



COMP 4161
NICTA Advanced Course

Advanced Topics in Software Verification

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HOL

Slide 1

What is Higher Order Logic?

→ **Propositional Logic:**

- no quantifiers
- all variables have type *bool*

→ **First Order Logic:**

- quantification over values, but not over functions and predicates,
- terms and formulas syntactically distinct

→ **Higher Order Logic:**

- quantification over everything, including predicates
- consistency by types
- formula = term of type *bool*
- definition built on $\lambda\rightarrow$ with certain default types and constants



Slide 3



DEFINING HIGHER ORDER LOGIC

Slide 2

Defining Higher Order Logic

Default types:

$$\text{bool} \quad - \Rightarrow - \quad \text{ind}$$

→ **bool** sometimes called *o*

→ \Rightarrow sometimes called *fun*



Default Constants:

$$\begin{aligned}\longrightarrow &:: \text{bool} \Rightarrow \text{bool} \Rightarrow \text{bool} \\ = &:: \alpha \Rightarrow \alpha \Rightarrow \text{bool} \\ \epsilon &:: (\alpha \Rightarrow \text{bool}) \Rightarrow \alpha\end{aligned}$$

Slide 4

Higher Order Abstract Syntax



Problem: Define syntax for binders like $\forall, \exists, \varepsilon$

One approach: $\forall :: var \Rightarrow term \Rightarrow bool$

Drawback: need to think about substitution, α conversion again.

But: Already have binder, substitution, α conversion in meta logic

 λ

So: Use λ to encode all other binders.

Slide 5

Higher Order Abstract Syntax



Example:

$ALL :: (\alpha \Rightarrow bool) \Rightarrow bool$

HOAS

usual syntax

$ALL (\lambda x. x = 2)$ $\forall x. x = 2$

$ALL P$ $\forall x. P x$

Isabelle can translate usual binder syntax into HOAS.

Slide 6

Side Track: Syntax Declarations in Isabelle



→ mixfix:

`consts drtbl :: ct ⇒ ct ⇒ fm ⇒ bool ("_ _ ⊢ _")`

Legal syntax now: $\Gamma, \Pi \vdash F$

→ priorities:

pattern can be annotated with priorities to indicate binding strength

Example: $drtbl :: ct \Rightarrow ct \Rightarrow fm \Rightarrow bool ("_ _ \vdash _" [30, 0, 20] 60)$

→ infixl/infixr: short form for left/right associative binary operators

Example: $or :: bool \Rightarrow bool \Rightarrow bool$ (infixr "∨" 30)

→ binders: declaration must be of the form

$c :: (\tau_1 \Rightarrow \tau_2) \Rightarrow \tau_3$ (binder "B" < p >)

$B x. P x$ translated into $c P$ (and vice versa)

Example ALL :: $(\alpha \Rightarrow bool) \Rightarrow bool$ (binder "∀" 10)

More (including pretty printing) in Isabelle Reference Manual (7.3)

Slide 7

Back to HOL



Base: $bool, \Rightarrow, ind =, \longrightarrow, \varepsilon$

And the rest is definitions:

True $\equiv (\lambda x :: bool. x) = (\lambda x. x)$

All $P \equiv P = (\lambda x. True)$

Ex $P \equiv \forall Q. (\forall x. P x \longrightarrow Q) \longrightarrow Q$

False $\equiv \forall P. P$

$\neg P \equiv P \longrightarrow False$

$P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

$P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

If $P x y \equiv \text{SOME } z. (P = True \longrightarrow z = x) \wedge (P = False \longrightarrow z = y)$

inj $f \equiv \forall x y. f x = f y \longrightarrow x = y$

surj $f \equiv \forall y. \exists x. y = f x$

Slide 8

The Axioms of HOL

$$\frac{}{t = t} \text{ refl} \quad \frac{s = t \ P \ s}{P \ t} \text{ subst} \quad \frac{\bigwedge x. \ f \ x = g \ x}{(\lambda x. \ f \ x) = (\lambda x. \ g \ x)} \text{ ext}$$

$$\frac{P \implies Q}{P \rightarrow Q} \text{ impl} \quad \frac{P \rightarrow Q \ P}{Q} \text{ mp}$$

$$\frac{}{(P \rightarrow Q) \rightarrow (Q \rightarrow P) \rightarrow (P = Q)} \text{ iff}$$

$$\frac{}{P = \text{True} \vee P = \text{False}} \text{ True_or_False}$$

$$\frac{P ?x}{P (\text{SOME } x. \ P \ x)} \text{ somel}$$

$$\frac{}{\exists f :: \text{ind} \Rightarrow \text{ind}. \ \text{inj } f \wedge \neg\text{surj } f} \text{ infty}$$

Slide 9

That's it.

- 3 basic constants
- 3 basic types
- 9 axioms

With this you can define and derive all the rest.

Isabelle knows 2 more axioms:

$$\frac{x = y}{x \equiv y} \text{ eq-reflection} \quad \frac{}{(\text{THE } x. \ x = a) = a} \text{ the_eq_trivial}$$

Slide 10



DEMO: THE DEFINITIONS IN ISABELLE

Slide 11



Deriving Proof Rules

In the following, we will

- look at the definitions in more detail
- derive the traditional proof rules from the axioms in Isabelle

Convenient for deriving rules: **named assumptions in lemmas**

```
lemma [name :]
assumes [name1 :] "< prop >1"
assumes [name2 :] "< prop >2"
:
shows "< prop >" < proof >
```

proves: [< prop >₁; < prop >₂; ...] \implies < prop >

Slide 12

True



consts True :: *bool*
True ≡ $(\lambda x :: \text{bool}. x) = (\lambda x. x)$

Intuition:

right hand side is always true

Proof Rules:

$$\frac{}{\text{True} \quad \text{True!}}$$

Proof:

$$\frac{(\lambda x :: \text{bool}. x) = (\lambda x. x)}{\text{True}} \text{ refl}$$

unfold True_def

Slide 13

Universal Quantifier



consts ALL :: $(\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$
ALL *P* ≡ $P = (\lambda x. \text{True})$

Intuition:

- ALL *P* is Higher Order Abstract Syntax for $\forall x. P x$.
- *P* is a function that takes an *x* and yields a truth values.
- ALL *P* should be true iff *P* yields true for all *x*, i.e.
if it is equivalent to the function $\lambda x. \text{True}$.

Proof Rules:

$$\frac{\bigwedge x. P x}{\forall x. P x} \text{ all} \quad \frac{\forall x. P x \quad P ?x \Rightarrow R}{R} \text{ allE}$$

Proof: Isabelle Demo

Slide 15

DEMO



Slide 14

False



consts False :: *bool*
False ≡ $\forall P. P$

Intuition:

Everything can be derived from False.

Proof Rules:

$$\frac{\text{False}}{P} \text{ FalseE} \quad \frac{}{\text{True} \neq \text{False}} \text{ }$$

Proof: Isabelle Demo

Slide 16

Negation

consts Not :: $bool \Rightarrow bool (\neg _)$
 $\neg P \equiv P \rightarrow False$

Intuition:

Try $P = True$ and $P = False$ and the traditional truth table for \rightarrow .

Proof Rules:

$$\frac{A \Rightarrow False}{\neg A} \text{ notI} \quad \frac{\neg A \quad A}{P} \text{ notE}$$

Proof: Isabelle Demo

Slide 17



Conjunction

consts And :: $bool \Rightarrow bool \Rightarrow bool (_ \wedge _)$
 $P \wedge Q \equiv \forall R. (P \rightarrow Q \rightarrow R) \rightarrow R$

Intuition:

- Mirrors proof rules for \wedge
- Try truth table for P, Q , and R

Proof Rules:

$$\frac{A \quad B}{A \wedge B} \text{ conjI} \quad \frac{A \wedge B \quad [A; B] \Rightarrow C}{C} \text{ conjE}$$

Proof: Isabelle Demo

Slide 19



Existential Quantifier

consts EX :: $(\alpha \Rightarrow bool) \Rightarrow bool$
 $EX P \equiv \forall Q. (\forall x. P x \rightarrow Q) \rightarrow Q$

Intuition:

- EX P is HOAS for $\exists x. P x$. (like \forall)
- Right hand side is characterization of \exists with \forall and \rightarrow
- Note that inner \forall binds wide: $(\forall x. P x \rightarrow Q) = ((\exists x. P x) \rightarrow Q)$
- Remember lemma from last time: $(\forall x. P x \rightarrow Q) = ((\exists x. P x) \rightarrow Q)$

Proof Rules:

$$\frac{P ?x}{\exists x. P x} \text{ exI} \quad \frac{\exists x. P x \quad \bigwedge x. P x \Rightarrow R}{R} \text{ exE}$$

Proof: Isabelle Demo

Slide 18



Disjunction

consts Or :: $bool \Rightarrow bool \Rightarrow bool (_ \vee _)$
 $P \vee Q \equiv \forall R. (P \rightarrow R) \rightarrow (Q \rightarrow R) \rightarrow R$

Intuition:

- Mirrors proof rules for \vee (case distinction)
- Try truth table for P, Q , and R

Proof Rules:

$$\frac{A \quad B}{A \vee B} \text{ disjI1/2} \quad \frac{A \vee B \quad A \Rightarrow C \quad B \Rightarrow C}{C} \text{ disjE}$$

Proof: Isabelle Demo

Slide 20



If-Then-Else

```
consts If :: bool => α => α => α (if_then_else _)
If P x y ≡ SOME z. (P = True → z = x) ∧ (P = False → z = y)
```

Intuition:

- for $P = \text{True}$, right hand side collapses to $\text{SOME } z. z = x$
- for $P = \text{False}$, right hand side collapses to $\text{SOME } z. z = y$

Proof Rules:

$$\frac{\text{if True then } s \text{ else } t = s}{\text{ifTrue}} \quad \frac{\text{if False then } s \text{ else } t = t}{\text{ifFalse}}$$

Proof: Isabelle Demo



More on Automation

Last time: safe and unsafe rule, heuristics: use safe before unsafe

This can be automated

Syntax:

[<kind>!]	for safe rules (<kind> one of intro, elim, dest)
[<kind>]	for unsafe rules

Application (roughly):

do safe rules first, search/backtrack on unsafe rules only

Example: declare attribute globally declare conjl [intro!] allE [elim]
remove attribute gloablly declare allE [rule del]
use locally apply (blast intro: somel)
delete locally apply (blast del: conjl)



Slide 21



THAT WAS HOL

Slide 23



DEMO: AUTOMATION

Slide 22

Slide 24

We have learned today ...



- Defining HOL
- Higher Order Abstract Syntax
- Deriving proof rules
- More automation

Slide 25