

# Applied Logic I

## Nonmonotonic Reasoning

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## Overview

- Nonmonotonic reasoning
- The Closed World Assumption
- Predicate Completion
- Reiter's default logic
- KLM approach to nonmonotonic consequence
- Belief change and nonmonotonic consequence
- The Big Picture!
- Summary

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## Nonmonotonic Reasoning



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## Nonmonotonicity

- Classical logic satisfies the following property
- Monotonicity: If  $\Delta \subseteq \Gamma$ , then  $Cn(\Delta) \subseteq Cn(\Gamma)$  (equivalently,  $\Gamma \vdash \phi$  implies  $\Gamma \cup \Delta \vdash \phi$ )
- However, we often draw conclusions based on ‘what is normally the case’ or ‘true by default’
- More information can lead us to retract previous conclusions
- We shall adopt the following notation
  - ▶  $\vdash$  classical consequence relation
  - ▶  $\sim$  nonmonotonic consequence relation

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## Example

Suppose I tell you ‘Tweety is a bird’  
 You might conclude ‘Tweety flies’  
 I then tell you ‘Tweety is an emu’  
 You conclude ‘Tweety does not fly’

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$bird(Tweety) \mid\sim flies(Tweety)$   
 $bird(Tweety) \wedge emu(Tweety) \mid\sim \neg flies(Tweety)$

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## The Closed World Assumption

- A **complete** theory is one in which for every ground atom in the language, either the atom or its negation appears in the theory
- The **closed world assumption** (CWA) completes a base (non-closed) set of formulae by including the negation of a ground atom whenever the atom does not follow from the base
- If we have no evidence as to the truth of (ground atom)  $P$ , we assume that it is false
- Given a base set of formulae  $\Delta$  we first calculate the **assumption set**  $\neg P \in \Delta_{asm}$  iff for ground atom  $P$ ,  $\Delta \not\vdash P$
- $CWA(\Delta) = Ch\{\Delta \cup \Delta_{asm}\}$

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## Example

$\Delta = \{P(a), P(b), P(a) \rightarrow Q(a)\}$   
 $\Delta_{asm} = \{\neg Q(b)\}$

**Theorem:** The CWA applied to a consistent set of formulae  $\Delta$  is inconsistent iff there are positive ground literals  $L_1, \dots, L_n$  such that  $\Delta \models L_1 \vee \dots \vee L_n$  but  $\Delta \not\models L_i$  for  $i = 1, \dots, n$ .

- Note that in the example above we limited our attention to the object constants that appeared in  $\Delta$  however the language could contain other constants. This is known as the **Domain Closure Assumption** (DCA)
- Another common assumption is the **Unique-Names Assumption** (UNA).  
 If two ground terms can't be proved equal, assume that they are not.

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## Predicate Completion

- Idea:** The only objects that satisfy a predicate are those that must
- For example, suppose we have  $P(a)$ . Can view this as  $\forall x. x = a \rightarrow P(x)$  the if-half of a definition
  - Can add the only if part:  $\forall x. P(x) \rightarrow x = a$
  - Giving:  $\forall x. P(x) \leftrightarrow x = a$

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## Predicate Completion

- **Definition:** A clause is **solitary** in a predicate  $P$  if whenever the clause contains a positive instance of  $P$ , it contains only one instance of  $P$ .
  - ▶ For example,  $Q(a), P(a), \neg P(b)$  is not solitary in  $P$
  - $Q(a), R(a), P(b)$  is solitary in  $P$
- Completion of a predicate is only defined for sets of clauses solitary in that predicate

## Predicate Completion

- Each clause can be written:
  - ◻:  $Q_1 \wedge \dots \wedge Q_m \rightarrow P(t)$  ( $P$  not contained in  $Q_i$ )
  - ◻:  $\forall x. (x = t) \wedge Q_1 \wedge \dots \wedge Q_m \rightarrow P(x)$
  - ◻:  $(\exists y. (x = t) \wedge Q_1 \wedge \dots \wedge Q_m \rightarrow P(x))$  (normal form of clause)
- Doing this to every clause gives us a set of clauses of the form:
  - ◻:  $E_1 \rightarrow P(x)$
  - ◻:  $E_2 \rightarrow P(x)$
  - ◻:  $E_3 \rightarrow P(x)$
  - ◻:  $E_4 \rightarrow P(x)$
  - ◻:  $E_5 \rightarrow P(x)$
  - ◻:  $E_6 \rightarrow P(x)$
  - ◻:  $E_7 \rightarrow P(x)$
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  - ◻:  $E_{98} \rightarrow P(x)$
  - ◻:  $E_{99} \rightarrow P(x)$
  - ◻:  $E_{100} \rightarrow P(x)$
- Grouping these together we get:
  - ◻:  $E_1 \vee \dots \vee E_n \rightarrow P(x)$
- Completion becomes:  $\forall x. P(x) \leftrightarrow E_1 \vee \dots \vee E_n$  and we can add this to the original set of formulae

## Example

- Suppose  $\Delta = \{\forall x. Emu(x) \rightarrow Bird(x), Bird(Tweety), \neg Emu(Tweety)\}$
- We can write this as
  - ◻:  $(Emu(x) \vee x = Tweety) \rightarrow Bird(x)$
- Predicate completion of  $P$  in  $\Delta$  becomes
  - ◻:  $\Delta \cup \{\forall x. Bird(x) \leftrightarrow Emu(x) \vee x = Tweety\}$

## Reiter's Default Logic (1980)

- Add default rules of the form  $\frac{\alpha:\beta}{\gamma}$ 
  - ▶ “If  $\alpha$  can be proven and consistent to assume  $\beta$ , then conclude  $\gamma$ ”
- Often consider **normal** default rules  $\frac{\alpha:\beta}{\beta}$
- Example:  $\frac{bird(x):flies(x)}{flies(x)}$
- Default theory  $\langle D, W \rangle$ 
  - $D$  – set of defaults;  $W$  – set of facts
- Extension of default theory contains as many default conclusions as possible and must be consistent (and is closed under classical consequence  $Cn$ )
- Concluding whether formula  $\phi$  follows from  $\langle D, W \rangle$ 
  - ▶ **Sceptical inference:**  $\phi$  occurs in **every** extension of  $\langle D, W \rangle$
  - ▶ **Credulous inference:**  $\phi$  occurs in **some** extension of  $\langle D, W \rangle$

## Examples

- $W = \{\}; D = \{\frac{!p}{!p}\}$  – no extensions
- $W = \{p \vee r\}; D = \{\frac{!p!q}{q}, \frac{r!q}{q}\}$  – one extension  $\{p \vee r\}$
- $W = \{p \vee q\}; D = \{\frac{!p!q}{!p}, \frac{!r!q}{!q}\}$  – two extensions  $\{\neg p, p \vee q\}, \{\neg q, p \vee q\}$
- $W = \{emu(Tweety), emu(x) \rightarrow bird(x)\}; D = \{\frac{bird(x) \wedge flies(x)}{flies(x)}\}$  – one extension
- What if we add  $\frac{emu(x) \wedge \neg flies(x)}{\neg flies(x)}$ ?
- Poole (1988) achieves a similar effect (but not quite as general) by changing the way the underlying logic is used rather than introducing a new element into the syntax

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## Default Theories—Properties

- **Observation:** Every normal default theory (default rules are all normal) has an extension
  - **Observation:** If a normal default theory has several extensions, they are mutually inconsistent
  - **Observation:** A default theory has an inconsistent extension iff  $D$  is inconsistent
  - **Theorem:** (Semi-monotonicity)  
Given two normal default theories  $\langle D, W \rangle$  and  $\langle D', W' \rangle$  such that  $D \subseteq D'$  then, for any extension  $\mathcal{E}(E, W)$  there is an extension  $\mathcal{E}(D', W')$  where  $\mathcal{E}(E, W) \subseteq \mathcal{E}(D', W')$
- (The addition of normal default rules does not lead to the retraction of consequences.)

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## Nonmonotonic Consequence

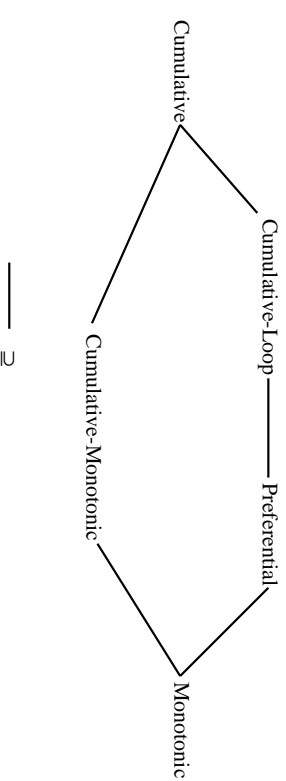
- Abstract study and analysis of nonmonotonic consequence relation  $\vdash \sim$  in terms of general properties Kraus, Lehmann and Magidor (1991)
- Some common properties include:
  - **Supraclassicality** If  $\phi \vdash \psi$ , then  $\phi \vdash \sim \psi$
  - **Left Logical Equivalence** If  $\vdash \phi \leftrightarrow \psi$  and  $\phi \vdash \sim \chi$ , then  $\psi \vdash \sim \chi$
  - **Right Weakening** If  $\vdash \psi \rightarrow \chi$  and  $\phi \vdash \sim \psi$ , then  $\phi \vdash \sim \chi$
  - **And** If  $\phi \vdash \sim \psi$  and  $\phi \vdash \sim \chi$ , then  $\phi \vdash \sim \psi \wedge \chi$
- Plus many more!

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## KLM Systems

- Kraus, Lehman and Magidor (1991) study various classes of nonmonotonic consequence relations



- This has been extended since. A good reference for this line of work is Schlechta (1997)

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