'; P' \Longrightarrow Q = Q' \rrbracket \Longrightarrow (P \land Q) = (P' \land Q')$$

Context for if-then-else:

**if\_cong**: 
$$\llbracket b = c; c \Longrightarrow x = u; \neg c \Longrightarrow y = v \rrbracket \Longrightarrow$$
 (if  $b$  then  $x$  else  $y$ ) = (if  $c$  then  $u$  else  $v$ )

Prevent rewriting inside then-else (default):

if\_weak\_cong:

$$b = c \Longrightarrow (\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$$

- → declare own congruence rules with [cong] attribute
- → delete with [cong del]
- → use locally with e.g. apply (simp cong: <rule>)

## Ordered rewriting



**Problem:**  $x + y \longrightarrow y + x$  does not terminate

Solution: use permutative rules only if term becomes

lexicographically smaller.

**Example:**  $b + a \rightsquigarrow a + b$  but not  $a + b \rightsquigarrow b + a$ .

For types nat, int etc:

- lemmas add\_ac sort any sum (+)
- lemmas mult\_ac sort any product (\*)

**Example:** apply (simp add: add\_ac) yields  $(b+c)+a \rightsquigarrow \cdots \rightsquigarrow a+(b+c)$ 

### **AC** Rules



#### **Example for associative-commutative rules:**

**Associative**:  $(x \odot y) \odot z = x \odot (y \odot z)$ 

**Commutative**:  $x \odot y = y \odot x$ 

These 2 rules alone get stuck too early (not confluent).

Example:  $(z \odot x) \odot (y \odot v)$ 

We want:  $(z \odot x) \odot (y \odot v) = v \odot (x \odot (y \odot z))$ We get:  $(z \odot x) \odot (y \odot v) = v \odot (y \odot (x \odot z))$ 

We need: AC rule  $x \odot (y \odot z) = y \odot (x \odot z)$ 

If these 3 rules are present for an AC operator Isabelle will order terms correctly



### **Back to Confluence**



Last time: confluence in general is undecidable.

But: confluence for terminating systems is decidable!

**Problem:** overlapping lhs of rules.

#### **Definition:**

Let  $l_1 \longrightarrow r_1$  and  $l_2 \longrightarrow r_2$  be two rules with disjoint variables.

They form a **critical pair** if a non-variable subterm of  $l_1$  unifies with  $l_2$ .

#### Example:

Rules: (1)  $f \times \longrightarrow a$  (2)  $g \times y \longrightarrow b$  (3)  $f \times (g \times z) \longrightarrow b$  Critical pairs:

$$(1)+(3) \qquad \{x \mapsto g \ z\} \qquad a \stackrel{(1)}{\longleftarrow} f (g \ z) \stackrel{(3)}{\longrightarrow} b$$

$$(3)+(2) \qquad \{z \mapsto y\} \qquad b \stackrel{(3)}{\longleftarrow} f (g \ y) \stackrel{(2)}{\longrightarrow} f \ b$$

### Completion



(1) 
$$f \times \longrightarrow a$$
 (2)  $g \times y \longrightarrow b$  (3)  $f (g \times z) \longrightarrow b$  is not confluent

#### But it can be made confluent by adding rules!

How: join all critical pairs

#### Example:

$$(1)+(3) \quad \{x\mapsto g\ z\} \quad a\stackrel{(1)}{\longleftarrow} \quad f\ (g\ z) \stackrel{(3)}{\longrightarrow} b$$
 shows that  $a=b$  (because  $a\stackrel{*}{\longleftrightarrow} b$ ), so we add  $a\longrightarrow b$  as a rule

This is the main idea of the Knuth-Bendix completion algorithm.



# **Orthogonal Rewriting Systems**



#### **Definitions:**

A **rule**  $I \longrightarrow r$  is **left-linear** if no variable occurs twice in I.

A rewrite system is left-linear if all rules are.

A system is **orthogonal** if it is left-linear and has no critical pairs.

Orthogonal rewrite systems are confluent

Application: functional programming languages

### We have learned today ...



- → Conditional term rewriting
- → Congruence rules
- → AC rules
- → More on confluence