

NICTA Advanced Course

Theorem Proving Principles, Techniques, Applications

 $\{\mathsf{P}\} \dots \{\mathsf{Q}\}$

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CONTENT

- → Intro & motivation, getting started with Isabelle
- → Foundations & Principles
 - Lambda Calculus
 - Higher Order Logic, natural deduction
 - Term rewriting

→ Proof & Specification Techniques

- Inductively defined sets, rule induction
- Datatypes, recursion, induction
- More recursion, Calculational reasoning
- Hoare logic, proofs about programs
- Locales, Presentation

LAST TIME

- → Recdef
- → More induction
- → Well founded orders
- → Well founded recursion
- → Calculations: also/finally
- → [trans]-rules

A CRASH COURSE IN SEMANTICS

IMP - A SMALL IMPERATIVE LANGUAGE

Commands:

datatype com = SKIP

- Assign loc aexp
- Semi com com
- Cond bexp com com (IF _ THEN _ ELSE _)
- While bexp com

(_ := _) (_; _) (IF _ THEN _ ELSE _) (WHILE _ DO _ OD)

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- types loc = string
- **types** state = $loc \Rightarrow nat$

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- **types** loc = string
- **types** state = $loc \Rightarrow nat$
- **types** aexp = state \Rightarrow nat
- **types** bexp = state \Rightarrow bool

EXAMPLE PROGRAM

Usual syntax:

```
B := 1;
WHILE A \neq 0 DO
B := B * A;
A := A - 1
OD
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```

Expressions are functions from state to bool or nat:

$$B := (\lambda \sigma. 1);$$

WHILE $(\lambda \sigma. \sigma \ A \neq 0)$ DO
 $B := (\lambda \sigma. \sigma \ B * \sigma \ A);$
 $A := (\lambda \sigma. \sigma \ A - 1)$
OD

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How to define execution of a program?

- → A wide field of its own (visit a semantics course!)
- → Some choices:
 - Operational (inductive relations, big step, small step)
 - Denotational (programs as functions on states, state transformers)
 - Axiomatic (pre-/post conditions, Hoare logic)

$$\overline{\langle \mathsf{SKIP}, \sigma \rangle \longrightarrow \sigma}$$

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DEMO: THE DEFINITIONS IN ISABELLE

PROOFS ABOUT PROGRAMS

Now we know:

- → What programs are: Syntax
- → On what they work: State
- → How they work: Semantics

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- → On what they work: State
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So we can prove properties about programs

Example:

Show that example program from slide 6 implements the factorial.

lemma
$$\langle \text{factorial}, \sigma \rangle \longrightarrow \sigma' \Longrightarrow \sigma' B = \text{fac} (\sigma A)$$

(where fac 0 = 0, fac $(\operatorname{Suc} n) = (\operatorname{Suc} n) * \operatorname{fac} n$)

DEMO: EXAMPLE PROOF

Too tedious

Induction needed for each loop

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Is there something easier?

FLOYD/HOARE

Idea: describe meaning of program by pre/post conditions

Examples:

FLOYD/HOARE

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Examples:

{True} x := 2 {x = 2}

FLOYD/HOARE

Idea: describe meaning of program by pre/post conditions

Examples:

$$\begin{array}{ll} \{ \mathsf{True} \} & x := 2 & \{ x = 2 \} \\ \{ y = 2 \} & x := 21 * y & \{ x = 42 \} \end{array}$$
FLOYD/HOARE

Idea: describe meaning of program by pre/post conditions

Examples:

{True}
$$x := 2$$
 { $x = 2$ }
{ $y = 2$ } $x := 21 * y$ { $x = 42$ }
{ $x = n$ } IF $y < 0$ THEN $x := x + y$ ELSE $x := x - y$ { $x = n - |y|$ }

FLOYD/HOARE

Idea: describe meaning of program by pre/post conditions

Examples:

$$\{ \mathsf{True} \} \quad x := 2 \quad \{ x = 2 \} \\ \{ y = 2 \} \quad x := 21 * y \quad \{ x = 42 \} \\ \{ x = n \} \quad \mathsf{IF} \ y < 0 \ \mathsf{THEN} \ x := x + y \ \mathsf{ELSE} \ x := x - y \quad \{ x = n - |y| \} \\ \{ A = n \} \quad \mathsf{factorial} \quad \{ B = \mathsf{fac} \ n \}$$

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Proofs: have rules that directly work on such triples

 $\{P\}$ c $\{Q\}$

What are the assertions P and Q?

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Partial Correctness:

 $\models \{P\} \ c \ \{Q\} \quad \equiv \quad (\forall \sigma \ \sigma'. \ P \ \sigma \land \langle c, \sigma \rangle \longrightarrow \sigma' \Longrightarrow Q \ \sigma')$

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Total Correctness:

$$\models \{P\} \ c \ \{Q\} \quad \equiv \quad (\forall \sigma. \ P \ \sigma \Longrightarrow \exists \sigma'. \ \langle c, \sigma \rangle \longrightarrow \sigma' \land Q \ \sigma')$$

 $\{P\} \quad c \quad \{Q\}$

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This lecture: partial correctness only (easier)

$\overline{\{P\} \quad \mathsf{SKIP} \quad \{P\}}$

$$\overline{\{P\} \ \mathsf{SKIP} \ \{P\}} \quad \overline{\{P[x \mapsto e]\}} \quad x := e \quad \{P\}$$

$$\begin{array}{ll} \overline{\{P\}} & {\sf SKIP} & \{P\} & \overline{\{P[x \mapsto e]\}} & x := e & \{P\} \\ \\ & & \frac{\{P\} \ c_1 \ \{R\} \ \ \{R\} \ c_2 \ \{Q\}}{\{P\} \ \ c_1; c_2 \ \ \{Q\}} \end{array}$$

$$\overline{\{P\}} \quad \mathsf{SKIP} \quad \{P\} \quad \overline{\{P[x \mapsto e]\}} \quad x := e \quad \{P\}$$
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 ${P}$ IF *b* THEN c_1 ELSE c_2 ${Q}$

$$\overline{\{P\} \ \mathsf{SKIP} \ \{P\}} \ \overline{\{P[x \mapsto e]\}} \ x := e \ \{P\}$$

$$\underline{\{P\} \ c_1 \ \{R\} \ \{R\} \ c_2 \ \{Q\} }$$

$$\overline{\{P\} \ c_1; c_2 \ \{Q\} }$$

$$\frac{\{P \land b\} c_1 \{Q\}}{\{P\} \quad \text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \quad \{Q\}}$$

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$$\frac{\{P \land b\} c_1 \{Q\} \quad \{P \land \neg b\} c_2 \{Q\}}{\{P\} \quad \mathsf{IF} \ b \ \mathsf{THEN} \ c_1 \ \mathsf{ELSE} \ c_2 \quad \{Q\}}$$

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$$\frac{P \Longrightarrow P' \ \{P'\} \ c \ \{Q\}}{\{P\} \ c \ \{Q\}}$$

 $\vdash \{P\} \quad \mathsf{SKIP} \quad \{P\} \quad \vdash \{\lambda\sigma, P \ (\sigma(x := e \ \sigma))\} \quad x := e \quad \{P\}$ $\frac{\vdash \{P\} c_1 \{R\} \vdash \{R\} c_2 \{Q\}}{\vdash \{P\} c_1; c_2 \{Q\}}$ $\vdash \{\lambda \sigma. P \sigma \land b \sigma\} c_1 \{R\} \vdash \{\lambda \sigma. P \sigma \land \neg b \sigma\} c_2 \{Q\}$ $\vdash \{P\}$ IF b THEN c_1 ELSE $c_2 = \{Q\}$ $\vdash \{\lambda \sigma. \ P \ \sigma \land b \ \sigma\} \ c \ \{P\} \quad \bigwedge \sigma. \ P \ \sigma \land \neg b \ \sigma \Longrightarrow Q \ \sigma$ $\vdash \{P\}$ WHILE b DO c OD $\{Q\}$ $\bigwedge \sigma. \ P \ \sigma \Longrightarrow P' \ \sigma \quad \vdash \{P'\} \ c \ \{Q'\} \quad \bigwedge \sigma. \ Q' \ \sigma \Longrightarrow Q\sigma$ $\vdash \{P\} \quad c \quad \{Q\}$

ARE THE RULES CORRECT?

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Demo: Hoare Logic in Isabelle

Hoare rule application seems boring & mechanical.

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Problem: While – need creativity to find right (invariant) P

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Example:

 $\{M = 0 \land N = 0\}$ WHILE $M \neq a$ INV $\{N = M * b\}$ DO N := N + b; M := M + 1 OD $\{N = a * b\}$

pre c Q = weakest P such that $\{P\} c \{Q\}$

With annotated invariants, easy to get:

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With annotated invariants, easy to get: pre SKIP Q = Qpre (x := a) Q = $\lambda \sigma. Q(\sigma(x := a\sigma))$ pre $(c_1; c_2) Q$ = pre c_1 (pre $c_2 Q$)

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With annotated invariants, easy to get: pre SKIP Q = Qpre $(x := a) Q = \lambda \sigma. Q(\sigma(x := a\sigma))$ pre $(c_1; c_2) Q = \text{pre } c_1 \text{ (pre } c_2 Q)$ pre (IF *b* THEN c_1 ELSE $c_2) Q = \lambda \sigma. (b \longrightarrow \text{pre } c_1 Q \sigma) \land$ $(\neg b \longrightarrow \text{pre } c_2 Q \sigma)$

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 $pre (WHILE \ b \ INV \ I \ DO \ c \ OD) \ Q = I$

VERIFICATION CONDITIONS

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$$\mathsf{vc}\;c\;Q\wedge(\mathsf{pre}\;c\;Q\Longrightarrow P)\Longrightarrow\{P\}\;c\;\{Q\}$$

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Problem: program variables are functions, not values **Solution:** distinguish program variables syntactically

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→ declare program variables with each Hoare triple

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 - works well if you state full program and only use vcg

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 - works well if you state full program and only use vcg
- → separate program variables from Hoare triple (use extensible records), indicate usage as function syntactically

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Choices:

- → declare program variables with each Hoare triple
 - nice, usual syntax
 - works well if you state full program and only use vcg
- → separate program variables from Hoare triple (use extensible records), indicate usage as function syntactically
 - more syntactic overhead
 - program pieces compose nicely

Records are a tuples with named components

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Example:

record A = a :: nat b :: int

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Records are extensible:

record B = A + c :: nat list

()
$$a = Suc 0, b = -1, c = [0, 0]$$
)

DEMO

Available now in Isablle:

→ procedures

- → procedures
- \rightarrow with blocks and local variables

- → procedures
- \rightarrow with blocks and local variables
- → and (mutual) recursion

- → procedures
- \rightarrow with blocks and local variables
- → and (mutual) recursion
- \rightarrow exceptions

- → procedures
- \rightarrow with blocks and local variables
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Available now in Isablle:

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We're working at:

→ nondeterminsm

Available now in Isablle:

- → procedures
- \rightarrow with blocks and local variables
- → and (mutual) recursion
- → exceptions
- → arrays
- → pointers

We're working at:

- → nondeterminsm
- ➔ probability

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WE HAVE SEEN TODAY

- → Syntax and semantics of IMP
- → Hoare logic rules
- → Soundness of Hoare logic
- → Verification conditions
- → Example program proofs

Exercises

- → Write a program in IMP that calculates quotient and reminder of $x \in \mathbb{N}$ and $y \in \mathbb{N}$
- → Find the right invariant for its while loop.
- → Show its correctness in Isabelle: \vdash {True} program { $Q * y + R = x \land R < y$ }
- → Write an IMP program that sorts arrays (lists) by insertion sort.
- → Formulate and show its correctness in Isabelle.