

X in a feasible broadcast/multicast tree must be able to access the shared channel for a sufficient time to transmit at least t bits/sec. A rate choice of R bits/sec not only implies that node X must access the channel for the fraction $\frac{t}{R}$, but also implicitly alters the degree of contention. For example, a faster rate R may reduce the ‘airtime held’ by node X , but also reduces the coverage area of the broadcast, implying the need for additional transmissions (thus raising the level of contention) by a larger subset of downstream neighbors. Besides incorporating the impact of such link-layer rate diversity, a distribution tree for a newly arriving multicast flow should “route around” existing hot-spots (pockets of high contention from existing transmissions), so as to maximize the total amount of multicast traffic supported on the WMN. This problem is unique and different from prior work on load-aware multicasting in wired networks (e.g., [12]), since the algorithms also need to exploit the ‘wireless broadcast advantage’ (WBA) (to minimize the number of independent transmissions), as well as reduce the broadcast latency.

A. Key Contributions of This Paper

Our key objective is that each broadcast or multicast flow in a WMN should only use the minimally feasible network resources, without violating the feasibility constraints at any node on the data path, so as to *maximize the total amount of broadcast or multicast traffic load that the network may simultaneously transport*. Given this objective, this paper makes the following three contributions:

- 1) It shows how the feasibility of a particular link-layer broadcast is a function of not just the existing and incoming traffic load, but also of the chosen link-layer transmission rate.
- 2) For network-wide broadcast traffic, it presents and evaluates four heuristic tree construction algorithms that exploit transmission rate diversity, WBA and the residual capacity of the network (after taking into account the existing traffic load) to increase the amount of total traffic load that a WMN can carry.
- 3) For the practically important case of multicast traffic, it presents and evaluates a heuristic algorithm for tree construction that exploits transmission rate diversity, WBA and the residual capacity of the network. The proposed algorithm admits 30-40% more traffic than algorithms that use the base rate for all its link-layer transmissions.

The rest of this paper is organized as follows. Section II reviews the relevant related work. Section III details the unique interference-related capacity constraints for link layer transmissions. Section IV describes the heuristic algorithms and performance results for broadcast traffic, both via analytical techniques (using Matlab) and discrete event simulations (using Qualnet [2]). Similarly, Section V describes and evaluates the heuristic algorithm for resource-aware multicast in WMNs. Finally, Section VI concludes the paper with the important observations and discussion of open work.

II. RELATED WORK

A significant body of research in MANETs (Mobile Ad Hoc Networks) has researched *efficient* network layer multicast and broadcast, typically focusing on metrics such as energy consumption [10][24], the number of transmissions (which is equivalent to energy consumption if transmission power cannot be adjusted) [21] or the overhead in route discovery and management [16]. For WMN scenarios, where the mesh nodes are largely static (e.g., rooftop or electric pole mounted) and often powered from AC outlets, the total acceptable traffic load is a more critical performance metric than routing overhead or energy. QoS-aware MANET multicast routing algorithms have so far focussed on improving the delivery reliability (by either using resource reservation over multiple wireless paths (e.g., [6]), or constructing a delivery mesh instead of a tree (e.g., [23])), rather than focusing on the opportunities and challenges associated with link rate diversity and interference.

The problem of high throughput routing in WMN has been studied only for the case of unicast flows. The authors of [14] proposed a routing metric which can be used for a multi-channel, multi-hop WMN. The proposed WCETT metric takes different transmission rates into account by having WCETT inversely proportional to the transmission rate. The work in [7] shows that if the interference range is infinity, then the unicast routing path that minimizes the total path delay will also maximize the throughput between the source and destination. To deal with multi-rate links, [7] defines the rate-dependent medium-time metric (MTM), which measures the time it takes to transmit a packet over a multi-rate links including the transmission delay, overheads of the RTS/CTS/ACK frames and channel contention. In contrast to our focus on the network layer, the problem of maximizing the MAC-layer throughput for multicast transmissions (in the presence of different quality links and stability constraints) has been analyzed in [11].

Our earlier work in [13] has studied the problem of low broadcast latency in multirate WMNs. In particular, we presented an algorithm, based on the concept of *weighted connected dominating set (WCDS)*, that explicitly balances the wireless broadcast advantage (WBA) with rate diversity to achieve low-latency network-wide broadcast. However, [13] focused only on a single broadcast flow and does not address the problem of how individual flows should be routed to *maximize the total admissible volume of broadcast/multicast traffic* in the presence of inter-flow and intra-flow interference.

III. INTERFERENCE MODELLING AND FEASIBILITY ANALYSIS FOR RATE-DIVERSE TRANSMISSIONS

In this section, we present the impact of interference on the feasibility of broadcast flows for a single-channel WMN. The analysis presented here explains how a candidate node on the routing tree for a new broadcast flow F_j (with an associated offered load of L_j) can determine if it may feasibly forward the traffic for this flow using a link-layer broadcast rate ρ . This feasibility analysis will thus directly affect the formation of the broadcast forwarding tree (to be presented in Section IV).

We represent WMN as a graph $G(V, E)$, with the mesh nodes forming the vertices and the edge representing the link

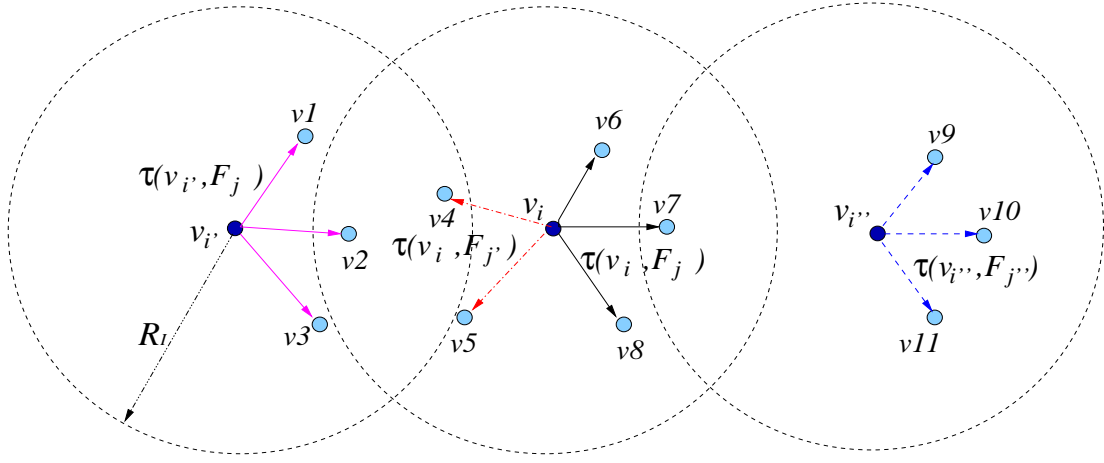


Fig. 1. Example showing the interference among multiple transmissions.

between two neighboring nodes. Each node can transmit at one of the k available rates $R = \{\rho_1, \rho_2, \dots, \rho_k\}$ where $\rho_1 > \dots > \rho_k$. Let $d(\rho_i)$ denotes the transmission range for rate ρ_i . We assume that all nodes use the same transmission power for all transmission rates. In addition, we assume that a binary disk packet reception model, the trade-off between link-layer transmission rate and transmission range means $d(\rho_i) < d(\rho_j)$ if $\rho_i > \rho_j$. A link $(v_a, v_b) \in E$ exists only if the distance $d(v_a, v_b)$ between nodes v_a and v_b is less than $d(\rho_1)$, and is associated with a rate ρ_{v_a, v_b} , the fastest feasible rate on (v_a, v_b) . We denote multiple incoming point-to-multipoint flows as $F_1, F_2, F_3, \dots, F_j, \dots$, each with traffic load $L_1, L_2, L_3, \dots, L_j, \dots$ (where the traffic is modelled as a fluid arrival process¹). We assume a binary interference model, where a transmitting node v_a is said to interfere with the reception of a node v_b if and only if $d(v_a, v_b) < \kappa \times d(\rho_1)$, where $\kappa > 1$. The distance $\kappa \times d(\rho_1)$ is known as the interference range.

A point-to-multipoint broadcast or multicast flow in a WMN can be realised by a forwarding tree whose non-leaf node will re-transmit the packets. We will therefore model a point-to-multipoint flow as a collection of a number of atomic *link-layer multicast transmissions* carried out by the non-leaf nodes of the forwarding tree. Each of these link-layer multicast transmissions is characterised by a transmission rate and its link-layer multicast recipients.

Definition 1: A *link-layer multicast transmission* $\tau(v_i, F_j)$ on node v_i for flow F_j is a two-tuple:

$$\tau(v_i, F_j) \triangleq \{\rho(v_i, F_j), N(v_i, F_j)\} \quad (1)$$

where $\rho(v_i, F_j) \in R$ denotes the transmission rate used by node v_i for F_j , and $N(v_i, F_j)$ denotes the set of *currently uncovered downstream neighbors* that node v_i would cover, if it transmitted at rate $\rho(v_i, F_j)$ (i.e., the set of nodes $\{v_l : d(v_i, v_l) \leq d(\rho(v_i, F_j))$ and v_l is currently uncovered}).

¹Our analysis, which is aimed at understanding the fundamental issues associated with multi-rate transmissions, assumes that L_i represents the total traffic load of F_i , such that $\frac{L_i}{\rho}$ represents the total transmission time. For precise computation, L_i should be adjusted to include the various overheads (network, MAC, PHY) associated with a specific transmission technology.

Note that by exploiting the WBA, the node v_i can reach all the nodes in the set $N(v_i, F_j)$ in one transmission at rate $\rho(v_i, F_j)$.

A. Definition and Properties of Broadcast Interference

Given the broadcast nature of the wireless medium, the transmission $\tau(v_i, F_j)$ will interfere (or, equivalently, cannot occur simultaneously) with a set of other transmissions. In general, this set of interfering transmissions include transmissions by node v_i itself (i.e., transmissions for other flows where v_i is a non-leaf node), as well as transmissions by nearby interfering nodes. The inter-node interference for transmission $\tau(v_i, F_j)$ with a transmission by another node $\tau(v_{i'}, F_{j'})$ occurs when $\tau(v_i, F_j)$ *interferes with the reception by any of the recipients* $N(v_{i'}, F_{j'})$, or $\tau(v_{i'}, F_{j'})$ *interferes with the reception by any of the recipients* $N(v_i, F_j)$. In particular, note that $F_{j'}$ may equal F_j – i.e., there may be intra-flow interference caused by different nodes on the tree for F_j .

Definition 2: For any transmission $\tau(v_i, F_j)$, the interference set $Inter(\tau(v_i, F_j))$ denotes the set of other transmissions that cannot occur in parallel with $\tau(v_i, F_j)$.

Figure 1 illustrates the nature of interference among several link-layer multicast transmissions, where $R_I = \kappa \times d(\rho_1)$ represents the interference range. There are three transmitting nodes $v_{i'}$, v_i , $v_{i''}$ and four multicast transmissions $\tau(v_i, F_j)$, $\tau(v_i, F_{j'})$, $\tau(v_{i'}, F_j)$, $\tau(v_{i''}, F_{j''})$. The *uncovered downstream neighbors* of these four link-layer multicast transmissions are as follows $N(v_i, F_j) = \{v_6, v_7, v_8\}$, $N(v_i, F_{j'}) = \{v_4, v_5\}$, $N(v_{i'}, F_j) = \{v_1, v_2, v_3\}$, $N(v_{i''}, F_{j''}) = \{v_9, v_{10}, v_{11}\}$. We can see that $\tau(v_i, F_j)$ interferes with $\tau(v_{i'}, F_j)$ at node v_2 . $\tau(v_i, F_{j'})$ and $\tau(v_i, F_j)$ interferes with each other since they have the same transmitting node v_i . $\tau(v_{i''}, F_{j''})$ interferes with $\tau(v_i, F_j)$ at node v_7 . These interference constraints imply that the multicast transmission $\tau(v_i, F_j)$ cannot happen simultaneously with any of the other three multicast transmissions. Also note that the interference effects are *not symmetric* – e.g., while $\tau(v_{i''}, F_{j''})$ interferes with $\tau(v_i, F_j)$ (at node v_7), $\tau(v_i, F_j)$ does not cause any interference to any of the receivers of $\tau(v_{i''}, F_{j''})$.

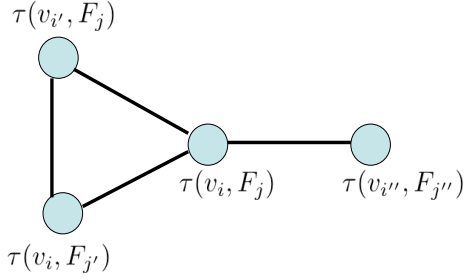


Fig. 2. Conflict graph of the multicast transmissions depicted in Figure 1.

The interference relationships among the transmissions in Figure 1 can be represented by a conflict graph (CG) shown in Figure 2. The nodes of the CG are the link-layer multicast transmissions and an edge exists between two nodes in the CG if their associated transmissions interfere with each other. CGs have also been used in modelling interference of unicast transmissions in [18]. However, the number of nodes in a multicast CG is far larger than that of a unicast CG. Let Δ_i denote the out-degree of node v_i , then the number of nodes in a unicast CG and multicast CG are, respectively, $\sum_{v_i \in V} \Delta_i$ (which is equal to the number of directed edges in the graph) and $\sum_{v_i \in V} (2^{\Delta_i} - 1)$.

Given a number of transmissions, it can readily be proved that these transmissions can take place simultaneously if and only if they belong to an independent set² of the CG. It was proved in [18] that a set of transmissions is feasible (or schedulable) if and only if it lies in the polytope of the independent sets of the CG. However, it is generally not feasible to apply this result in practice since the complexity to compute all independent sets grows exponentially with the number of nodes (which is already very large for a multicast CG).

In order to be able to determine a feasibility of a set of multicast transmissions, we will instead use a sufficient but not necessary condition. This condition may appear to be restrictive but our discrete event simulation shows that it can accurately predict the number of admission flows. We begin with a definition.

Definition 3: The *transmission time fraction (TTF)* of a link-layer multicast transmission $\tau(v_i, F_j) = \{\rho(v_i, F_j), N(v_i, F_j)\}$ is:

$$TTF(\tau(v_i, F_j)) = \frac{L_j}{\rho(v_i, F_j)} \quad (2)$$

We are now ready to state our admissibility condition.

Theorem 1: For a wireless mesh network with p point-to-multipoint flows F_1, \dots, F_p . Flow F_j has a load of L_j and

²Given a graph (V, E) where V is the set of nodes and E is the set of edges. An independent set I is a subset of V such that no two elements in I are connected by an edge.

whose forwarding tree is T_j . Let $\mathcal{NL}(T_j)$ denote the set of non-leaf nodes for tree T_j . A sufficient condition for the flows F_1, \dots, F_p to be feasible is

$$TTF(\tau(v_i, F_j)) + \sum_{\tau(v_i, F_j) \in \text{Inter}(\tau(v_i, F_j))} TTF(\tau(v_i, F_j)) \leq 1 \quad (3)$$

for all $v_i \in \mathcal{NL}(T_j)$ and for all F_j .

Proof: This theorem is a generalisation of Theorem 1 in [17] to the case of multi-rate multicast transmissions.

The proof is similar to that in [17] and is omitted. \square

An important consequence of our analysis is that determination of the *true feasibility* of a particular flow requires the maintenance of *flow-specific* state for each of the separate transmissions. In the next section, we shall see how this complicates the formation of a broadcast tree, by requiring each node to essentially maintain awareness of each distinct transmissions within its interference set. Subsequently, in Section V, we shall develop a less accurate node-centric feasibility metric for case of multicast flows.

IV. HEURISTIC BROADCAST ALGORITHMS

We first present the generic principle for the formation of a broadcast tree for a newly incoming flow. We assume there are $j-1$ ($j \geq 1$) broadcast trees $\{T_1, \dots, T_{j-1}\}$ already defined for the $\{F_1, \dots, F_{j-1}\}$ flows in the network and describe the process of constructing the tree T_j for flow F_j . The broadcast tree formulation is top-down – i.e., we start from the source and selectively add new nodes to the broadcast tree.

The objective of the algorithms is to create *efficient* delivery trees in order to achieve the maximal feasible load that a WMN may admit. As the load for all the flows are $L_1, L_2, L_3, \dots, L_j, \dots$, the metric for evaluating the ‘goodness’ of an algorithm is given by:

$$\sum_j L_j \quad j \in \{1, 2, 3, \dots, J\}, \quad (4)$$

where F_J is the last flow to be feasibly admitted (satisfies the constraints of Equation 3 at all forwarding nodes) and F_{J+1} cannot be feasibly admitted.

We defer for now the question of *selection metric*, i.e., the question of how to pick the next tree node given an existing set of nodes for the partial tree T_j . Rather, we first demonstrate the process of verifying whether a new node (transmitting at a specific rate to a set of child nodes) is feasible. *Our philosophy is thus to incrementally build a top-down broadcast tree T_j that is feasible at all times*, avoiding the addition of any transmission $\tau(v_i, F_j)$ that violates Equations 3.

Let us assume that a number of nodes have been selected as transmitting nodes for flow F_j in previous tree construction steps. This means for a selected node $v_{i'}$, the transmission rate $\rho(v_{i'}, F_j)$ and the downstream neighbors $N(v_{i'}, F_j)$ for transmission $\tau(v_{i'}, F_j)$ have been determined. We are now trying to determine if node v_i can be selected as next transmitting node, i.e., if $\tau(v_i, F_j)$ with a transmission rate $\rho(v_i, F_j)$ and downstream neighbor $N(v_i, F_j)$ can be permitted. To verify this process, we consider all the possible transmissions of $\tau(v_i, F_j)$ with transmission rates ρ_1, \dots, ρ_k . For any $\rho_l, l =$

$\{1, \dots, k\}$ to be feasible, it is essential that the corresponding airtime constraint for $\tau(v_i, F_j)$ be satisfied, i.e.,

$$\frac{L_j}{\rho_l} + \sum_{\tau(v_i, F_j) \in \text{Inter}(\tau(v_i, F_j))} TTF(\tau(v_i, F_j)) \leq 1 \quad (5)$$

Given our desire to try to ‘pack’ as many flows into the WMN, it is natural to prefer nodes where the residual airtime fraction is higher (nodes whose neighborhood is less busy). Accordingly, we define the metric *residual transmission time fraction (RTTF)* for rate ρ_l associated with transmission $\tau(v_i, F_j)$ for flow F_j at node v_i as:

$$RTTF(\tau(v_i, F_j)|\rho_l) = 1 - \frac{L_j}{\rho_l} - \sum_{\tau(v_i, F_j) \in \text{Inter}(\tau(v_i, F_j))} TTF(\tau(v_i, F_j)) \quad (6)$$

Note that, as before, the computation of $RTTF(\tau(v_i, F_j)|\rho_l)$ is dependent on not just the choice of the node v_i , but also the associate rate ρ_l (as $\text{Inter}(\tau(v_i, F_j))$ depends on ρ_l). It is also worth to note the difference between $\tau(v_i, F_j)$ in Equation 6 and $\tau(v_i, F_j)$ in Equation 3. $\tau(v_i, F_j)$ in Equation 3 is a fixed transmission and hence its rate and downstream neighbors have been determined. In contrast, $\tau(v_i, F_j)$ in Equation 6 is not fixed and we are in the process of determining if it is feasible on node v_i with a possible rate ρ_l . For feasibility of the candidate transmission $\tau(v_i, F_j)$, we need to check that $RTTF(\tau(v_i, F_j)|\rho_l) \geq 0$. Moreover, when selecting among alternative nodes for possible inclusion in the tree, we should clearly prefer “less congested nodes”, i.e., nodes with higher $RTTF(\tau(v_i, F_j)|\rho_l)$. Note that the $RTTF(\tau(v_i, F_j)|\rho_l)$ computed in Equation (6) is a worst-case value. To see this, suppose that two transmissions $\tau(v_{i'}, F_{j'})$ and $\tau(v_{i''}, F_{j''})$ both interfere with $\tau(v_i, F_j)$, but not with each other and can thus happen concurrently. $RTTF(\tau(v_i, F_j)|\rho_l)$ however assumes that none of its interfering transmissions can occur in parallel with one another.

A. Heuristic Metrics for Broadcast Tree Formation

Given our goal of maximizing the amount of admitted broadcast load, we should try to reduce the consumption of airtime by individual transmissions. In general, we thus want that (a) each transmission $\tau(v_i, F_j)$ by v_i uses as high a transmission rate as possible, and (b) the number of transmissions be minimized. Clearly, these two desires are mutually conflicting, since a faster rate implies a smaller coverage area, and consequently a larger number of individual transmissions.

We now present four feasible metrics for computing the tree T_j based on the notion of a connected dominating set (CDS). Recall that for a graph $G(V, E)$, a CDS Z of G is a subset of V such that (1) Every element (node) of $V \setminus Z$ is in the neighborhood of at least one node in Z ; (2) The set Z is connected. Among all the CDSs of G , constructing the one with the minimum cardinality (the minimum connected dominating set or MCDS) is known to be an NP-hard problem for a unit disk [15]. In this paper, we extend the WCDS (Weighted CDS) algorithm presented in [13] for constructing an MCDS-based broadcast tree in a multi-rate WMN. Note

that WCDS itself was suggested purely for an individual flow, does not consider the effects of inter and intra-flow interference, and does not attempt to maximize the total amount of admitted broadcast traffic. Our heuristic algorithms start by making the source node s for F_j eligible to transmit, and setting Z (denoting the set of covered nodes) to $\{s\}$. We say that a node is ‘covered’ if it is within the transmission range of a node $v \in Z$, given v ’s current link rate. In each round of the algorithm, we choose the $\tau(v_i, F_j)$ combination for a node $v_i \in Z$ that *maximizes* some objective function $f(\tau(v_i, F_j))$ (and, of course, does not violate the constraints of Equations 3). Algorithm 1 illustrates the overall steps for all the algorithms, with the computation of $f(\tau(v_i, F_j))$ being the sole point of difference among the four heuristics. In all cases, the tree formation process may terminate at an intermediate point if no additional feasible transmission is found. In such a case, we reject the admission of incoming flow F_j .

Input : $G(V, E)$, s – source node for the given flow F_j ,
 $R = \{\rho_1, \rho_2, \rho_3, \dots, \rho_k\}$.

Output: The broadcast tree T for the given flow F_j .
 $Z = \{s\}$, $T = \emptyset$;

while $(V \setminus Z \neq \emptyset)$ **do**
 $candidate = \emptyset$;
 for $v_i \in Z$ **do**
 for each possible $\rho(v_i, F_j) \in R$ **do**
 $\rho = \rho(v_i, F_j)$;
 Compute $f(\tau(v_i, F_j))$; /* Different $f(\cdot)$ for
 WCMA, MRA, WMRA and RCA. */
 if $\tau(v_i, F_j)$ is feasible for rate ρ : **then**
 $candidate = candidate \cup \tau(v_i, F_j)$
 end
 end
 end
 $\hat{\tau}(v_i, F_j) = \text{argmax}_{\tau(v_i, F_j) \in candidate} f(\tau(v_i, F_j))$;
 if $\hat{\tau}(v_i, F_j) = \emptyset$ (no feasible transmission found) **then**
 return $\{T = \emptyset\}$; /* The flow cannot be admitted */
 else
 Select $\hat{\tau}(v_i, F_j) = \{\hat{\rho}(v_i, F_j), N(v_i, F_j)\}$ as next
 transmission for flow F_j
 $Z \leftarrow Z \cup N(v_i, F_j)$;
 $T \leftarrow T \cup (\cup_{a \in N(v_i, F_j)} \{(i, a)\})$;
 end
end

Algorithm 1: The Broadcast Tree Formation Process

The first algorithm, called the *Weighted Coverage Maximization Algorithm (WCMA)*, calculates the cost of a candidate transmission $\tau(v_i, F_j)$ as follows:

$$f_{WCMA}(\tau(v_i, F_j)) = |N(v_i, F_j)| \times \rho(v_i, F_j) \quad (7)$$

This is identical to the WCDS metric in [13], except for the additional step of verifying that the chosen rate satisfies the feasibility constraints.

The second algorithm considers only the effect of interference on a single transmission. The transmission rate is fixed with the lowest rate (e.g., 6 Mbps for IEEE 802.11a radio). This algorithm is called the *Maximum RTTF Algorithm (MRA)* and tries to select the transmission that results in the maximum residual airtime. Accordingly, the cost of a

candidate transmission $\tau(v_i, F_j)$ is given by:

$$f_{MRA}(\tau(v_i, F_j)) = RTTF(\tau(v_i, F_j)|\rho(v_i, F_j)) \quad (8)$$

By selecting the (node, rate) combination with the largest $RTTF$ value, this heuristic tries to maximize the *residual* airtime, with the expectation that this will eventually allow more future transmissions to be accommodated.

The third algorithm, called the *Weighted Maximum RTTF Algorithm (WMRA)* balances the desire to select the transmission with the maximum residual airtime and higher transmission rate. The cost $f(v_i, F_j)$ is thus computed as:

$$f_{MRA}(\tau(v_i, F_j)) = \rho(v_i, F_j) \times RTTF(\tau(v_i, F_j)|\rho(v_i, F_j)) \quad (9)$$

Note that in WMRA, the rate selected must cover at least one uncovered neighbor.

Finally, the fourth algorithm, called the *RTTF-Aware Coverage Algorithm (RCA)*, computes the cost of $f(v_i, F_j)$ as follows:

$$f_{RCA}(\tau(v_i, F_j)) = |N(v_i, F_j)| \times \rho(v_i, F_j) \times RTTF(\tau(v_i, F_j)|\rho(v_i, F_j)) \quad (10)$$

Intuitively, the RCA algorithm tries to balance the competing objectives of interference minimization (favoring nodes with larger $RTTF$), link rate maximization (to reduce broadcast latency), and coverage of currently uncovered nodes (favoring transmissions that cover more nodes in the broadcast tree).

B. Idealized Performance Results for Broadcast Heuristics

We first present the results of Matlab-based simulations (essentially assuming an ideal MAC layer) to understand the behavior of the various tree formation heuristics. We use the parameters given in Table I and $\kappa = 1.7$ in our study. The transmission rates and minimum sensitivities shown in Table I are reproduced from IEEE 802.11a specifications [3]. The transmission range for each given rate is derived from Qualnet [2] with two-ray ground propagation model and fixed transmission power of $16dBm^3$. The results presented in this subsection correspond to means computed over 50 uniformly randomly generated network topologies. All network topologies are connected where the connectivity has been tested against the lowest transmission rate. Each network topology covers an area of $1km^2$.

The study is conducted with all flows having identical load $L = 0.1Mbps$. The network throughput is calculated as the product of the number of flows feasibly admitted and L . Figure 3 shows the comparative results of the four heuristic broadcast algorithms with 95% of confidence interval (All figures are plotted with 95% of confidence interval in the rest of the paper). Clearly, the throughput achieved by RCA outperforms the others. On average, RCA achieves 18.7%, 78.1%, and 7.8% of improvement than that of WCMA, MRA, and WMRA respectively. This demonstrates that the broadcast

TABLE I
RADIO RANGE FOR IEEE802.11A.

Transmission Rate (Mbps)	Minimum Sensitivity (dBm)	Transmission Range (m)
6	-82	170.62
9	-81	152.07
12	-79	120.79
18	-77	95.95
24	-74	67.93
36	-70	42.86
48	-66	27.04
54	-65	24.10

capacity can be enhanced by choosing transmissions that balance the need for high link rates with greater per-transmission node coverage and low channel contention (as measured by the $RTTF$ metric).

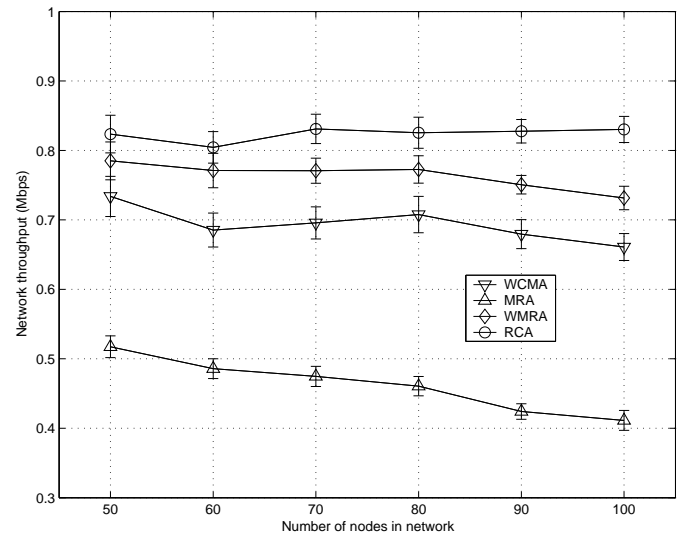


Fig. 3. Total admissible traffic with rate diversity.

C. The effect of transmission rates on broadcast Capacity

The aim of this section is to study how the network broadcast capacity depends on the choice of transmission rate. This question does not appear to have a trivial answer because of the trade off between transmission time and transmission coverage area. Although a higher rate transmission takes a shorter time, its coverage area is smaller. This means that it will take more higher rate transmissions to cover the same physical area and higher number of transmissions can also mean more contention to the channel.

In order to answer the above question, we used the rate-diversity aware routing algorithms that we have proposed earlier but instead of using multiple transmission rates, each node is restricted to transmit at a single link-layer transmission rate. In our simulation, we used three broadcast algorithms: WCMA, MRA and RCA. For each algorithm, we carried out three sets of simulations where the nodes in each set of simulations used only one single rate. Three different rates were used: 6 Mbps, 9 Mbps and 12 Mbps. Note that network

³This is equivalent to $40mW$, which is the standard maximum power for 5.15-5.25 GHz band [3].

partitioning prevented us from using higher transmission rates. Note also that although reducing the network area can result in a connected network for higher transmission rates, the reduced network area becomes unsuitable for our study because the larger transmission range for lower transmission rate means most nodes are covered in a one-hop transmission.

Figure 4 shows the comparative performance of the three algorithms with different transmission rates. The results were obtained from the average of 50 random topologies with 150 nodes in each network. The figure shows that 9Mbps results in the highest network broadcast capacity. This shows that a higher link-layer transmission rate does not necessarily lead to a higher broadcast capacity. It was shown in [4, Theorem 8] that for the broadcast capacity c of a multi-hop wireless network (in a d -dimensional cube) whose nodes use a single-link layer transmission rate ρ is bounded between:

$$c_1 \frac{\rho}{\max(1, \Delta^d)} \leq c \leq c_2 \frac{\rho}{\max(1, \Delta^d)} \quad (11)$$

where c_1 and c_2 are constants independent of the network parameters, and

$$\Delta = \frac{d_i - d_r(\rho)}{d_r(\rho)} \quad (12)$$

where d_i is the interference range and $d_r(\rho)$ is the transmission range for transmission rate ρ . This shows that in a 2-dimensional cube, the broadcast capacity varies with transmission rate according to

$$c \sim \frac{\rho}{\max(1, (\frac{d_i - d_r(\rho)}{d_r(\rho)})^2)} \quad (13)$$

By using the transmission rate and transmission range values given in Table I and $d_i = \kappa d(\rho_1)$ with $\kappa = 1.7$, Figure 5 shows how broadcast capacity (13) varies with transmission rates. It shows that the broadcast capacity is highest when the 9Mbps transmission rate is being used. Our simulation results therefore agrees with the prediction derived from [4]. Our study therefore shows that a higher link-layer transmission rate does not necessarily translate to a higher broadcast capacity. If a single link-layer transmission rate is to be used to achieve high broadcast capacity, then equation (11) can be used to predict the best rate to be used. Note that although [4] presented formula (11), the question of how the trade-off between transmission rate and transmission range (which rises from a constant transmission power assumption) affects the broadcast capacity was not discussed. In particular, note that our derivation assumes the same transmission power is used for all transmission rate.

The above discussion shows that some link-layer rates are better in realising a high broadcast capacity than the others when a single link-layer rate is to be used. What about in the multi-rate case? Will a certain subset of link-layer rates be better in realising high broadcast capacity? We study this question by performing simulations using three multi-rate broadcast algorithms WCMA, MRA and RCA. Instead of using all the transmission rate available in Table I, we perform simulations where only rates from 6Mbps to x Mbps are used where $x = 6, 9, 12, 18, 24, \dots$. The results are plotted

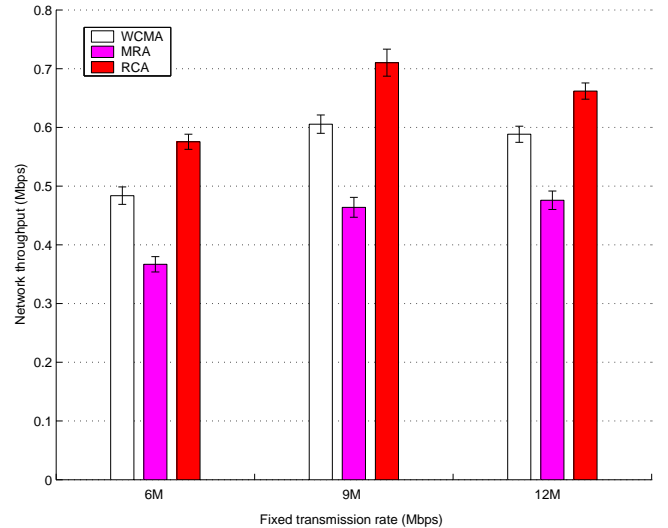


Fig. 4. Total admissible traffic with a single link-layer transmission rate.

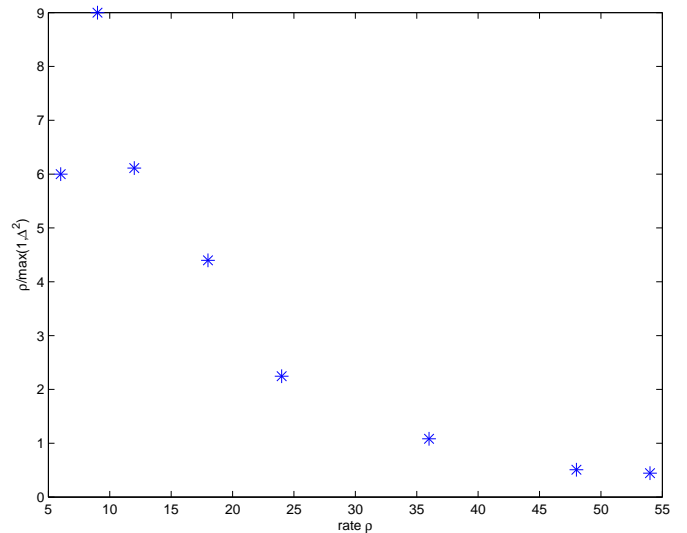


Fig. 5. The figure shows how broadcast capacity varies with transmission rate according to equation (13).

in Figure 6 where the x -axis indicates the highest transmission rate being used, e.g. x equals to 24 means the rates 6,9,12,18 and 24 Mbps are available for the nodes to use. Figure 6 shows that the inclusion of higher transmission rates increases the broadcast capacity (which is understandable) but the inclusion of some higher rates does not seem to improve the broadcast capacity much. It is interesting to note in Figure 6 that the inclusion of 9Mbps gives the biggest increase in broadcast capacity. This suggests that equation (11) can be used as a guideline to determine which rates are to be included in the multi-rate case.

We note that the effect of link-layer rates on broadcast latency (i.e. the time it takes a source node to reach all nodes) in a multi-hop wireless mesh network was studied in [13], [20]. They show that if a single link-layer rate is to be

used, then a higher rate may not result in lower broadcast latency. In fact, they show that the rate-area product (i.e. the product of transmission rate and transmission coverage area) is a good rule-of-thumb in determining how effective a transmission rate is in reducing broadcast latency in a 2-dimensional network. Note that the rate-area product is in fact the first order approximation of the right-hand-side of equation (13) for $\Delta > 1$.

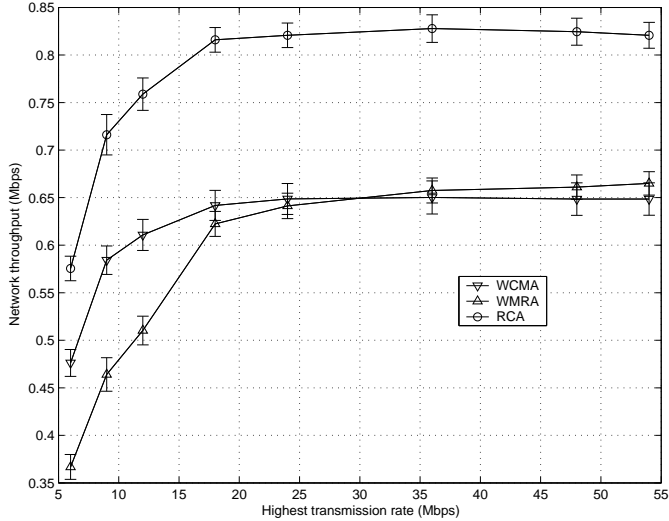


Fig. 6. Broadcast capacity.

D. Simulations with IEEE 802.11a

Former Matlab-based studies assume idealized MAC where media contentions can be resolved perfectly. Moreover, the traffic load is modelled as a fluid arrival process. In a real WMN, messages are transmitted in packets. While network throughput is a critical measure for the performance of an algorithm, it makes no sense if the packet delivery ratio is too low. In order to study our proposed algorithms in a more realistic wireless environment, we fed the computed trees (with rate-diversity) into the discrete event simulator Qualnet [2] and observe their performance⁴ with IEEE 802.11a radio.

Figure 7–9 show three critical performance measures – packet delivery ratio, network throughput, and broadcast latency. All plotted values are averaged over all flows on all nodes. Each simulation run is conducted for the period of 100 seconds. Figure 7 shows that the average packet delivery ratios for all algorithms are well above 95%. It also shows that WMRA and RCA achieve very similar delivery ratio. WCMA achieves a slight higher performance compare to WMRA and RCA because it accommodates a slight smaller traffic load. MRA achieves the best performance since MRA accommodates much less traffic load compare to the others. We further observe that the average network throughput shown in Figure 8 closely mirrors Figure 3. This means that our computations of broadcast trees are indeed showing some

⁴Due to implementation issues, the generated packet load for each flow is slightly higher than 0.1Mbps.

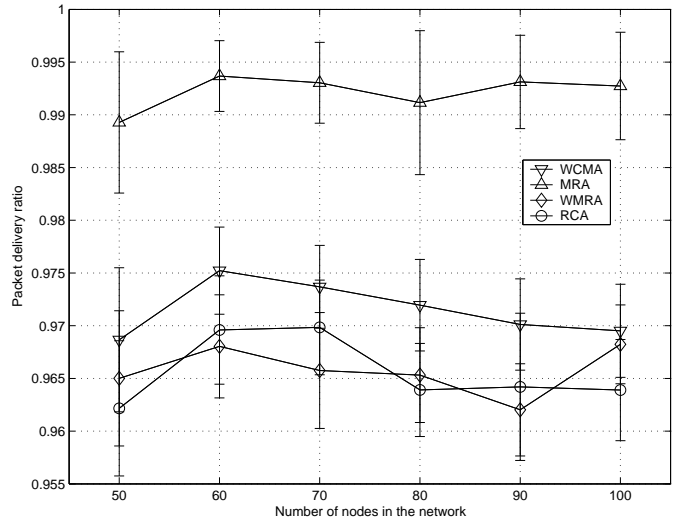


Fig. 7. Average packet delivery ratio via Qualnet.

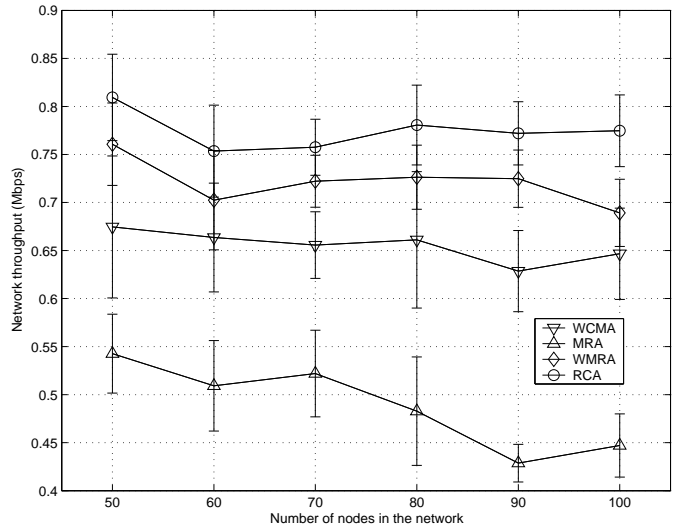


Fig. 8. Average network throughput via Qualnet.

“matching results” in 802.11a environment. Figure 9 illustrates the average broadcast latency. It is understandable that WCMA and MRA achieves better performance compare to WMRA and RCA since they accommodate less traffic load, hence less media contention at MAC layer. An interesting observation of Figure 9 is that RCA outperforms WMRA although RCA in fact accommodates more traffic load than WMRA. The reason behind this behavior is that WMRA biased towards selecting faster transmission rate – but smaller transmission range which covers lesser number of nodes. This results a larger number of transmissions are required in order to achieve a network-wide broadcast, which leads to higher contention-induced delays and poor performance at MAC layer.

V. RATE AND CONTENTION AWARE MULTICAST

We now consider the more practical problem of building similar routing trees for multicast flows. Unlike all earlier work

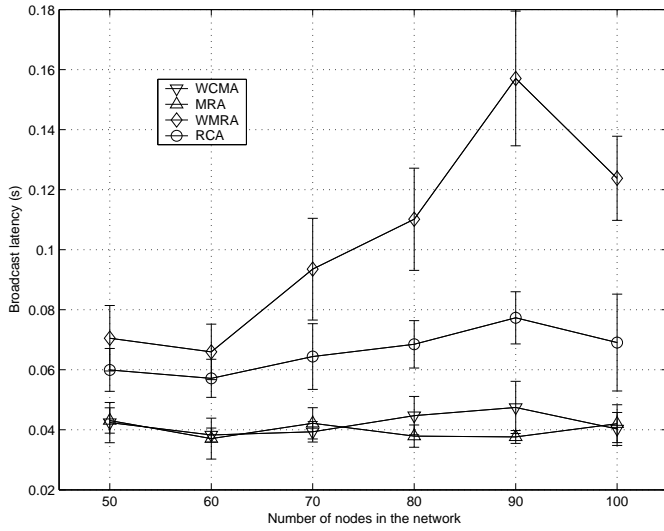


Fig. 9. Average broadcast latency via Qualnet.

on broadcasting, we aim to build a multicast tree that explicitly factors in three unique WMN features – (a) the ability of nodes to operate at different link rates; (b) the impact of interference on the available (bandwidth) capacity of a WMN node, and (c) the WBA. The key difficulty in extending the interference-aware approach (embodied by the RTTF metric of Equation 6) to multicast flows is that the broadcast tree formation algorithms are *greedy* – i.e., they compute the tree starting at the source and greedily add nodes to the tree, corresponding to the “best subsequent” transmission. In contrast, the multicast tree cannot be built greedily, since nodes should only be added if they extend the tree towards one of the receivers. (Most distance-vector algorithms, such as Dijkstra, cannot solely compute the shortest path to a specific destination node v_d from a source v_s , but instead, reconstruct the shortest path by backward traversal after computing a larger set of shortest paths). While one approach for multicasting may thus be based on pruning (i.e., first create the broadcast tree, and then simply prune all unnecessary edges), this is likely to be unsatisfactory. In particular, by assuming that all neighboring nodes needed to receive a transmission, the broadcast tree formation process may have incorrectly excluded some (link, rate) combinations.

Accordingly, we have devised the *Rate and Contention Aware Multicast Algorithm (RCAM)* (mathematically outlined in Algorithm 2) with the following intuition. The multicast tree will be constructed incrementally taking into account the rate, time fraction usage, and WBA. We assumed that the set of Q multicast receivers $\{mr_1, \dots, mr_Q\}$ are known at the start of the tree formation process. In the first step, we find the least-cost unicast path from source s to any multicast recipient, say mr_{α} , of the set of Q receiver nodes, assuming a link cost $c(v_a, v_b)$ for any link (v_a, v_b) . In general, the higher the rate for the edge (v_a, v_b) , the smaller should be the link cost. However, to balance the link cost with the level of channel contention, $c(v_a, v_b)$ needs to also account for the amount of residual airtime in the neighborhood of (v_a, v_b) . The most accurate determination of this contention is given by the

metric $RTTF$ (see Equation 6), which however, depends on the precise receiver set for a specific transmission $\tau(\cdot)$. As this is not possible for multicast as the relevant downstream receivers are not known a-priori, we instead define a *flow-independent* metric *Cumulative Transmission Time Fraction (CTTF)* for a node v_i as:

$$CTTF(v_i) = \sum_{l=1}^{j-1} \sum_{v_m \in V} \frac{L_l}{\rho(v_m, F_l)} I(v_i, v_m, F_l) \quad (14)$$

where $I(v_i, v_m, F_l)$ is an indicator function that equals 1 (otherwise 0) if: $(v_m$ is a transmitting node for tree $T_l) \wedge (v_m$ or at least one of the receivers in $N(v_m, F_l)$ is within the interference range of $v_i)$. In other words, $CTTF(v_i)$ defines the cumulative airtime usage (across all prior scheduled transmissions) in the interference range of v_i .

```

Input :  $G(V, E)$ , source node  $s$ , list of receivers  $\{mr_1, mr_2, \dots, mr_Q\}$ , load  $L$ , cumulative transmission time fraction  $\{CTTF(v)\}$ 
Output: The multicast tree  $T$ 
 $T = \emptyset, A = \{s\}$ ;
for  $v \in V$  do
  |  $CTTFmax(v) = \max_{u: (v, u) \text{ interfere}} CTTF(u)$ ;
end
for  $(a, b) \in E$  do
  |  $maxCont = \max(CTTFmax(a), CTTFmax(b))$ ;
  | if  $maxCont + \frac{L}{\rho(a, b)} < 1$  then
  | |  $c(a, b) = 1 / (\rho(a, b) \times (1 - maxCont))$ 
  | else
  | |  $c(a, b) = \infty$ 
  | end
end
for  $p = 1$  to  $Q$  do
  | /*SP computes the shortest path from the set  $A$  to  $m_p$ , using  $c(a, b)$  as the cost function. */
  |  $minpath = SP(A, m_p, \{c(a, b)\})$ ;
  |  $T = T \cup \{(v, u) \in minpath\}$ ;
  | for  $(v, u) \in E : v \in T \ \&\& \ d(v, u) \leq d(\rho(v))$  do
  | |  $label \ 1: c(v, u) = 0; A = A \cup u$ ;
  | end
end
if  $T$  is valid i.e., if  $T$  does not violate airtime constraints then
  | Return  $T$ ;
else
  | Return  $\emptyset$ ; //No valid multicast tree found
end

```

Algorithm 2: RCAM Algorithm.

To account for interference, the link cost $c(v_a, v_b)$ is modified to be a function of both the link speed $\rho(v_a, v_b)$ and the most critical airtime constraint in v_a 's vicinity. Thus,

$$c(v_a, v_b) = \frac{1}{\rho(v_a, v_b)} \times \frac{1}{1 - \max_{d(v_a, v_i) \leq \kappa \times d(\rho_1)} CTTF(v_i)} \quad (15)$$

Moreover, if $CTTF(v_i) + \frac{L_j}{\rho(v_a, v_b)} > 1$, then $c(a, b)$ should equal ∞ to reflect the fact that this link, although physically present, is unusable due to airtime constraints.

While such a formulation accounts for the rate diversity, RCAM also needs to account for the WBA. In particular, if

a node v_a has already been chosen to as a forwarding node of the tree T_j , it follow that any node v_b in the neighborhood of $\tau(v_a, F_j) = \{\rho(v_a, F_j), N(v_a, F_j)\}$, i.e., $v_b \in N(v_a, F_j)$, can receive the packet for free due to WBA. This is reflected by setting their cost $c(v_a, v_b)$ to 0 (label 1 in Algorithm 2) since this transmission is free due to WBA. (Note: The authors have also used this zero-cost setting technique to compute resilient multicast trees which exploit WBA in [25]). After this adjustment, the RCAM algorithm proceeds iteratively by selecting the next receiver node having the least-cost unicast path among the remaining receivers (e.g., selecting mr_β next) and grafting this path onto the existing multicast tree (the set A in Algorithm 2). To perform this grafting, RCAM selects the least-cost feasible path from the receiver to any member of A . Note that due to the inaccurate formulation of $CTTF(v_i)$, it is possible that the final computed tree T_j may actually be infeasible (i.e., it may violate one of the constraints of Equations 3. Accordingly, RCAM performs a final feasibility check on the whole tree T ; if it is found to be infeasible, the entire multicast flow is rejected.

A. Idealized Performance Results for Multicast Heuristics

We first used Matlab-based studies (assuming an idealized MAC) to compare the multicast capacity achieved by RCAM in a WMN with two alternative algorithms that do not consider interference effects: (a) The *Pruning* algorithm, where the broadcast tree is first constructed (using Equation 7) and all unnecessary nodes are subsequently pruned. (b) The conventional *shortest path tree (SPT) algorithm*, where the tree is formed by merging the shortest unicast path (with a link's cost being the inverse of its transmission rate) from source to each individual multicast receiver.

Our primary metric of interest is the amount of multicast traffic that the algorithms can feasibly admit. To study the dependence of capacity on the number of multicast receivers per group (Q), Q is chosen from $\{5, 10, 30\}$. For each flow, the source and receiver nodes are selected randomly from the set of WMN nodes. All flows have identical load $L = 0.1$ Mbps. Simulations are performed with 50 network topologies where each network topology has 400 nodes uniformly randomly distributed on a $1.5km \times 1.5km$ area. Figure 10 illustrates the simulation results.

From Figure 10, we see that, by considering both link rate diversity and interference-induced contention, RCAM outperforms WCMA and SPT. More importantly, the performance gains for RCAM are much greater when the number of multicast receivers are sparse (59% improvement over SPT for $Q = 5$) compared to dense receiver sets (23% improvement over WCMA for $Q = 30$). Clearly, sparse multicast groups allow multicast trees to be routed around WMN ‘hotspots’ or bottlenecks, allowing more flows can be ‘packed’. As Q increases, it is more likely that receivers for a newly arriving flow will be located in an existing ‘hotspot’, leaving little choice to the routing protocol. Finally, we see that the total network throughput (measured purely as the sum of the sender load, and not weighted by the number of receivers per flow) decreases as Q increases, since a larger value of Q implies a greater overall use of airtime resources per flow.

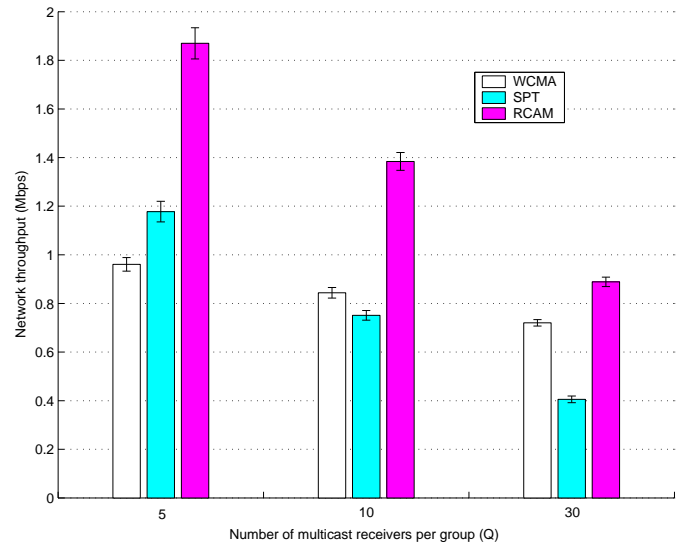


Fig. 10. Total admissible multicast traffic load.

B. The effect of transmission rates on multicast capacity

Similar to study carried out in Section IV-C on how a single link-layer transmission rate affects the broadcast capacity, in this section, we study how the choice of link-layer transmission rate impacts on multicast capacity. Figure 11 illustrates the comparative results of the three algorithms with different combinations of transmission rate and number of multicast receivers per group. Transmission rate that is higher than 12Mbps cannot be used since the network connectivity cannot be guaranteed. Figure 11 shows that algorithms with fixed transmission rate of 9Mbps achieve the highest performance, which is very close to the results as shown in Figure 10. But it is clear that our proposed heuristic RCAM algorithm outperforms the peak performance by exploiting the rate-diversity. An interesting observation of Figure 11 is that the algorithms with fixed transmission rate of 12Mbps achieves worse performance than that of 9Mbps. This means that the employment of higher rate may have negative impact on the network throughput.

C. Simulations with IEEE 802.11a

Similar to the broadcast study, we conducted discrete event simulations via Qualnet for all the multicast algorithms and observed their performance. We measured similar parameters (e.g., packet delivery ratio, network throughput, multicast latency) but only the multicast receivers associated values have been used in the average calculation. Figure 12-14 illustrate the comparative results. We can see that the network throughput as shown in Figure 13 closely mirrors Figure 10, which means that our computation of multicast trees indeed showing ‘‘matching results’’ in 802.11a environment. Although RCAM achieves significant improvements in the network throughput compare to WCMA and SPT, we can see from Figure 12 and Figure 14 that the packet delivery ratio and multicast latency of RCAM did not deteriorate significantly. In fact, RCAM achieves similar performance compare to WCMA and

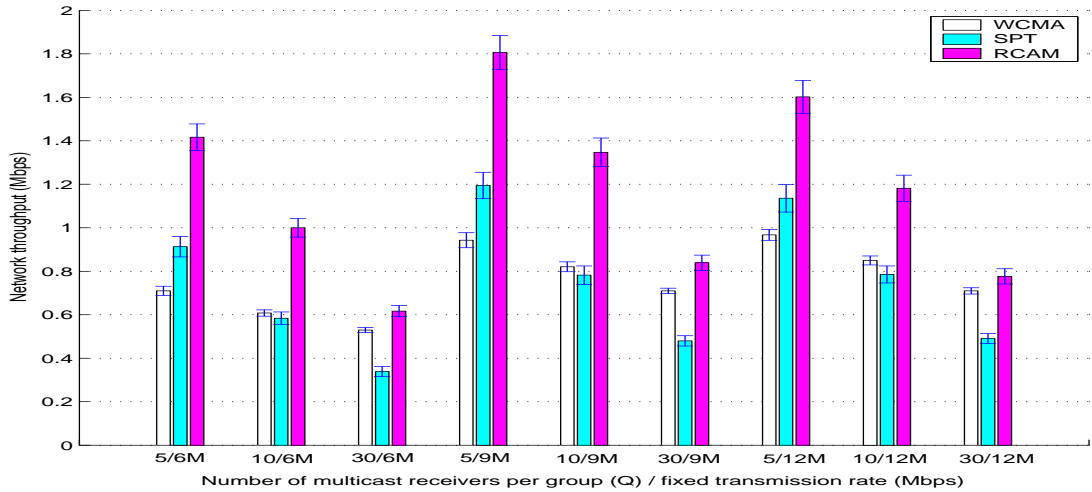


Fig. 11. Total admissible multicast traffic load with fixed transmission rates.

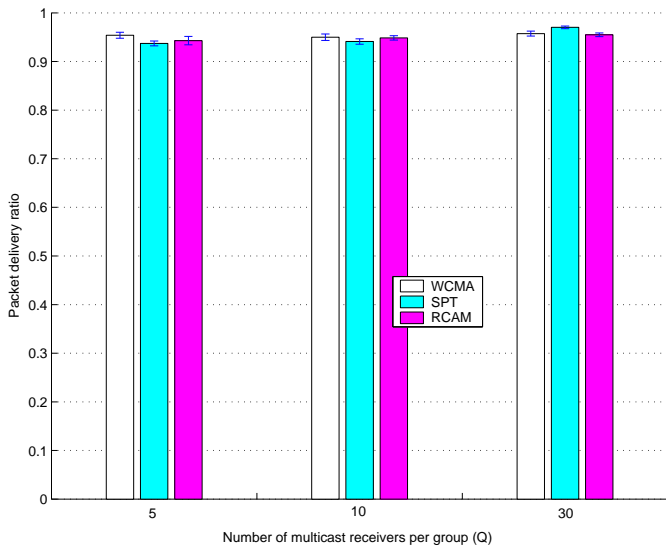


Fig. 12. Average packet delivery ratio via Qualnet.

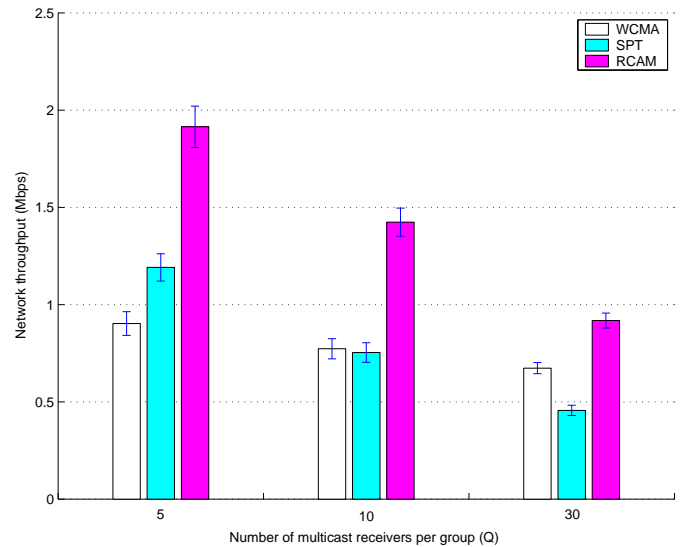


Fig. 13. Average network throughput via Qualnet.

SPT. In some cases, RCAM even achieves better performance. For example, RCAM has the lowest multicast latency with $Q = 10$.

VI. CONCLUSIONS AND FUTURE WORK

We have demonstrated that the combined consideration of link-rate diversity and channel interference can significantly increase the amount of broadcast/multicast traffic load that may be feasibly admitted and routed within a WMN. For network-wide broadcast traffic, the RCA heuristic algorithm provides up to 78% of improvement in the total broadcast capacity (total feasible load) by choosing transmissions that balance between high link rates, greater node coverage and low channel contention. For multicast flows, the RCAM algorithm is able to significantly enhance the amount of admissible multicast traffic on a WMN by exploiting both the transmission rate and the available (contention-free) airtime at individual

nodes. In particular, results demonstrating large (e.g., 59% for $Q = 5$) capacity gains for relatively sparse multicast groups are of great practical significance to many real-life applications (e.g., games, video-conferencing). In addition, our discrete events simulations with 802.11a radio via Qualnet shows decent “matching results” compare to the idealized studies.

While these are fundamental results, we are currently working to develop more practical, *distributed tree formation* algorithms based on the heuristics presented in this paper. The design of rate-diversity aware multicast tree formation algorithms for multi-radio, multi-channel wireless mesh nodes remains an open question for future research.

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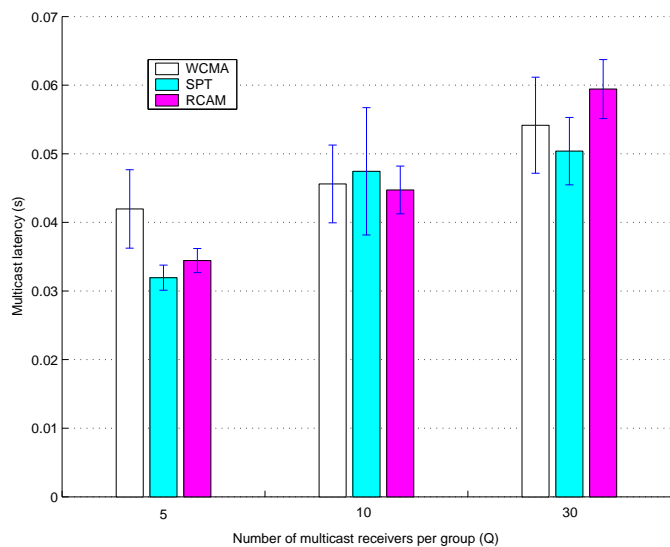


Fig. 14. Average multicast latency via Qualnet.

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