



**COMP4161**  
**Advanced Topics in Software Verification**

**fun**

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# Content

## → Foundations & Principles

- Intro, Lambda calculus, natural deduction [1,2]
- Higher Order Logic, Isar (part 1) [2,3<sup>a</sup>]
- Term rewriting [3,4]

## → Proof & Specification Techniques

- Inductively defined sets, rule induction [4,5]
- Datatype induction, primitive recursion [5,7]
- General recursive functions, termination proofs [7]
- Proof automation, Isar (part 2) [8<sup>b</sup>]
- Hoare logic, proofs about programs, invariants [8,9]
- C verification [9,10]
- Practice, questions, exam prep [10<sup>c</sup>]

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<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

# General Recursion

## The Choice

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- Limited expressiveness, automatic termination
  - `primrec`
- High expressiveness, termination proof may fail
  - `fun`
- High expressiveness, tweakable, termination proof manual
  - `function`

## fun — examples

```
fun sep :: "'a ⇒ 'a list ⇒ 'a list"
```

```
where
```

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  "sep a (x # y # zs) = x # a # sep a (y # zs)" |
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  "sep a xs = xs"
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```
fun ack :: "nat ⇒ nat ⇒ nat"
```

```
where
```

```
  "ack 0 n = Suc n" |
```

```
  "ack (Suc m) 0 = ack m 1" |
```

```
  "ack (Suc m) (Suc n) = ack m (ack (Suc m) n)"
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# fun

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  - pattern matching in all parameters
  - nested, linear constructor patterns
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  - proves termination automatically in many cases (tries lexicographic order)

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  - reads equations sequentially like in Haskell (top to bottom)
  - proves termination automatically in many cases (tries lexicographic order)
- Generates more theorems than **primrec**
- May fail to prove termination:
  - use **function (sequential)** instead
  - allows you to prove termination manually

Demo

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- Example **sep.induct**:  
$$\begin{aligned} & \llbracket \bigwedge a. P\ a \llbracket; \\ & \quad \bigwedge a\ w. P\ a\ [w] \\ & \quad \bigwedge a\ x\ y\ zs. P\ a\ (y\#\!zs) \implies P\ a\ (x\#\!y\#\!zs); \\ & \rrbracket \implies P\ a\ xs \end{aligned}$$

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## Isabelle tries to prove termination automatically

- For most functions this works with a lexicographic termination relation.
- Sometimes not  $\Rightarrow$  error message with unsolved subgoal
- You can prove termination separately.

**function** (sequential) quicksort **where**

quicksort [] = [] |

quicksort (x#xs) = quicksort [y ← xs.y ≤ x]@[x]@ quicksort [y ← xs.x < y]

**by** pat\_completeness auto

**termination**

**by** (relation "measure length") (auto simp: less\_Suc\_eq\_le)

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- recursion operator for datatype  $D\_rec$ , defined via *THE*.
- primrec: apply datatype recursion operator



## How does fun/function work?

Similar strategy for **fun**:

- a new inductive definition for each **fun**  $f$
- extract *recursion scheme* for equations in  $f$
- define graph  $f\_rel$  inductively, encoding recursion scheme
- prove totality (= termination)
- prove uniqueness (automatic)
- derive original equations from  $f\_rel$
- export induction scheme from  $f\_rel$

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- $f\_dom = acc\ f\_rel$
- $acc$  = accessible part of  $f\_rel$
- the part that can be reached in finitely many steps
- termination =  $\forall x. x \in f\_dom$
- still have conditional equations for partial functions

Demo

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*The Size-change Principle for Program Termination*, POPL 2001.

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- **size\_change** (automated translation to simpler size-change graph<sup>1</sup>)
- **relation R** (manual proof via well-founded relation)

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# Well Founded Orders

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## Alternative definition (equivalent):

there are no infinite descending chains, or (equivalent):

every nonempty set has a minimal element wrt  $<_r$

$$\min (<_r) Q x \equiv \forall y \in Q. y \not<_r x$$

$$\text{wf} (<_r) = (\forall Q \neq \{\}. \exists m \in Q. \min r Q m)$$

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- $A <_r B = A \subset B \wedge \text{finite } B$  is well founded
- $\subseteq$  and  $\subset$  in general are **not** well founded

More about well founded relations: *Term Rewriting and All That*

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Recursion:  $x \neq 0 \implies x \rightsquigarrow x - 1$



## Extracting the Recursion Scheme

Higher Order:

→ **datatype** 'a tree = Leaf 'a | Branch 'a tree list

**fun** treemap :: ('a ⇒ 'a) ⇒ 'a tree ⇒ 'a tree **where**

treemap fn (Leaf n) = Leaf (fn n) |

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How does Isabelle extract context information for the call?

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Recall rule **if\_cong**:

$$\begin{aligned} & [ [ b = c; c \Longrightarrow x = u; \neg c \Longrightarrow y = v ] ] \Longrightarrow \\ & (\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v) \end{aligned}$$

**Read:** for transforming  $x$ , use  $b$  as context information, for  $y$  use  $\neg b$ .

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**In fun\_def:** for recursion in  $x$ , use  $b$  as context, for  $y$  use  $\neg b$ .

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The same works for function definitions.

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Another example (higher-order):

$$[| xs = ys; \bigwedge x. x \in \text{set } ys \implies f x = g x |] \implies \text{map } f \text{ } xs = \text{map } g \text{ } ys$$

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**Read:** for recursive calls in  $f$ ,  $f$  is called with elements of  $xs$

Demo

## Further Reading

Alexander Krauss,  
*Automating Recursive Definitions and Termination Proofs  
in Higher-Order Logic.*

PhD thesis, TU Munich, 2009.

<https://www21.in.tum.de/~krauss/papers/krauss-thesis.pdf>

## We have seen today ...

- General recursion with **fun/function**
- Induction over recursive functions
- How **fun** works
- Termination, partial functions, congruence rules