# Introduction to Information Retrieval

Lecture 16: Web search basics

# Brief (non-technical) history

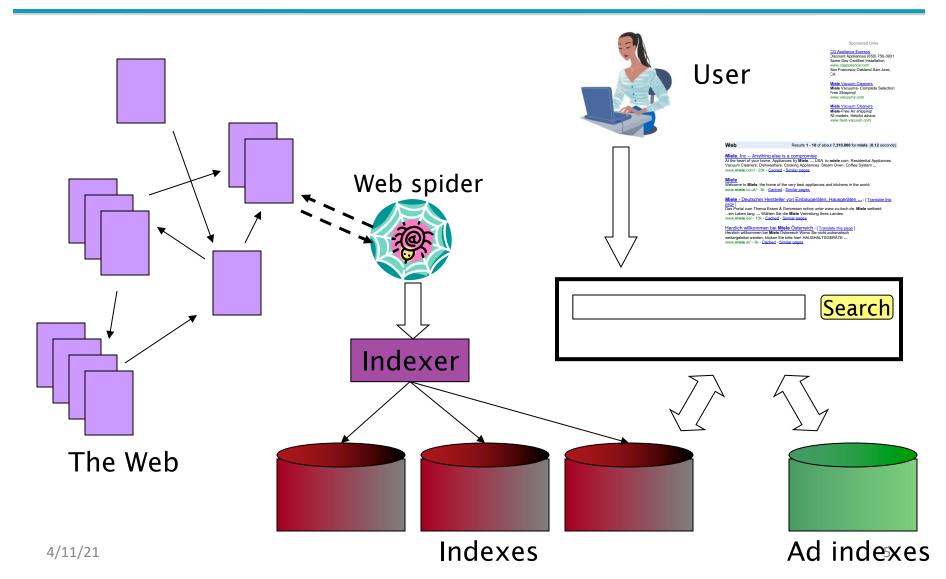
- Early keyword-based engines ca. 1995-1997
  - Altavista, Excite, Infoseek, Inktomi, Lycos
- <u>Paid search</u> ranking: Goto (morphed into Overture.com → Yahoo!)
  - Your search ranking depended on how much you paid
  - Auction for keywords: <u>casino</u> was expensive!

# Brief (non-technical) history

- 1998+: Link-based ranking pioneered by Google
  - Blew away all early engines save Inktomi
  - Great user experience in search of a business model
  - Meanwhile Goto/Overture's annual revenues were nearing \$1 billion
- Result: Google added paid search "ads" to the side, independent of search results
  - Yahoo followed suit, acquiring Overture (for paid placement) and Inktomi (for search)
- 2005+: Google gains search share, dominating in Europe and very strong in North America
  - 2009: Yahoo! and Microsoft propose combined paid search offering

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## Web search basics



## **User Needs**

- Need [Brod02, RL04]
  - Informational want to learn about something (~40% / 65%)

Low hemoglobin

<u>Navigational</u> – want to go to that page (~25% / 15%)

United Airlines

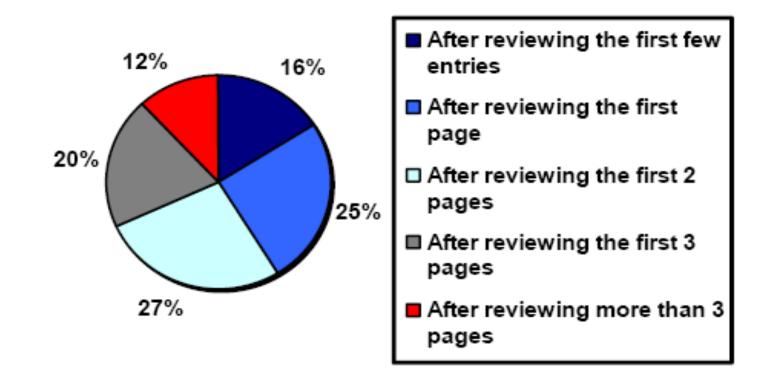
<u>Transactional</u> – want to do something (web-mediated) (~35% / 20%)

<ul> <li>Access a service</li> </ul>	Seattle weather
Downloads	Mars surface images
Shop	Canon S410

- Gray areas
  - Find a good hub Car rental Brasil
  - Exploratory search "see what's there"

#### How far do people look for results?

"When you perform a search on a search engine and don't find what you are looking for, at what point do you typically either revise your search, or move on to another search engine? (Select one)"



(Source: <a href="mailto:iprospect.com">iprospect.com</a> WhitePaper\_2006\_SearchEngineUserBehavior.pdf)

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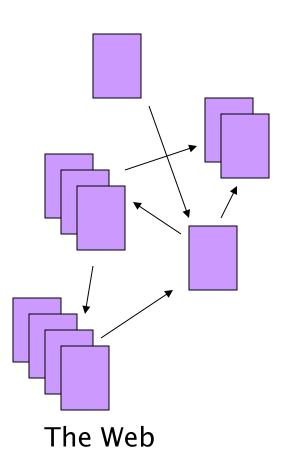
#### Users' empirical evaluation of results

- Quality of pages varies widely
  - Relevance is not enough
  - Other desirable qualities (non IR!!)
    - Content: Trustworthy, diverse, non-duplicated, well maintained
    - Web readability: display correctly & fast
    - No annoyances: pop-ups, etc
- Precision vs. recall
  - On the web, recall seldom matters
- What matters
  - Precision at 1? Precision above the fold?
  - Comprehensiveness must be able to deal with obscure queries
    - Recall matters when the number of matches is very small
- User perceptions may be unscientific, but are significant over a large aggregate

#### Users' empirical evaluation of engines

- Relevance and validity of results
- UI Simple, no clutter, error tolerant
- Trust Results are objective
- Coverage of topics for polysemic queries
- Pre/Post process tools provided
  - Mitigate user errors (auto spell check, search assist,...)
  - Explicit: Search within results, more like this, refine ...
  - Anticipative: related searches
- Deal with idiosyncrasies
  - Web specific vocabulary
    - Impact on stemming, spell-check, etc
  - Web addresses typed in the search box
- "The first, the last, the best and the worst ..."

### The Web document collection



- No design/co-ordination
- Distributed content creation, linking, democratization of publishing
- Content includes truth, lies, obsolete information, contradictions ...
- Unstructured (text, html, ...), semistructured (XML, annotated photos), structured (Databases)...
- Scale much larger than previous text collections ... but corporate records are catching up
- Growth slowed down from initial "volume doubling every few months" but still expanding
- Content can be *dynamically generated*

### Spam

(Search Engine Optimization)

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#### Size of the web

## What is the size of the web?

#### Issues

- The web is really infinite
  - Dynamic content, e.g., calendar
  - Soft 404: <u>www.yahoo.com/<anything></u> is a valid page
- Static web contains syntactic duplication, mostly due to mirroring (~30%)
- Some servers are seldom connected
- Who cares?
  - Media, and consequently the user
  - Engine design
  - Engine crawl policy. Impact on recall.

#### What can we attempt to measure?

- The relative sizes of search engines
  - The notion of a page being indexed is still *reasonably* well defined.
  - Already there are problems
    - Document extension: e.g. engines index pages not yet crawled, by indexing anchortext.
    - Document restriction: All engines restrict what is indexed (first n words, only relevant words, etc.)

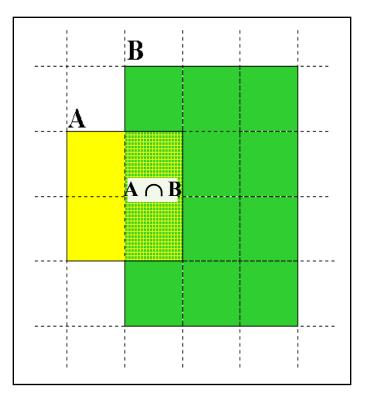
# New definition?

(IQ is whatever the IQ tests measure.)

- The statically indexable web is whatever search engines index.
- Different engines have different preferences
  - max url depth, max count/host, anti-spam rules, priority rules, etc.
- Different engines index different things under the same URL:
  - frames, meta-keywords, document restrictions, document extensions, ...

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Relative Size from Overlap Given two engines A and B



Sample URLs randomly from A Check if contained in B and vice versa

$$A \cap B = (1/2) * \text{Size } A$$
  
 $A \cap B = (1/6) * \text{Size } B$   
 $(1/2) * \text{Size } A = (1/6) * \text{Size } B$   
 $\therefore$  Size  $A / \text{Size } B =$ 

(1/6)/(1/2) = 1/3

<sup>4/11/21</sup>Each test involves: (i) <u>Sampling</u> (ii) Checking <sup>16</sup>

# Sampling URLs

- Ideal strategy: Generate a random URL and check for containment in each index.
- Problem: Random URLs are hard to find! Enough to generate a random URL contained in a given Engine.
- Approach 1: Generate a random URL contained in a given engine
  - Suffices for the estimation of relative size
- Approach 2: Random walks / IP addresses
  - In theory: might give us a true estimate of the size of the web (as opposed to just relative sizes of indexes)

## Statistical methods

- Approach 1
  - Random queries
  - Random searches
- Approach 2
  - Random IP addresses
  - Random walks

#### Random URLs from random queries

- Generate <u>random query</u>: how?
  - Lexicon: 400,000+ words from a web crawl

Not an English dictionary

- Conjunctive Queries: w<sub>1</sub> and w<sub>2</sub>
   e.g., vocalists AND rsi
- Get 100 result URLs from engine A
- Choose a random URL as the candidate to check for presence in engine B

# **Query Based Checking**

- Strong Query to check whether an engine B has a document D:
  - Download D. Get list of words.
  - Use 8 low frequency words as AND query to B
  - Check if D is present in result set.
- Problems:
  - Near duplicates
  - Frames
  - Redirects
  - Engine time-outs
  - Is 8-word query good enough?

## Advantages & disadvantages

- Statistically sound under the induced weight.
- Biases induced by random query
  - Query Bias: Favors content-rich pages in the language(s) of the lexicon
  - Ranking Bias: Solution: Use conjunctive queries & fetch all
  - Checking Bias: Duplicates, impoverished pages omitted
  - Document or query restriction bias: engine might not deal properly with 8 words conjunctive query
  - Malicious Bias: Sabotage by engine
  - Operational Problems: Time-outs, failures, engine inconsistencies, index modification.

### Random searches

- Choose random searches extracted from a local log [Lawrence & Giles 97] or build "random searches" [Notess]
  - Use only queries with small result sets.
  - Count normalized URLs in result sets.
  - Use ratio statistics

## Advantages & disadvantages

- Advantage
  - Might be a better reflection of the human perception of coverage
- Issues
  - Samples are correlated with source of log
  - Duplicates
  - Technical statistical problems (must have non-zero results, ratio average not statistically sound)

#### Random searches

- 575 & 1050 queries from the NEC RI employee logs
- 6 Engines in 1998, 11 in 1999
- Implementation:
  - Restricted to queries with < 600 results in total</p>
  - Counted URLs from each engine after verifying query match
  - Computed size ratio & overlap for individual queries
  - Estimated index size ratio & overlap by averaging over all queries

#### Queries from Lawrence and Giles study

- adaptive access control
- neighborhood preservation topographic
- hamiltonian structures
- right linear grammar
- pulse width modulation neural
- unbalanced prior probabilities
- ranked assignment method
- internet explorer favourites importing
- karvel thornber
- zili liu

- softmax activation function
- bose multidimensional system theory
- gamma mlp
- dvi2pdf
- john oliensis
- rieke spikes exploring neural
- video watermarking
- counterpropagation network
- fat shattering dimension
- abelson amorphous computing

### Random IP addresses

- Generate random IP addresses
- Find a web server at the given address
  - If there's one
- Collect all pages from server
  - From this, choose a page at random

#### Random IP addresses

#### HTTP requests to random IP addresses

- Ignored: empty or authorization required or excluded
- [Lawr99] Estimated 2.8 million IP addresses running crawlable web servers (16 million total) from observing 2500 servers.
- OCLC using IP sampling found 8.7 M hosts in 2001
  - Netcraft [Netc02] accessed 37.2 million hosts in July 2002
- [Lawr99] exhaustively crawled 2500 servers and extrapolated
  - Estimated size of the web to be 800 million pages
  - Estimated use of metadata descriptors:
    - Meta tags (keywords, description) in 34% of home pages, Dublin core metadata in 0.3%

# Advantages & disadvantages

- Advantages
  - Clean statistics
  - Independent of crawling strategies
- Disadvantages
  - Doesn't deal with duplication
  - Many hosts might share one IP, or not accept requests
  - No guarantee all pages are linked to root page.
    - Eg: employee pages
  - Power law for # pages/hosts generates bias towards sites with few pages.
    - But bias can be accurately quantified IF underlying distribution understood
  - Potentially influenced by spamming (multiple IP's for same server to avoid IP block)

### Random walks

- View the Web as a directed graph
- Build a random walk on this graph
  - Includes various "jump" rules back to visited sites
    - Does not get stuck in spider traps!
    - Can follow all links!
  - Converges to a stationary distribution
    - Must assume graph is finite and independent of the walk.
    - Conditions are not satisfied (cookie crumbs, flooding)
    - Time to convergence not really known
  - Sample from stationary distribution of walk
  - Use the "strong query" method to check coverage by SE

## Advantages & disadvantages

- Advantages
  - "Statistically clean" method at least in theory!
  - Could work even for infinite web (assuming convergence) under certain metrics.
- Disadvantages
  - List of seeds is a problem.
  - Practical approximation might not be valid.
  - Non-uniform distribution
    - Subject to link spamming

## Conclusions

- No sampling solution is perfect.
- Lots of new ideas ...
- ....but the problem is getting harder
- Quantitative studies are fascinating and a good research problem

### Duplicate detection

### Duplicate documents

- The web is full of duplicated content
- Strict duplicate detection = exact match
  - Not as common
- But many, many cases of near duplicates
  - E.g., Last modified date the only difference between two copies of a page

#### Duplicate/Near-Duplicate Detection

- Duplication: Exact match can be detected with fingerprints
- Near-Duplication: Approximate match
  - Overview
    - Compute syntactic similarity with an edit-distance measure
    - Use similarity threshold to detect near-duplicates
      - E.g., Similarity > 80% => Documents are "near duplicates"
      - Not transitive though sometimes used transitively

# **Computing Similarity**

- Features:
  - Segments of a document (natural or artificial breakpoints)
  - Shingles (Word N-Grams)
  - a rose is a rose is a rose ightarrow

```
a_rose_is_a
rose_is_a_rose
is_a_rose_is
a_rose_is_a
```

- Similarity Measure between two docs (= <u>sets of shingles</u>)
  - Set intersection
  - Specifically (Size\_of\_Intersection / Size\_of\_Union)

## Shingles + Set Intersection

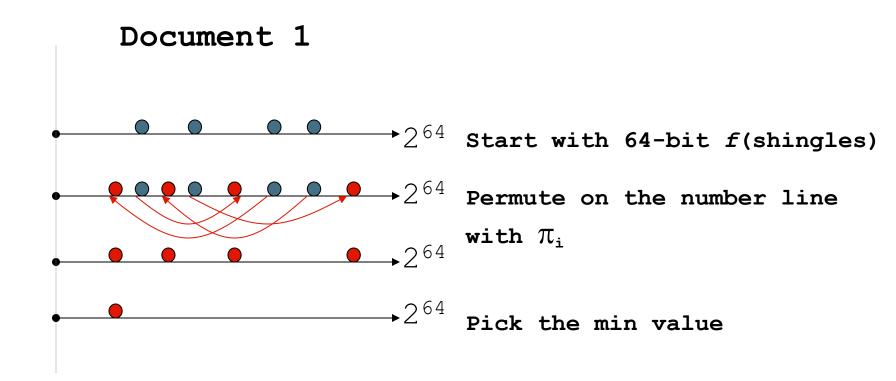
- Computing <u>exact</u> set intersection of shingles between <u>all</u> pairs of documents is expensive/intractable
  - Approximate using a cleverly chosen subset of shingles from each (a sketch)
- Estimate (size\_of\_intersection / size\_of\_union) based on a short sketch

$$\begin{array}{c} Doc\\ A \end{array} \rightarrow Shingle set A \rightarrow Sketch A \\ \hline Doc\\ H/11/B \end{array} \rightarrow Shingle set B \rightarrow Sketch B \end{array}$$

# Sketch of a document

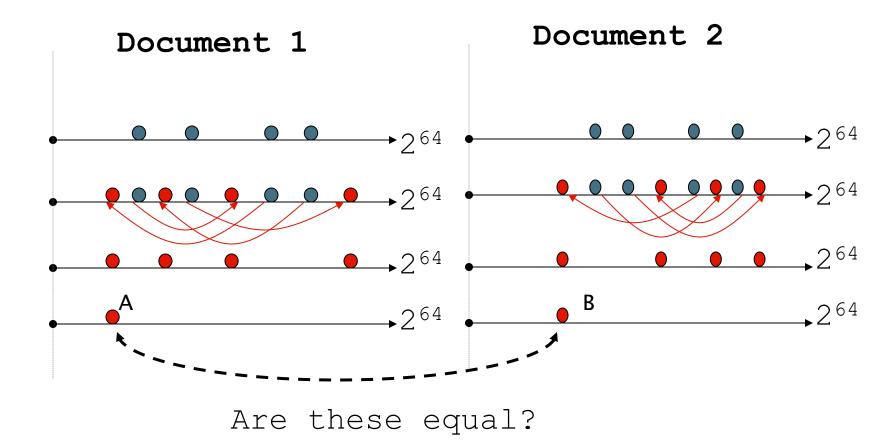
- Create a "sketch vector" (of size ~200) for each document
  - Documents that share ≥ t (say 80%) corresponding vector elements are near duplicates
  - For doc D, sketch<sub>D</sub>[i] is as follows:
    - Let f map all shingles in the universe to [0, 2<sup>m</sup>-1] (e.g., f = fingerprinting)
    - Let  $\pi_i$  be a *random permutation* on [0, 2<sup>m</sup>-1]
    - Pick MIN  $\{\pi_i(f(s))\}$  over all shingles *s* in *D*

## Computing Sketch[i] for Doc1



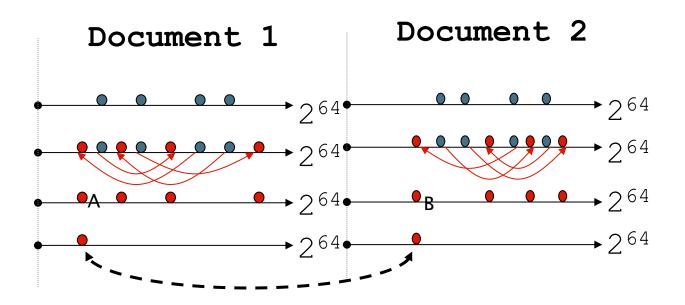
Sec. 19.6

#### Test if Doc1.Sketch[i] = Doc2.Sketch[i]



Test for 200 random permutations:  $\pi_1$ ,  $\pi_2$ ,...,  $\pi_{200}$ 

#### However...



A = B iff the shingle with the MIN value in the union of Doc1 and Doc2 is common to both (i.e., lies in the intersection)

Claim: This happens with probability 4/11/21 Size\_of\_intersection / Size\_of\_union 40

# Set Similarity of sets C<sub>i</sub>, C<sub>i</sub>

$$Jaccard(C_{i}, C_{j}) = \frac{\left|C_{i} \cap C_{j}\right|}{\left|C_{i} \cup C_{j}\right|}$$

- View sets as columns of a matrix A; one row for each element in the universe. a<sub>ij</sub> = 1 indicates presence of item i in set j
- Example  $C_1 C_2$

 $\begin{array}{ccc} 0 & 1 \\ 1 & 0 \\ 1 & 1 \\ 0 & 0 \\ 1 & 1 \\ 0 & 1 \end{array}$ 

 $Jaccard(C_1, C_2) = 2/5 = 0.4$ 

# **Key Observation**

- For columns C<sub>i</sub>, C<sub>j</sub>, four types of rows
  - C<sub>i</sub>
     C<sub>j</sub>

     type A
     1
     1

     type B
     1
     0

     type C
     0
     1

     type D
     0
     0
- Overload notation: A = # of rows of type A
- Claim

$$Jaccard(C_i, C_j) = \frac{A}{A + B + C}$$

# "Min" Hashing

- Randomly permute rows
- Hash h(C<sub>i</sub>) = index of first row with 1 in column C<sub>i</sub>
- Surprising Property  $Pr\left[h(C_i) = h(C_j)\right] = Jaccard(C_i, C_j)$
- Why?
  - Both are A/(A+B+C)
  - Look down columns C<sub>i</sub>, C<sub>j</sub> until first non-Type-D row
  - $h(C_i) = h(C_j) \leftrightarrow type A row$

### **Min-Hash sketches**

- Pick P random row permutations
- MinHash sketch

sketch(C) = list of k indexes of first rows with 1 in column C

Similarity of signatures

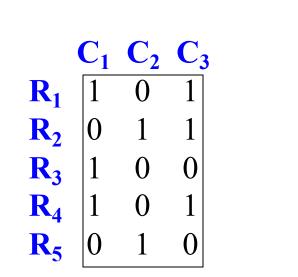


- Let sim[sketch(C<sub>i</sub>), sketch(C<sub>j</sub>)] = fraction of permutations where MinHash values agree
- Observe E[sim(sig(C<sub>i</sub>),sig(C<sub>j</sub>))] = Jaccard(C<sub>i</sub>,C<sub>j</sub>)

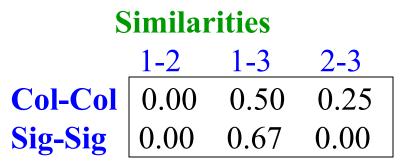
## **Practical Implementation**

- Random permutation is hard to obtain; simulate them using universal hashing instead
  - h: {0, 1, 2, ..., U} → {0, 1, 2, ..., M}
  - h(x) = ((a\*x + b) mod P) mod M
  - where
    - P >> U and is a prime number
    - a, b are two randomly chosen integers modulo P and a != 0
  - sketch(C) = { argmin<sub>e ∈ C</sub> {  $h_i(e)$  } | 1 ≤ i ≤ k}

## Example







### **Example Using the Universal Hashing**

 $h(x) = (7x+1 \mod 31) \mod 9$ g(x) = (17x+8 \mod 31) \mod 9

$$\begin{array}{c|ccccc} \mathbf{C_1} & \mathbf{C_2} & \mathbf{C_3} \\ \mathbf{R_1} & 1 & 0 & 1 \\ \mathbf{R_2} & 0 & 1 & 1 \\ \mathbf{R_3} & 1 & 0 & 0 \\ \mathbf{R_4} & 1 & 0 & 1 \\ \mathbf{R_5} & 0 & 1 & 0 \end{array}$$

Note: this example results in different sketches from the previous slide

$$S_{1} = \{R_{1}, R_{3}, R_{4}\}$$
  
h(e) = {8, 4, 2}  $\rightarrow$  min\_elem = R<sub>4</sub>  
g(e) = {7, 1, 5}  $\rightarrow$  min\_elem = R<sub>3</sub>  
sketch(S1) = {R<sub>4</sub>, R<sub>3</sub>}

$$S_{2} = \{R_{2}, R_{5}\}$$
  
h(e) = {6, 5}  $\rightarrow$  min\_elem = R<sub>5</sub>  
g(e) = {2, 0}  $\rightarrow$  min\_elem = R<sub>5</sub>  
sketch(S1) = {R<sub>5</sub>, R<sub>5</sub>}



Therefore, estimated similarity between  $S_1$  and  $S_2$  is 0/2 = 0.0

# All signature pairs

- Now we have an extremely efficient method for estimating a Jaccard coefficient for a single pair of documents.
- But we still have to estimate N<sup>2</sup> coefficients where N is the number of web pages.

Still slow

- One solution: locality sensitive hashing (LSH)
- Another solution: Sorting (Henzinger 2006)

#### More resources

IIR Chapter 19