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## The Equivalence Problem for Deterministic MSO Tree Transducers is Decidable

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Joint Work with Joost Engelfriet

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### Problem Description

Macro Tree Transducers (MTTs) are functional programs that work on trees and have pattern matching and tree construction as only operations. (→ they always terminate)

EXAMPLE

For a tree  $s = a(b, c)$ ,  $\text{preorder}(s, \perp)$  is the tree  $a(b(c(\perp)))$

```
MTT preorder( s : Tree, t : Tree ) : tree
{
  match s {
    a(x1, x2) -> a(preorder(x1, preorder(x2, t)))
    b         -> b(t)
    c         -> b(t)
  }
}
```

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EXAMPLE

For a tree  $s = a(b, c)$ ,  $\text{preorder}(s, \perp)$  is the tree  $a(b(c(\perp)))$

```
MTT preorder( s : InTree, t : OutTree ) : OutTree
{
  match s {
    a(x1, x2) -> a(preorder(x1, preorder(x2, t)))
    b         -> b(t)
    c         -> b(t)
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MTTs:

- well studied since the 80's in Formal Language Theory they are "finite-state top-down tree transducers with parameters":  
 $(Q, \Sigma, A, q_0, R)$
- can model XML query and transformation languages such as XQuery and XSLT [Milo/Suciu/Vianu02] + [Engelfriet/M.03]:  
 $\text{Pebble Tr. Tr.'s} \subseteq \text{MTT}^+$

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### Problem Description

#### Equivalence Problem

Given MTTs  $M_1$  and  $M_2$ ,  $\forall s: M_1(s) = M_2(s)$ ?

Is this problem decidable??

Unfortunately, this remains open, BUT:

**MAIN THEOREM**  
The Equivalence Problem for **finite-copying MTTs** is decidable.

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Beautiful class = single-use Attribute Grammars  
 = tree translations definable in MSO Logic (MSOTT)  
 [Bloem/Engelfriet02] + [Engelfriet/M.99]

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## Outline

- Equivalence Problems in FLT
- Parikh's Theorem and Semilinear Sets
- MSO Tree Transducers (MSOTT)
- Deciding Equivalence of MSOTTs

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## Equivalence Problems in FLT

- *nondeterministic (one-way) finite state transducers* **undecidable** [Griffiths68]  
(→ reduction from PCP, use complement and union)

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- *deterministic top-down tree transducers* **decidable** [Esik80]

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(→ use Parikh property)
- *deterministic top-down tree transducers* **decidable** [Esik80]
- *nonnested, seperated attributed/marco tree transducers* **decidable** [Courcelle/Franchi-Zannetacci82]  
(→ seperated = can be evaluated in two phases,  
(1) only inherited, over  $\Delta_{inh}$   
(2) synthesized, over  $\Delta_{syn}$  )

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## Parikh's Theorem and Semilinear Sets

Let  $\Sigma = \{\sigma_1, \dots, \sigma_k\}$ .  
For a discrete graph / string / tree  $g$  over  $\Sigma$ , define

$$\text{Par}(g) = (n_1, \dots, n_k) \subseteq \mathbb{N}^k$$

such that  $n_i$  is the number of  $\sigma_i$ 's in  $g$ .

---

A set  $P \subseteq \mathbb{N}^k$  is **semilinear** if there exists a *regular language*  $R$  such that  $P = \text{Par}(R)$ .

A set  $S$  (of graphs/stings) is **Parikh**, if  $\text{Par}(S)$  is semilinear.

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**PARIKH'S THEOREM**  
Every context-free language is **Parikh**.

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### Parikh's Theorem and Semilinear Sets

Context-free languages are **Parikh**

$$L_1 = \{a^n b^n \mid n \geq 0\}$$

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$$L_2 = \{w \in \{a, b\}^* \mid \#a(w) = \#b(w)\}$$

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$$\text{Par}(L_2) = \text{Par}((aa)^*(bb)^*)$$

$$L_3 = \{w \in \{a, b\}^* \mid \#a(w) = \#b(w)\}$$

→ Is  $L_3$  context-free?? SURE! (even deterministic)

And, again,  $\text{Par}(L_3) = \text{Par}(L_1)$

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### Parikh's Theorem and Semilinear Sets

Lemma It is decidable for a semilinear set  $S \subseteq \mathbb{N}^2$ , whether there exists  $n \in \mathbb{N}$  such that  $(n, n) \in S$ .

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Proof. Let  $P = \{(n, n) \mid n \in \mathbb{N}\} = \text{Par}((ab)^*)$

Then  $S \cap P$  is semilinear [GinsburgSpanier64, difficult], and semilinear sets have decidable emptiness.

Alternative Proof??

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Alternative Proof??

$L3 = \{w \in \{a, b\}^* \mid \#a(w) = \#b(w)\}$

Let  $R$  be reg. language s.t.  $\text{Par}(S) = R$ .

Then  $R \cap L3$  is context-free ("triple-construction") and cf. languages have decidable emptiness.

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### MSO Tree Transducers

**FACTS**

**MSOTT**

- Closed under composition
- inverses preserve REGT

**MSOTT( REGT )**

= MSOTT( REGT )

- Closed under MSOTT
- path languages( MSOTT( REGT ) )
- = string languages( MSOTT( REGT ) )
- = MSOTS( REGT )

→ are **Parikh**

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### Deciding Equivalence of MSOTTs

Let's first do string output.

The Equivalence problem for **MSOTS** is **decidable**.

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Let's first do **string output**.  
**The Equivalence problem for MSOTS is decidable.**

Given MSOTS M1 and M2:  
 Add an end marker \$ (gives MSOTS N1/N2)  
 $N1(s) = M1(s) \$$   
 $N2(s) = M2(s) \$$

M1 is equiv. to M2

iff  $\neg(\exists s \exists n \ N1(s)/n \neq N2(s)/n)$

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iff  $\neg(\exists s \exists n \ N1(s)/n \neq N2(s)/n)$

iff  $\neg(\exists a, b: a \neq b \wedge \exists s \exists n \ N1(s)/n = a \wedge N2(s)/n = b)$

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M1 is equiv. to M2 (gives  $N1^a(s) = \{a^n \mid N1(s)/n = a\}$  and  $N2^b$ )

iff  $\neg(\exists s \exists n \ N1(s)/n \neq N2(s)/n)$

iff  $\neg(\exists a, b: a \neq b \wedge \exists s \exists n \ N1(s)/n = a \wedge N2(s)/n = b)$

iff  $\neg(\dots \wedge \exists s \exists n \ a^n \in N1^a(s) \wedge b^n \in N2^b(s))$

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M1 is equiv. to M2 (gives  $N12^{a,b}(s) = \{a^n b^m \mid N1(s)/n = a, N2(s)/m = b\}$ )

iff  $\neg(\exists s \exists n \ N1(s)/n \neq N2(s)/n)$

iff  $\neg(\exists a, b: a \neq b \wedge \exists s \exists n \ N1(s)/n = a \wedge N2(s)/n = b)$

iff  $\neg(\dots \wedge \exists s \exists n \ a^n \in N1^a(s) \wedge b^m \in N2^b(s))$

iff  $\neg(\dots \wedge \text{Par}(\text{Out}(N12^{a,b})) \cap \{(n, n) \mid n \geq 1\} \neq \emptyset?)$

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iff  $\neg(\dots \wedge \exists s \exists n \ a^n \in N1^a(s) \wedge b^m \in N2^b(s))$

iff  $\neg(\dots \wedge \text{Par}(\text{Out}(N12^{a,b})) \cap \{(n, n) \mid n \geq 1\} \neq \emptyset?)$  **Decidable! By Lemma.** □

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### Deciding Equivalence of MSOTTs

**The Equivalence problem for MSOTT is decidable.**

Simply compose M1 and M2 w. the **MSOTS preorder!**  
 → Gives **MSOTS N1, N2.** □

**COROLLARY** (using [Maneth, FSTTCS'02])

**(structural) XML queries of linear size increase have decidable equivalence.**

## THE END 😊

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