



COMP4161

Advanced Topics in Software Verification



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Content

→ Foundations & Principles

- Intro, Lambda calculus, natural deduction [1,2]
- Higher Order Logic, Isar (part 1) [2,3^a]
- Term rewriting [3,4]

→ Proof & Specification Techniques

- Inductively defined sets, rule induction [4,5]
- Datatype induction, primitive recursion [5,7]
- General recursive functions, termination proofs [7]
- Proof automation, Isar (part 2) [8^b]
- Hoare logic, proofs about programs, invariants [8,9]
- C verification [9,10]
- Practice, questions, exam prep [10^c]

^aa1 due; ^ba2 due; ^ca3 due

Datatypes

Example:

```
datatype 'a list = Nil | Cons 'a "'a list"
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Properties:

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Nil      :: 'a list  
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Properties:

→ Constructors:

Nil :: 'a list
Cons :: 'a ⇒ 'a list ⇒ 'a list

→ Distinctness: Nil ≠ Cons x xs

→ Injectivity: (Cons x xs = Cons y ys) = (x = y ∧ xs = ys)

More Examples

Enumeration:

datatype answer = Yes | No | Maybe

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Mutual Recursion:

datatype even = EvenZero | EvenSucc odd

and odd = OddSucc even

Nested

Nested recursion:

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datatype 'a tree = Tip | Node 'a "'a tree list"
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→ Recursive call is under a **type constructor**.

The General Case

$$\text{datatype } (\alpha_1, \dots, \alpha_n) \tau = \begin{array}{l} C_1 \tau_{1,1} \dots \tau_{1,m_1} \\ \dots \\ C_k \tau_{k,1} \dots \tau_{k,n_k} \end{array}$$

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Distinctness and Injectivity applied automatically

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More detail: Tutorial on (Co-)datatypes Definitions at isabelle.in.tum.de

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Because: Cantor's theorem (α set is larger than α)

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- BNF defines well-behaved type constructors, ie where recursion is allowed
- datatypes automatically are BNFs (that's how they are constructed)
- can register other type constructors as BNFs — not covered here**

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** *Defining (Co)datatypes and Primitively (Co)recursive Functions in Isabelle/HOL*

Case

Every datatype introduces a **case** construct, e.g.

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- Dummy and default patterns with $_$
- Binds weakly, needs $()$ in context

Cases

apply (case_tac t)

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creates k subgoals

$\llbracket t = C_i x_1 \dots x_p; \dots \rrbracket \implies \dots$

one for each constructor C_i

Demo

Recursion

Why nontermination can be harmful

How about $f\ x = f\ x + 1$?

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$$\implies 0 = 1$$

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! All functions in HOL must be total !

Primitive Recursion

primrec guarantees termination structurally

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primrec app :: "'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list"  
where  
"app Nil ys = ys" |  
"app (Cons x xs) ys = Cons x (app xs ys)"
```

The General Case

If τ is a datatype (with constructors C_1, \dots, C_k) then $f :: \tau \Rightarrow \tau'$ can be defined by **primitive recursion**:

$$\begin{aligned} f (C_1 y_{1,1} \dots y_{1,n_1}) &= r_1 \\ &\vdots \\ f (C_k y_{k,1} \dots y_{k,n_k}) &= r_k \end{aligned}$$

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The recursive calls in r_i must be **structurally smaller**
(of the form $f a_1 \dots y_{i,j} \dots a_p$)

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Example: $\text{rec_list} :: "'a \Rightarrow ('b \Rightarrow 'b \text{ list} \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'b \text{ list} \Rightarrow 'a'$
 $\text{rec_list } f_1 f_2 \text{ Nil} = f_1$
 $\text{rec_list } f_1 f_2 (\text{Cons } x \text{ xs}) = f_2 x \text{ xs } (\text{rec_list } f_1 f_2 \text{ xs})$

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$\text{app} \equiv \text{rec_list } (\lambda \text{ys. ys}) (\lambda x \text{ xs } \text{xs}'. \lambda \text{ys. Cons } x (\text{xs}' \text{ ys}))$

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$$\frac{}{(\text{Nil}, f_1) \in \text{list_rel } f_1 f_2} \quad \frac{(xs, xs') \in \text{list_rel } f_1 f_2}{(\text{Cons } x \text{ } xs, f_2 \text{ } x \text{ } xs \text{ } xs') \in \text{list_rel } f_1 f_2}$$

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$\text{rec_list } f_1 f_2 \text{ } xs \equiv \text{THE } y. (xs, y) \in \text{list_rel } f_1 f_2$
Automatic proof that set def indeed is total function
(the equations for rec_list are lemmas!)

Predefined Datatypes

nat is a datatype

datatype nat = 0 | Suc nat

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Functions on nat definable by primrec!

primrec

$f\ 0 = \dots$

$f\ (\text{Suc } n) = \dots f\ n \dots$

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Important application:

'b \Rightarrow 'a option \sim partial function:

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primrec lookup :: 'k \Rightarrow ('k \times 'v) list \Rightarrow 'v option
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where

lookup k [] = None |

lookup k (x #xs) = (if fst x = k then Some (snd x) else lookup k xs)

Demo

primrec

Induction

Structural induction

P xs holds for all lists xs if

→ P Nil

→ and for arbitrary x and xs , P $xs \implies P$ ($x\#xs$)

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Induction theorem **list.induct**:

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→ General proof method for induction: **(induct x)**

- x must be a free variable in the first subgoal.
- type of x must be a datatype.

Basic heuristics

Theorems about recursive functions are proved by induction

Induction on argument number i of f
if f is defined by recursion on argument number i

Example

A tail recursive list reverse:

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primrec itrev :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list
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where
itrev []          ys = ys |
itrev (x#xs)     ys = itrev xs (x#ys)
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Example

A tail recursive list reverse:

primrec itrev :: 'a list \Rightarrow 'a list \Rightarrow 'a list

where

itrev [] ys = ys |

itrev (x#xs) ys = itrev xs (x#ys)

lemma itrev xs [] = rev xs

Demo

Proof Attempt

Generalisation

Replace constants by variables

lemma itrev xs ys = rev xs@ys

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lemma \forall ys. itrev xs ys = rev xs@ys

Or: **apply (induct xs arbitrary: ys)**

We have seen today ...

- Datatypes
- Primitive recursion
- Case distinction
- Structural Induction

Exercises

- define a primitive recursive function **lsum** :: nat list \Rightarrow nat that returns the sum of the elements in a list.
- show " $2 * \text{lsum } [0.. < \text{Suc } n] = n * (n + 1)$ "
- show " $\text{lsum } (\text{replicate } n \ a) = n * a$ "
- define a function **lsumT** using a tail recursive version of listsum.
- show that the two functions are equivalent: $\text{lsum } xs = \text{lsumT } xs$