

COMP 4161

NICTA Advanced Course

Advanced Topics in Software Verification

Gerwin Klein, June Andronick, Toby Murray, Rafal Kolanski

fun

Content

- Intro & motivation, getting started [1]

- Foundations & Principles
 - Lambda Calculus, natural deduction [1,2]
 - Higher Order Logic [3^a]
 - Term rewriting [4]

- Proof & Specification Techniques
 - Isar [5]
 - Inductively defined sets, rule induction [6^b]
 - Datatypes, recursion, induction [7^c, 8]
 - Calculational reasoning, code generation [9]
 - Hoare logic, proofs about programs [10^d,11,12]

^a a1 due; ^b a2 due; ^c session break; ^d a3 due

General Recursion

The Choice

- Limited expressiveness, automatic termination
 - `primrec`

- High expressiveness, termination proof may fail
 - `fun`

- High expressiveness, tweakable, termination proof manual
 - `function`

fun — examples

fun sep :: "'a ⇒ 'a list ⇒ 'a list"

where

"sep a (x # y # zs) = x # a # sep a (y # zs)" |

"sep a xs = xs"

fun ack :: "nat ⇒ nat ⇒ nat"

where

"ack 0 n = Suc n" |

"ack (Suc m) 0 = ack m 1" |

"ack (Suc m) (Suc n) = ack m (ack (Suc m) n)"

→ The defintion:

- pattern matching in all parameters
- arbitrary, linear constructor patterns
- reads equations sequentially like in Haskell (top to bottom)
- proves termination automatically in many cases
(tries lexicographic order)

→ Generates own induction principle

→ May fail to prove termination:

- use **function (sequential)** instead
- allows you to prove termination manually

fun — induction principle

→ Each **fun** definition induces an induction principle

→ For each equation:

show P holds for lhs, provided P holds for each recursive call on rhs

→ Example **sep.induct**:

$\llbracket \bigwedge a. P a \rrbracket;$

$\bigwedge a w. P a [w]$

$\bigwedge a x y z s. P a (y\#zs) \implies P a (x\#y\#zs);$

$\rrbracket \implies P a xs$

Termination

Isabelle tries to prove termination automatically

- For most functions this works with a lexicographic termination relation.
- Sometimes not \Rightarrow error message with unsolved subgoal
- You can prove automation separately.

function (sequential) quicksort **where**

quicksort [] = [] |

quicksort ($x\#xs$) = quicksort [$y \leftarrow xs.y \leq x$]@[x]@ quicksort [$y \leftarrow xs.x < y$]

by pat_completeness auto

termination

by (relation “measure length”) (auto simp: less_Suc_eq_le)

function is the fully tweakable, manual version of **fun**

DEMO

How does fun/function work?

Recall **primrec**:

- defined one recursion operator per datatype
- inductive definition of its graph $(x, f\ x) \in G$
- prove totality: $\forall x. \exists y. (x, y) \in G$
- prove uniqueness: $(x, y) \in G \Rightarrow (x, z) \in G \Rightarrow y = z$
- recursion operator: $rec\ x = THE\ y. (x, y) \in rec$

How does fun/function work?

Similar strategy for **fun**:

- a new inductive definition for each **fun** f
- extract *recursion scheme* for equations in f
- define graph f_rel inductively, encoding recursion scheme
- prove totality (= termination)
- prove uniqueness (automatic)
- derive original equations from f_rel
- export induction scheme from f_rel

How does fun/function work?

Can separate and defer termination proof:

- skip proof of totality
- instead derive equations of the form: $x \in f_dom \Rightarrow f\ x = \dots$
- similarly, conditional induction principle
- $f_dom = acc\ f_rel$
- acc = accessible part of f_rel
- the part that can be reached in finitely many steps
- termination = $\forall x. x \in f_dom$
- still have conditional equations for partial functions

Proving Termination

Command **termination fun_name** sets up termination goal

$\forall x. x \in \text{fun_name_dom}$

Three main proof methods:

- **lexicographic_order** (default tried by **fun**)
- **size_change** (different automated technique)
- **relation R** (manual proof via well-founded relation)

Well Founded Orders

Definition

$<_r$ is well founded if well founded induction holds

$$\text{wf } r \equiv \forall P. (\forall x. (\forall y <_r x. P y) \longrightarrow P x) \longrightarrow (\forall x. P x)$$

Well founded induction rule:

$$\frac{\text{wf } r \quad \bigwedge x. (\forall y <_r x. P y) \implies P x}{P a}$$

Alternative definition (equivalent):

there are no infinite descending chains, or (equivalent):

every nonempty set has a minimal element wrt $<_r$

$$\text{min } r Q x \equiv \forall y \in Q. y \not<_r x$$

$$\text{wf } r = (\forall Q \neq \{\}. \exists m \in Q. \text{min } r Q m)$$

Well Founded Orders: Examples

- $<$ on \mathbb{N} is well founded
well founded induction = complete induction
- $>$ and \leq on \mathbb{N} are **not** well founded
- $x <_r y = x \text{ dvd } y \wedge x \neq 1$ on \mathbb{N} is well founded
the minimal elements are the prime numbers
- $(a, b) <_r (x, y) = a <_1 x \vee a = x \wedge b <_2 y$ is well founded
if $<_1$ and $<_2$ are
- $A <_r B = A \subset B \wedge \text{finite } B$ is well founded
- \subseteq and \subset in general are **not** well founded

More about well founded relations: *Term Rewriting and All That*

Extracting the Recursion Scheme

So far for termination. What about the recursion scheme?
Not fixed anymore as in primrec.

Examples:

→ **fun fib where**

fib 0 = 1 |

fib (Suc 0) = 1 |

fib (Suc (Suc n)) = fib n + fib (Suc n)

Recursion: $\text{Suc (Suc } n) \rightsquigarrow n$, $\text{Suc (Suc } n) \rightsquigarrow \text{Suc } n$

→ **fun f where** $f\ x = (\text{if } x = 0 \text{ then } 0 \text{ else } f\ (x - 1) * 2)$

Recursion: $x \neq 0 \implies x \rightsquigarrow x - 1$

Extracting the Recursion Scheme

Higher Order:

→ **datatype** 'a tree = Leaf 'a | Branch 'a tree list

```
fun treemap :: ('a ⇒ 'a) ⇒ 'a tree ⇒ 'a tree where  
treemap fn (Leaf n) = Leaf (fn n) |  
treemap fn (Branch l) = Branch (map (treemap fn) l)
```

Recursion: $x \in \text{set } l \implies (\text{fn}, \text{Branch } l) \rightsquigarrow (\text{fn}, x)$

How to extract the context information for the call?

Extracting the Recursion Scheme

Extracting context for equations

\Rightarrow

Congruence Rules!

Recall rule **if_cong**:

$$\begin{aligned} & [[\mathbf{b} = \mathbf{c}; \mathbf{c} \implies \mathbf{x} = \mathbf{u}; \neg \mathbf{c} \implies \mathbf{y} = \mathbf{v}]] \implies \\ & (\text{if } \mathbf{b} \text{ then } \mathbf{x} \text{ else } \mathbf{y}) = (\text{if } \mathbf{c} \text{ then } \mathbf{u} \text{ else } \mathbf{v}) \end{aligned}$$

Read: for transforming x , use b as context information, for y use $\neg b$.

In fun_def: for recursion in x , use b as context, for y use $\neg b$.

Congruence Rules for fun_defs

The same works for function definitions.

declare my_rule[fundef_cong]
(if_cong already added by default)

Another example (higher-order):

$[| xs = ys; \bigwedge x. x \in \text{set } ys \implies f x = g x |] \implies \text{map } f \text{ } xs = \text{map } g \text{ } ys$

Read: for recursive calls in f , f is called with elements of xs

DEMO

Further Reading

Alexander Krauss,

Automating Recursive Definitions and Termination Proofs in Higher-Order Logic.

PhD thesis, TU Munich, 2009.

http://www4.in.tum.de/~krauss/diss/krauss_phd.pdf

We have seen today ...

- General recursion with **fun/function**
- Induction over recursive functions
- How **fun** works
- Termination, partial functions, congruence rules