



**COMP4161**  
**Advanced Topics in Software Verification**

$\lambda \rightarrow$  **and HOL**

Gerwin Klein, Miki Tanaka, Johannes Åman Pohjola, Robert Sison

T3/2023

## Last time...

- Simply typed lambda calculus:  $\lambda^{\rightarrow}$
- Typing rules for  $\lambda^{\rightarrow}$ , type variables, type contexts
- $\beta$ -reduction in  $\lambda^{\rightarrow}$  satisfies subject reduction
- $\beta$ -reduction in  $\lambda^{\rightarrow}$  always terminates
- Types and terms in Isabelle

# Content

## → Foundations & Principles

- Intro, Lambda calculus, natural deduction [1,2]
- Higher Order Logic, Isar (part 1) [2,3<sup>a</sup>]
- Term rewriting [3,4]

## → Proof & Specification Techniques

- Inductively defined sets, rule induction [4,5]
- Datatype induction, primitive recursion [5,7]
- General recursive functions, termination proofs [7<sup>b</sup>]
- Proof automation, Isar (part 2) [8]
- Hoare logic, proofs about programs, invariants [8,9]
- C verification [9,10]
- Practice, questions, exam prep [10<sup>c</sup>]

---

<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

# Preview: Proofs in Isabelle

## Proofs in Isabelle

### General schema:

**lemma** name: " <goal> "

**apply** <method>

**apply** <method>

...

**done**

- Sequential application of methods until all **subgoals** are solved.

## The Proof State

1.  $\bigwedge x_1 \dots x_p. \llbracket A_1; \dots; A_n \rrbracket \implies B$
2.  $\bigwedge y_1 \dots y_q. \llbracket C_1; \dots; C_m \rrbracket \implies D$

$x_1 \dots x_p$     Parameters  
 $A_1 \dots A_n$     Local assumptions  
 $B$              Actual (sub)goal

## Isabelle Theories

### Syntax:

```
theory MyTh
imports ImpTh1 ... ImpThn
begin
(declarations, definitions, theorems, proofs, ...)*
end
```

- *MyTh*: name of theory. Must live in file *MyTh.thy*
- *ImpTh*<sub>*i*</sub>: name of *imported* theories. Import transitive.

Unless you need something special:

```
theory MyTh imports Main begin ... end
```

## Natural Deduction Rules

$$\frac{A \quad B}{A \wedge B} \text{ conjI}$$

$$\frac{A \wedge B \quad [[A; B]] \Rightarrow C}{C} \text{ conjE}$$

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \text{ disjI1/2}$$

$$\frac{A \vee B \quad A \Rightarrow C \quad B \Rightarrow C}{C} \text{ disjE}$$

$$\frac{A \Rightarrow B}{A \rightarrow B} \text{ impl}$$

$$\frac{A \rightarrow B \quad A \quad B \Rightarrow C}{C} \text{ impE}$$

For each connective ( $\wedge$ ,  $\vee$ , etc):  
**introduction** and **elimination** rules



## Proof by assumption

**apply** assumption

proves

1.  $\llbracket B_1; \dots; B_m \rrbracket \implies C$

by unifying  $C$  with one of the  $B_i$

There may be more than one matching  $B_i$  and multiple unifiers.

**Backtracking!**

Explicit backtracking command: **back**

## Intro rules

**Intro** rules decompose formulae to the right of  $\implies$ .

**apply** (rule <intro-rule>)

Intro rule  $\llbracket A_1; \dots; A_n \rrbracket \implies A$  means

→ To prove  $A$  it suffices to show  $A_1 \dots A_n$

Applying rule  $\llbracket A_1; \dots; A_n \rrbracket \implies A$  to subgoal  $C$ :

→ unify  $A$  and  $C$

→ replace  $C$  with  $n$  new subgoals  $A_1 \dots A_n$

## Intro rules: example

To prove subgoal  $A \longrightarrow A$  we can use:  $\frac{P \Longrightarrow Q}{P \longrightarrow Q}$  *impl*

(in Isabelle: *impl* :  $(?P \Longrightarrow ?Q) \Longrightarrow ?P \longrightarrow ?Q$ )

### Recall:

Applying rule  $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$  to subgoal  $C$ :

- unify  $A$  and  $C$
- replace  $C$  with  $n$  new subgoals  $A_1 \dots A_n$

### Here:

- unify...  $?P \longrightarrow ?Q$  with  $A \longrightarrow A$
- replace subgoal...  $A \longrightarrow A$  (i.e.  $\llbracket \rrbracket \Longrightarrow A \longrightarrow A$ )  
with  $\llbracket A \rrbracket \Longrightarrow A$  (which can be proved with: **apply** assumption)

## Elim rules

**Elim** rules decompose formulae on the left of  $\implies$ .

**apply** (erule <elim-rule>)

Elim rule  $\llbracket A_1; \dots; A_n \rrbracket \implies A$  means

→ If I know  $A_1$  and want to prove  $A$  it suffices to show  $A_2 \dots A_n$

Applying rule  $\llbracket A_1; \dots; A_n \rrbracket \implies A$  to subgoal  $C$ :

Like **rule** but also

- unifies first premise of rule with an assumption
- eliminates that assumption

## Elim rules: example

To prove  $\llbracket B \wedge A \rrbracket \Longrightarrow A$  we can use:

$$\frac{P \wedge Q \quad \llbracket P; Q \rrbracket \Longrightarrow R}{R} \text{ conjE}$$

(in Isabelle:  $\text{conjE} : \llbracket ?P \wedge ?Q; \llbracket ?P; ?Q \rrbracket \Longrightarrow ?R \rrbracket \Longrightarrow ?R$ )

### Recall:

Applying rule  $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$  to subgoal  $C$ :

Like **rule** but also

- unifies first premise of rule with an assumption
- eliminates that assumption

### Here:

- unify...  $?R$  with  $A$
- and also unify...  $?P \wedge ?Q$  with assumption  $B \wedge A$
- replace subgoal...  $\llbracket B \wedge A \rrbracket \Longrightarrow A$   
with  $\llbracket B; A \rrbracket \Longrightarrow A$  (which can be proved with: **apply** assumption)

Demo

# More Proof Rules

## Iff, Negation, True and False

$$\frac{A \implies B \quad B \implies A}{A = B} \text{ iffI} \qquad \frac{A = B \quad \llbracket A \longrightarrow B; B \longrightarrow A \rrbracket \implies C}{C} \text{ iffE}$$

$$\frac{A = B}{A \implies B} \text{ iffD1}$$

$$\frac{A = B}{B \implies A} \text{ iffD2}$$

$$\frac{A \implies \text{False}}{\neg A} \text{ notI}$$

$$\frac{\neg A \quad A}{P} \text{ notE}$$

$$\frac{}{\text{True}} \text{ TrueI}$$

$$\frac{\text{False}}{P} \text{ FalseE}$$



# Equality

$$\frac{}{t = t} \text{ refl} \quad \frac{s = t}{t = s} \text{ sym} \quad \frac{r = s \quad s = t}{r = t} \text{ trans}$$

$$\frac{s = t \quad P \ s}{P \ t} \text{ subst}$$

Rarely needed explicitly — used implicitly by term rewriting

## Classical

$$\frac{}{P = True \vee P = False} \text{ True-or-False}$$

$$\frac{}{P \vee \neg P} \text{ excluded-middle}$$

$$\frac{\neg A \implies False}{A} \text{ ccontr} \quad \frac{\neg A \implies A}{A} \text{ classical}$$

- **excluded-middle**, **ccontr** and **classical** not derivable from the other rules.
- if we include True-or-False, they are derivable

**They make the logic “classical”, “non-constructive”**

## Cases

$\overline{P \vee \neg P}$  excluded-middle

is a case distinction on type *bool*

Isabelle can do case distinctions on arbitrary terms:

**apply** (case\_tac *term*)

## Safe and not so safe

Safe rules preserve provability

conjI, impl, notI, iffI, refl, ccontr, classical, conjE,  
disjE

$$\frac{A \quad B}{A \wedge B} \text{ conjI}$$

Unsafe rules can turn a provable goal into an unprovable one

disjI1, disjI2, impE, iffD1, iffD2, notE

$$\frac{A}{A \vee B} \text{ disjI1}$$

**Apply safe rules before unsafe ones**

Demo

## What we have learned so far...

- natural deduction rules for  $\wedge$ ,  $\vee$ ,  $\longrightarrow$ ,  $\neg$ , iff...
- proof by assumption, by intro rule, elim rule
- safe and unsafe rules
- indent your proofs! (one space per subgoal)
- prefer implicit backtracking (chaining) or *rule\_tac*, instead of *back*
- *prefer* and *defer*
- *oops* and *sorry*