

NICTA Advanced Course
Theorem Proving
Principles, Techniques, Applications

$$
\{P\} \ldots\{Q\}
$$

## Content

$\rightarrow$ Intro \& motivation, getting started with Isabelle
$\rightarrow$ Foundations \& Principles

- Lambda Calculus
- Higher Order Logic, natural deduction
- Term rewriting
$\rightarrow$ Proof \& Specification Techniques
- Inductively defined sets, rule induction
- Datatypes, recursion, induction
- More recursion, Calculational reasoning
- Hoare logic, proofs about programs
- Locales, Presentation


## Last Time

$\rightarrow$ Recdef
$\rightarrow$ More induction
$\rightarrow$ Well founded orders
$\rightarrow$ Well founded recursion
$\rightarrow$ Calculations: also/finally
$\rightarrow$ [trans]-rules

## A Crash Course in Semantics

## IMP - a small Imperative Language

Commands:
datatype com = SKIP
| Assign loc aexp (- := _)
Semi com com (_; -)
Cond bexp com com (IF _ THEN _ ELSE _)
While bexp com
(WHILE - DO _ OD)

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$\begin{array}{ll}\text { types loc } & =\text { string } \\ \text { types state } & =\text { loc } \Rightarrow \text { nat }\end{array}$

## IMP - a small Imperative Language

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| Assign loc aexp (- := _)
Semi com com (_; _)
Cond bexp com com (IF _ THEN _ ELSE _)
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types loc $\quad=$ string
types state $\quad=\quad \mathrm{loc} \Rightarrow$ nat
types aexp $=$ state $\Rightarrow$ nat
types bexp $=$ state $\Rightarrow$ bool

## Example Program

## Usual syntax:

$$
B:=1 ;
$$

WHILE $A \neq 0$ DO
$B:=B * A ;$
$A:=A-1$
OD

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& \text { WHILE } A \neq 0 \text { DO } \\
& \quad B:=B * A \\
& \quad A:=A-1 \\
& \text { OD }
\end{aligned}
$$

## Expressions are functions from state to bool or nat:

$$
\begin{aligned}
& B:=(\lambda \sigma .1) ; \\
& \text { WHILE }(\lambda \sigma \cdot \sigma A \neq 0) \mathrm{DO} \\
& \quad B:=(\lambda \sigma \cdot \sigma B * \sigma A) ; \\
& \quad A:=(\lambda \sigma \cdot \sigma A-1) \\
& \text { OD }
\end{aligned}
$$

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## What does it do?

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## How to define execution of a program?

$\rightarrow$ A wide field of its own (visit a semantics course!)
$\rightarrow$ Some choices:

- Operational (inductive relations, big step, small step)
- Denotational (programs as functions on states, state transformers)
- Axiomatic (pre-/post conditions, Hoare logic)


## Structural Operational Semantics

$\overline{\langle\text { SKIP }, \sigma\rangle \longrightarrow \sigma}$

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$$
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$$

$$
\overline{\langle x:=e}, \sigma\rangle \longrightarrow
$$

## Structural Operational Semantics

$$
\begin{gathered}
\overline{\langle\mathrm{SKIP}, \sigma\rangle \longrightarrow \sigma} \\
\frac{e \sigma=v}{\langle\mathrm{x}:=\mathrm{e}, \sigma\rangle \longrightarrow \sigma[x \mapsto v]} \\
\left\langle c_{1} ; c_{2}, \sigma\right\rangle \longrightarrow \sigma^{\prime \prime}
\end{gathered}
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\frac{b \sigma=\text { True }}{\left\langle\mathrm{IF} b \text { THEN } c_{1} \text { ELSE } c_{2}, \sigma\right\rangle \longrightarrow \sigma^{\prime}}
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$b \sigma=$ True
$\langle$ WHILE $b$ DO $c$ OD, $\sigma\rangle \longrightarrow$

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## Demo: The Definitions in Isabelle

## Proofs about Programs

## Now we know:

$\rightarrow$ What programs are: Syntax
$\rightarrow$ On what they work: State
$\rightarrow$ How they work: Semantics

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$\rightarrow$ On what they work: State
$\rightarrow$ How they work: Semantics

## So we can prove properties about programs

## Example:

Show that example program from slide 6 implements the factorial.

$$
\begin{aligned}
& \text { lemma }\langle\text { factorial, } \sigma\rangle \longrightarrow \sigma^{\prime} \Longrightarrow \sigma^{\prime} B=\text { fac }(\sigma A) \\
& \text { (where } \quad \text { fac } 0=0, \quad \text { fac }(\text { Suc } n)=(\text { Suc } n) * \text { fac } n \text { ) }
\end{aligned}
$$

Demo: Example Proof

## TOO TEDIOUS

## Induction needed for each loop

## Too tedious

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Is there something easier?

## Floyd/Hoare

Idea: describe meaning of program by pre/post conditions

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$\{$ True $\} \quad x:=2 \quad\{x=2\}$

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$\{$ True $\} \quad x:=2 \quad\{x=2\}$
$\{y=2\} \quad x:=21 * y \quad\{x=42\}$

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Idea: describe meaning of program by pre/post conditions

## Examples:

\{True\} $\quad x:=2 \quad\{x=2\}$
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$\{x=n\} \quad$ IF $y<0$ THEN $x:=x+y$ ELSE $x:=x-y \quad\{x=n-|y|\}$

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$\{A=n\} \quad$ factorial $\quad\{B=$ fac $n\}$

Proofs: have rules that directly work on such triples

## Meaning of a Hoare-Triple

$$
\{P\} \quad c \quad\{Q\}
$$

## What are the assertions $P$ and $Q$ ?

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$\rightarrow$ Other choice: syntax and semantics for assertions (deep embedding)

What does $\{P\} c\{Q\}$ mean?

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## Partial Correctness:

$\vDash\{P\} c\{Q\} \equiv\left(\forall \sigma \sigma^{\prime} . P \sigma \wedge\langle c, \sigma\rangle \longrightarrow \sigma^{\prime} \Longrightarrow Q \sigma^{\prime}\right)$

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This lecture: partial correctness only (easier)

## Hoare Rules

## $\{P\}$ SKIP $\{P\}$

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$$
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\frac{\{P\} c_{1}\{R\} \quad\{R\} c_{2}\{Q\}}{\{P\} \quad c_{1} ; c_{2}\{Q\}}
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\frac{\{P \wedge b\} c_{1}\{Q\}}{\{P\} \quad \text { IF } b \text { THEN } c_{1} \text { ELSE } c_{2} \quad\{Q\}}
\end{gathered}
$$

## Hoare Rules

$\begin{array}{lll}\overline{\{P\}} \quad \operatorname{SKIP} \quad\{P\} & \overline{\{P[x \mapsto e]\}} \quad x:=e \quad\{P\}\end{array}$

$$
\frac{\{P\} c_{1}\{R\} \quad\{R\} c_{2}\{Q\}}{\{P\} \quad c_{1} ; c_{2}\{Q\}}
$$

$$
\frac{\{P \wedge b\} c_{1}\{Q\} \quad\{P \wedge \neg b\} c_{2}\{Q\}}{\{P\} \quad \text { IF } b \text { THEN } c_{1} \operatorname{ELSE} c_{2}}
$$

## Hoare Rules

$$
\begin{gathered}
\hline\{P\} \quad \text { SKIP }\{P\} \quad \overline{\{P[x \mapsto e]\} \quad x:=e \quad\{P\}} \\
\frac{\{P\} c_{1}\{R\} \quad\{R\} c_{2}\{Q\}}{\{P\} \quad c_{1} ; c_{2} \quad\{Q\}} \\
\frac{\{P \wedge b\} c_{1}\{Q\} \quad\{P \wedge \neg b\} c_{2}\{Q\}}{\{P\} \text { IF } b \text { THEN } c_{1} \text { ELSE } c_{2} \quad\{Q\}} \\
\frac{\{P \wedge b\} c\{P\} \quad P \wedge \neg b \Longrightarrow Q}{\{P\} \text { WHILE } b \text { DO } c \text { OD }\{Q\}}
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\end{gathered}
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$\frac{\left\{P^{\prime}\right\} c\left\{Q^{\prime}\right\}}{\{P\} \quad c \quad\{Q\}}$

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\frac{\{P \wedge b\} c\{P\} \quad P \wedge \neg b \Longrightarrow Q}{\{P\} \quad \text { WHILE } b \text { DO } c \text { OD }\{Q\}} \\
\frac{P \Longrightarrow P^{\prime} \quad\left\{P^{\prime}\right\} c\left\{Q^{\prime}\right\} \quad Q^{\prime} \Longrightarrow Q}{\{P\} \quad c \quad\{Q\}}
\end{gathered}
$$

## Hoare Rules

$$
\begin{aligned}
& \overline{\vdash\{P\} \operatorname{SKIP} \quad\{P\}} \quad \overline{\vdash\{\lambda \sigma . P(\sigma(x:=e \sigma))\}} \quad x:=e \quad\{P\} \\
& \frac{\vdash\{P\} c_{1}\{R\} \quad \vdash\{R\} c_{2}\{Q\}}{\vdash\{P\} \quad c_{1} ; c_{2}\{Q\}} \\
& \frac{\vdash\{\lambda \sigma . P \sigma \wedge b \sigma\} c_{1}\{R\} \vdash\{\lambda \sigma . P \sigma \wedge \neg b \sigma\} c_{2}\{Q\}}{\vdash\{P\} \operatorname{IF} b \operatorname{THEN} c_{1} \operatorname{ELSE} c_{2}\{Q\}} \\
& \frac{\vdash\{\lambda \sigma . P \sigma \wedge b \sigma\} c\{P\} \wedge \sigma . P \sigma \wedge \neg b \sigma \Longrightarrow Q \sigma}{\vdash\{P\} \text { WHILE } b \mathrm{DO} c \text { OD }\{Q\}} \\
& \frac{\wedge \sigma . P \sigma \Longrightarrow P^{\prime} \sigma \vdash\left\{P^{\prime}\right\} c\left\{Q^{\prime}\right\} \quad \wedge \sigma . Q^{\prime} \sigma \Longrightarrow Q \sigma}{\vdash\{P\} \quad c\{Q\}}
\end{aligned}
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## Are the Rules Correct?

Soundness: $\vdash\{P\} c\{Q\} \Longrightarrow \models\{P\} c\{Q\}$

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Demo: Hoare Logic in Isabelle

## Nicer, but still kind of tedious

Hoare rule application seems boring \& mechanical.

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Problem: While - need creativity to fi nd right (invariant) $P$

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## Automation?

Problem: While - need creativity to fi nd right (invariant) $P$

## Solution:

$\rightarrow$ annotate program with invariants
$\rightarrow$ then, Hoare rules can be applied automatically

## Example:

$\{M=0 \wedge N=0\}$
WHILE $M \neq a$ INV $\{N=M * b\}$ DO $N:=N+b ; M:=M+1$ OD $\{N=a * b\}$

## Weakest Preconditions

$$
\text { pre } c Q=\text { weakest } P \text { such that }\{P\} c\{Q\}
$$

With annotated invariants, easy to get:

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With annotated invariants, easy to get:

```
pre SKIP Q
pre (x:=a)Q
```

$$
\begin{aligned}
& =Q \\
& =\quad \lambda \sigma \cdot Q(\sigma(x:=a \sigma))
\end{aligned}
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$$

With annotated invariants, easy to get:

```
pre SKIP Q
pre (x:=a)Q
pre (c, cc, ) Q
```

$$
\begin{aligned}
& =Q \\
& =\lambda \sigma \cdot Q(\sigma(x:=a \sigma)) \\
& =\operatorname{pre} c_{1}\left(\operatorname{pre} c_{2} Q\right)
\end{aligned}
$$

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$$

With annotated invariants, easy to get:

$$
\begin{array}{ll}
\text { pre SKIP } Q & =Q \\
\text { pre }(x:=a) Q & = \\
\text { pre }\left(c_{1} ; c_{2}\right) Q & =\operatorname{pre} c_{1}\left(\text { (pre } c_{2} Q\right) \\
\text { pre }\left(\operatorname{IF} b \text { THEN } c_{1} \text { ELSE } c_{2}\right) Q & = \\
& \\
& \\
& \left(\neg b \longrightarrow \operatorname{pre} c_{1} Q \sigma\right) \wedge \\
& \\
& (\neg \longrightarrow)
\end{array}
$$

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\text { pre } c Q=\text { weakest } P \text { such that }\{P\} c\{Q\}
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\text { pre }\left(\mathrm{IF} b \text { THEN } c_{1} \text { ELSE } c_{2}\right) Q & =\operatorname{pre} c_{1}(\sigma(x:=a \sigma)) \\
& \\
& \lambda \sigma .\left(b \longrightarrow \operatorname{pre} c_{2} Q\right) \\
\text { pre }(\text { WHILE } b \text { INV } I \text { DO } c \text { OD }) Q & =I
\end{array}
$$

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vc SKIP $Q$
vc $(x:=a) Q$
vc $\left(c_{1} ; c_{2}\right) Q$
$=$ True
$=$ True
$=\operatorname{vc} c_{2} Q \wedge\left(\operatorname{vc} c_{1}\left(\operatorname{pre} c_{2} Q\right)\right)$

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vc $(x:=a) Q$
vc $\left(c_{1} ; c_{2}\right) Q$
$\mathrm{vc}\left(\operatorname{IF} b\right.$ THEN $\left.c_{1} \operatorname{ELSE} c_{2}\right) Q=\operatorname{vc} c_{1} Q \wedge \operatorname{vc} c_{2} Q$

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## Verification Conditions

\{pre $c Q\} c\{Q\}$ only true under certain conditions

These are called verification conditions vc $c Q$ :
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$$
\operatorname{vc} c Q \wedge(\operatorname{pre} c Q \Longrightarrow P) \Longrightarrow\{P\} c\{Q\}
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- more syntactic overhead
- program pieces compose nicely


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Records are a tuples with named components

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## Example:

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\begin{aligned}
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## Demo

## More

## Available now in Isablle:

$\rightarrow$ procedures

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$\rightarrow$ object orientation

## We have seen today ...

$\rightarrow$ Syntax and semantics of IMP
$\rightarrow$ Hoare logic rules
$\rightarrow$ Soundness of Hoare logic
$\rightarrow$ Verification conditions
$\rightarrow$ Example program proofs

## Exercises

$\rightarrow$ Write a program in IMP that calculates quotient and reminder of $x \in \mathbb{N}$ and $y \in \mathbb{N}$
$\rightarrow$ Find the right invariant for its while loop.
$\rightarrow$ Show its correctness in Isabelle: $\vdash\{$ True $\}$ program $\left\{{ }^{\prime} Q * y+{ }^{\prime} R=x \wedge^{\prime} R<y\right\}$
$\rightarrow$ Write an IMP program that sorts arrays (lists) by insertion sort.
$\rightarrow$ Formulate and show its correctness in Isabelle.

