



# COMP4161 Advanced Topics in Software Verification



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# Content

→	Foundations & Principles	
	<ul> <li>Intro, Lambda calculus, natural deduction</li> </ul>	[1,2]
	<ul> <li>Higher Order Logic, Isar (part 1)</li> </ul>	$[2,3^a]$
	Term rewriting	[3,4]
<b>→</b>	Proof & Specification Techniques	
	<ul> <li>Inductively defined sets, rule induction</li> </ul>	[4,5]
	<ul> <li>Datatype induction, primitive recursion</li> </ul>	[5,7]
	<ul> <li>General recursive functions, termination proofs</li> </ul>	[7 <sup>b</sup> ]
	<ul> <li>Proof automation, Isar (part 2)</li> </ul>	[8]
	<ul> <li>Hoare logic, proofs about programs, invariants</li> </ul>	[8,9]
	C verification	[9,10]
	<ul> <li>Practice, questions, exam prep</li> </ul>	[10 <sup>c</sup> ]

<sup>&</sup>lt;sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

→ Defining HOL

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- → Higher Order Abstract Syntax

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- → Deriving proof rules
- → More automation

# Term Rewriting

# The Problem

# Given a set of equations

$$l_1 = r_1$$

$$l_2 = r_2$$

$$\vdots$$

$$l_n = r_n$$

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#### **Applications in:**

- → Mathematics (algebra, group theory, etc)
- → Functional Programming (model of execution)
- → Theorem Proving (dealing with equations, simplifying statements)

# Term Rewriting: The Idea

use equations as reduction rules

$$\begin{array}{c}
l_1 \longrightarrow r_1 \\
l_2 \longrightarrow r_2 \\
\vdots \\
l_n \longrightarrow r_n
\end{array}$$

decide l = r by deciding  $l \stackrel{*}{\longleftrightarrow} r$ 

$$\stackrel{0}{\longrightarrow} = \{(x,y)|x=y\}$$
 identity

$$\begin{array}{cccc} \stackrel{0}{\longrightarrow} & = & \{(x,y)|x=y\} & & \text{identity} \\ \stackrel{n+1}{\longrightarrow} & = & \stackrel{n}{\longrightarrow} \circ \longrightarrow & & \text{n+1 fold composition} \end{array}$$

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\stackrel{\bullet}{\longrightarrow} & = & \{(x,y)|x=y\} \\
\stackrel{n+1}{\longrightarrow} & = & \stackrel{n}{\longrightarrow} \circ \longrightarrow \\
\stackrel{+}{\longrightarrow} & = & \bigcup_{i>0} \xrightarrow{i} \\
\stackrel{+}{\longrightarrow} & = & \stackrel{+}{\longrightarrow} \cup \xrightarrow{0} \\
\stackrel{=}{\longrightarrow} & = & \longrightarrow \cup \xrightarrow{0}
\end{array}$$

y identity
 n+1 fold composition
 transitive closure
 reflexive transitive closure
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Does this always work?

If  $I \xrightarrow{*} n$  and  $r \xrightarrow{*} n$  then  $I \xleftarrow{*} r$ . Ok.

**Same idea as for**  $\beta$ **:** look for n such that  $I \stackrel{*}{\longrightarrow} n$  and  $r \stackrel{*}{\longrightarrow} n$ 

# Does this always work?

If  $l \xrightarrow{*} n$  and  $r \xrightarrow{*} n$  then  $l \xleftarrow{*} r$ . Ok. If  $l \xleftarrow{*} r$ , will there always be a suitable n?

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# Example:

Rules:  $f \times \longrightarrow a$ ,  $g \times \longrightarrow b$ ,  $f (g \times) \longrightarrow b$ 

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**But:**  $f \times \longrightarrow a \text{ and } g \times \longrightarrow b \text{ and } a, b \text{ in normal form}$ 

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Works only for systems with **Church-Rosser** property:  $I \stackrel{*}{\longleftrightarrow} r \Longrightarrow \exists n. \ I \stackrel{*}{\longrightarrow} n \land r \stackrel{*}{\longrightarrow} n$ 

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Fact: → is Church-Rosser iff it is confluent.



# Problem:

is a given set of reduction rules confluent?



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#### undecidable



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#### **Local Confluence**





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**Fact:** local confluence and termination ⇒ confluence

# **Termination**

- → is **terminating** if there are no infinite reduction chains
- $\longrightarrow$  is **normalizing** if each element has a normal form
- $\longrightarrow$  is convergent if it is terminating and confluent

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**Problem:** is a given set of reduction rules terminating?

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More formally: \longrightarrow is terminating when there is a well founded order < on terms for which s < t whenever t \longrightarrow s (well founded = no infinite decreasing chains a_1 > a_2 > \ldots)
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This system always terminates. Reduction order:

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**Example:** 
$$f(g x) \longrightarrow g x, g(f x) \longrightarrow f x$$

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$$s <_r t$$
 iff  $size(s) < size(t)$  with  $size(s) =$  number of function symbols in  $s$ 

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- ${ t 0}$  Both rules always decrease size by  ${ t 1}$  when applied to any term  ${ t t}$
- $@<_r$  is well founded, because < is well founded on  $\mathbb N$

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**Show** for each rule  $l_i = r_i$ , that  $r_i < l_i$ .

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#### **Example:**

$$g \times f (g \times)$$
 and  $f \times g (f \times)$ 

## Requires

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u to become smaller whenever any subterm of u is made smaller.

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Requires < to be **monotonic** with respect to the structure of terms:

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True for most orders that don't treat certain parts of terms as special cases.

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$$(\neg \neg P) = P$$

**notand:** 
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We show that the rewrite system defined by these rules is terminating.

Each time one of our rules is applied, either:

- → an implication is removed, or
- $\rightarrow$  something that is not a  $\neg$  is hoisted upwards in the term.

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This suggests a 2-part order,  $<_r$ :  $s <_r t$  iff:

- $\rightarrow$  num\_imps  $s < \text{num_imps } t$ , or
- → num\_imps  $s = \text{num\_imps } t \land \text{osize } s < \text{osize } t$ .

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Let:

- $\Rightarrow$   $s <_i t \equiv \text{num\_imps } s < \text{num\_imps } t \text{ and}$
- $\Rightarrow$   $s <_n t \equiv \text{osize } s < \text{osize } t$

Then  $<_i$  and  $<_n$  are both well-founded orders (since both return nats).

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Then  $<_i$  and  $<_n$  are both well-founded orders (since both return nats).  $<_r$  is the lexicographic order over  $<_i$  and  $<_n$ .  $<_r$  is well-founded since  $<_i$  and  $<_n$  are both well-founded.

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osize adds up all non- $\neg$  operators and variables/constants, weights each one according to its depth within the term.

```
osize' c x = 2^x

osize' (\neg P) x = \text{osize'} \ P \ (x+1)

osize' (P \land Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \lor Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize' (P \longrightarrow Q) x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))

osize P = osize' P \ 0
```

imp clearly decreases num\_imps.

osize adds up all non- $\neg$  operators and variables/constants, weights each one according to its depth within the term.

osize' 
$$c$$
  $x = 2^x$   
osize'  $(\neg P)$   $x = \text{osize'} \ P \ (x+1)$   
osize'  $(P \land Q)$   $x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))$   
osize'  $(P \lor Q)$   $x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))$   
osize'  $(P \longrightarrow Q)$   $x = 2^x + (\text{osize'} \ P \ (x+1)) + (\text{osize'} \ Q \ (x+1))$   
osize  $P$  = osize'  $P \ 0$ 

The other rules decrease the depth of the things osize counts, so decrease osize.

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#### Term rewriting engine in Isabelle is called **Simplifier**

#### apply simp

→ uses simplification rules

→ (almost) blindly from left to right

→ until no rule is applicable.

termination: not guaranteed

(may loop)

confluence: not guaranteed

(result may depend on which rule is used first)

#### Control

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- → Equations turned into simplification rules with [simp] attribute
- → Adding/deleting equations locally: apply (simp add: <rules>) and apply (simp del: <rules>)
- → Using only the specified set of equations: apply (simp only: <rules>)

# \_\_\_Demo

→ Equations and Term Rewriting

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- → Equations and Term Rewriting
- → Confluence and Termination of reduction systems

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- → Confluence and Termination of reduction systems
- → Term Rewriting in Isabelle

#### **Exercises**

→ Show, via a pen-and-paper proof, that the osize function is monotonic with respect to the structure of terms from that example.