



COMP 4161  
NICTA Advanced Course

### Advanced Topics in Software Verification

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# HOL

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## Content

- Intro & motivation, getting started [1]
- Foundations & Principles
  - Lambda Calculus, natural deduction [1,2]
  - Higher Order Logic [3<sup>a</sup>]
  - Term rewriting [4]
- Proof & Specification Techniques
  - Isar [5]
  - Inductively defined sets, rule induction [6<sup>b</sup>]
  - Datatypes, recursion, induction [7<sup>c</sup>, 8]
  - Calculational reasoning, code generation [9]
  - Hoare logic, proofs about programs [10<sup>d</sup>,11,12]

<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>session break; <sup>d</sup>a3 due

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## DEFINING HIGHER ORDER LOGIC

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## What is Higher Order Logic?

- **Propositional Logic:**
  - no quantifiers
  - all variables have type bool
- **First Order Logic:**
  - quantification over values, but not over functions and predicates,
  - terms and formulas syntactically distinct
- **Higher Order Logic:**
  - quantification over everything, including predicates
  - consistency by types
  - formula = term of type bool
  - definition built on  $\lambda^{\rightarrow}$  with certain default types and constants

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## Defining Higher Order Logic



Default types:

$bool$       $_ \Rightarrow _$       $ind$

→  $bool$  sometimes called  $o$

→  $\Rightarrow$  sometimes called  $fun$

Default Constants:

$\longrightarrow$  ::  $bool \Rightarrow bool \Rightarrow bool$

$=$  ::  $\alpha \Rightarrow \alpha \Rightarrow bool$

$\epsilon$  ::  $(\alpha \Rightarrow bool) \Rightarrow \alpha$

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## Higher Order Abstract Syntax



**Problem:** Define syntax for binders like  $\forall, \exists, \epsilon$

**One approach:**  $\forall :: var \Rightarrow term \Rightarrow bool$

**Drawback:** need to think about substitution,  $\alpha$  conversion again.

**But:** Already have binder, substitution,  $\alpha$  conversion in meta logic

$\lambda$

**So:** Use  $\lambda$  to encode all other binders.

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## Higher Order Abstract Syntax



Example:

$ALL :: (\alpha \Rightarrow bool) \Rightarrow bool$

**HOAS**

**usual syntax**

$ALL (\lambda x. x = 2)$

$\forall x. x = 2$

$ALL P$

$\forall x. P x$

Isabelle can translate usual binder syntax into HOAS.

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## Side Track: Syntax Declarations in Isabelle



→ **mixfix:**

**consts**  $drvbl :: ct \Rightarrow ct \Rightarrow fm \Rightarrow bool$  ("\_,\_ \vdash \_")

**Legal syntax now:**  $\Gamma, \Pi \vdash F$

→ **priorities:**

pattern can be annotated with priorities to indicate binding strength

**Example:**  $drvbl :: ct \Rightarrow ct \Rightarrow fm \Rightarrow bool$  ("\_,\_ \vdash\_" [30, 0, 20] 60)

→ **infix/infixr:** short form for left/right associative binary operators

**Example:**  $or :: bool \Rightarrow bool \Rightarrow bool$  (infixr " $\vee$ " 30)

→ **binders:** declaration must be of the form

$c :: (\tau_1 \Rightarrow \tau_2) \Rightarrow \tau_3$  (binder " $B$ " <  $p$  >)

$B x. P x$  translated into  $c P$  (and vice versa)

**Example**  $ALL :: (\alpha \Rightarrow bool) \Rightarrow bool$  (binder " $\forall$ " 10)

More (including pretty printing) in Isabelle Reference Manual (7.3)

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## Back to HOL

**Base:**  $bool, \Rightarrow, ind, =, \longrightarrow, \varepsilon$

**And the rest is definitions:**

$True \equiv (\lambda x :: bool. x) = (\lambda x. x)$

$All\ P \equiv P = (\lambda x. True)$

$Ex\ P \equiv \forall Q. (\forall x. P\ x \longrightarrow Q) \longrightarrow Q$

$False \equiv \forall P. P$

$\neg P \equiv P \longrightarrow False$

$P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

$P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

$If\ P\ x\ y \equiv SOME\ z. (P = True \longrightarrow z = x) \wedge (P = False \longrightarrow z = y)$

$inj\ f \equiv \forall x\ y. f\ x = f\ y \longrightarrow x = y$

$surj\ f \equiv \forall y. \exists x. y = f\ x$

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## That's it.

- 3 basic constants
- 3 basic types
- 9 axioms

**With this you can define and derive all the rest.**

Isabelle knows 2 more axioms:

$$\frac{x = y}{x \equiv y} \text{ eq\_reflection} \quad \frac{}{(THE\ x. x = a) = a} \text{ the\_eq\_trivial}$$

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## The Axioms of HOL

$$\frac{}{t = t} \text{ refl} \quad \frac{s = t \quad P\ s}{P\ t} \text{ subst} \quad \frac{\wedge x. f\ x = g\ x}{(\lambda x. f\ x) = (\lambda x. g\ x)} \text{ ext}$$

$$\frac{P \Longrightarrow Q}{P \longrightarrow Q} \text{ impl} \quad \frac{P \longrightarrow Q \quad P}{Q} \text{ mp}$$

$$\frac{}{(P \longrightarrow Q) \longrightarrow (Q \longrightarrow P) \longrightarrow (P = Q)} \text{ iff}$$

$$\frac{}{P = True \vee P = False} \text{ True\_or\_False}$$

$$\frac{P\ ?x}{P\ (SOME\ x. P\ x)} \text{ someI}$$

$$\frac{}{\exists f :: ind \Rightarrow ind. inj\ f \wedge \neg surj\ f} \text{ infTy}$$

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## DEMO: THE DEFINITIONS IN ISABELLE

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## Deriving Proof Rules



In the following, we will

- look at the definitions in more detail
- derive the traditional proof rules from the axioms in Isabelle

Convenient for deriving rules: **named assumptions in lemmas**

```
lemma [name :]  
  assumes [name1 :] "< prop >1"  
  assumes [name2 :] "< prop >2"  
  :  
  shows "< prop >" < proof >  
  
proves: [ [ < prop >1; < prop >2; ... ] =>< prop >
```

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DEMO



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## True



```
consts True :: bool  
True ≡ (λx :: bool. x) = (λx. x)
```

**Intuition:**  
right hand side is always true

**Proof Rules:**

$$\frac{}{\text{True}} \text{TrueI}$$

**Proof:**

$$\frac{(\lambda x :: \text{bool}. x) = (\lambda x. x)}{\text{True}} \text{refl} \quad \text{unfold True\_def}$$

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## Universal Quantifier



```
consts ALL :: (α ⇒ bool) ⇒ bool  
ALL P ≡ P = (λx. True)
```

**Intuition:**

- ALL  $P$  is Higher Order Abstract Syntax for  $\forall x. P x$ .
- $P$  is a function that takes an  $x$  and yields a truth values.
- ALL  $P$  should be true iff  $P$  yields true for all  $x$ , i.e. if it is equivalent to the function  $\lambda x. \text{True}$ .

**Proof Rules:**

$$\frac{\bigwedge x. P x}{\forall x. P x} \text{all} \quad \frac{\forall x. P x \quad P ?x \implies R}{R} \text{allE}$$

**Proof:** Isabelle Demo

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## False

**consts** False :: bool

False  $\equiv \forall P.P$

### Intuition:

Everything can be derived from *False*.

### Proof Rules:

$$\frac{\text{False}}{P} \text{ FalseE} \quad \frac{}{\text{True} \neq \text{False}}$$

**Proof:** Isabelle Demo

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## Existential Quantifier

**consts** EX :: ( $\alpha \Rightarrow \text{bool}$ )  $\Rightarrow$  bool

EX  $P \equiv \forall Q. (\forall x. P x \longrightarrow Q) \longrightarrow Q$

### Intuition:

- EX  $P$  is HOAS for  $\exists x. P x$ . (like  $\forall$ )
- Right hand side is characterization of  $\exists$  with  $\forall$  and  $\longrightarrow$
- Note that inner  $\forall$  binds wide:  $(\forall x. P x \longrightarrow Q)$
- Remember lemma from last time:  $(\forall x. P x \longrightarrow Q) = ((\exists x. P x) \longrightarrow Q)$

### Proof Rules:

$$\frac{P ?x}{\exists x. P x} \text{ exI} \quad \frac{\exists x. P x \quad \bigwedge x. P x \Longrightarrow R}{R} \text{ exE}$$

**Proof:** Isabelle Demo

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## Negation

**consts** Not :: bool  $\Rightarrow$  bool ( $\neg$ )

$\neg P \equiv P \longrightarrow \text{False}$

### Intuition:

Try  $P = \text{True}$  and  $P = \text{False}$  and the traditional truth table for  $\longrightarrow$ .

### Proof Rules:

$$\frac{A \Longrightarrow \text{False}}{\neg A} \text{ notI} \quad \frac{\neg A \quad A}{P} \text{ notE}$$

**Proof:** Isabelle Demo

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## Conjunction

**consts** And :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool ( $\_ \wedge \_$ )

$P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

### Intuition:

- Mirrors proof rules for  $\wedge$
- Try truth table for  $P$ ,  $Q$ , and  $R$

### Proof Rules:

$$\frac{A \quad B}{A \wedge B} \text{ conjI} \quad \frac{A \wedge B \quad [A; B] \Longrightarrow C}{C} \text{ conjE}$$

**Proof:** Isabelle Demo

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## Disjunction

**consts** Or :: *bool* ⇒ *bool* ⇒ *bool* (¬ ∨ ¬)  
 $P \vee Q \equiv \forall R. (P \rightarrow R) \rightarrow (Q \rightarrow R) \rightarrow R$

### Intuition:

- Mirrors proof rules for  $\vee$  (case distinction)
- Try truth table for  $P$ ,  $Q$ , and  $R$

### Proof Rules:

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \text{ disjI1/2} \quad \frac{A \vee B \quad A \Rightarrow C \quad B \Rightarrow C}{C} \text{ disjE}$$

**Proof:** Isabelle Demo

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## If-Then-Else

**consts** If :: *bool* ⇒  $\alpha$  ⇒  $\alpha$  ⇒  $\alpha$  (if\_ then \_ else \_)  
 If  $P \ x \ y \equiv \text{SOME } z. (P = \text{True} \rightarrow z = x) \wedge (P = \text{False} \rightarrow z = y)$

### Intuition:

- for  $P = \text{True}$ , right hand side collapses to  $\text{SOME } z. z = x$
- for  $P = \text{False}$ , right hand side collapses to  $\text{SOME } z. z = y$

### Proof Rules:

$$\frac{}{\text{if True then } s \text{ else } t = s} \text{ ifTrue} \quad \frac{}{\text{if False then } s \text{ else } t = t} \text{ ifFalse}$$

**Proof:** Isabelle Demo

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## THAT WAS HOL

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## More on Automation

**Last time:** safe and unsafe rule, heuristics: use safe before unsafe

### This can be automated

#### Syntax:

[<kind>!] for safe rules (<kind> one of intro, elim, dest)  
 [<kind>] for unsafe rules

#### Application (roughly):

do safe rules first, search/backtrack on unsafe rules only

#### Example:

declare attribute globally	<b>declare</b> conjI [intro!] allE [elim]
remove attribute globally	<b>declare</b> allE [rule del]
use locally	<b>apply</b> (blast intro: someI)
delete locally	<b>apply</b> (blast del: conjI)

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## DEMO: AUTOMATION

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We have learned today ...



- Defining HOL
- Higher Order Abstract Syntax
- Deriving proof rules
- More automation

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