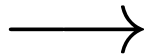




**COMP 4161**  
NICTA Advanced Course

**Advanced Topics in Software Verification**

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Slide 1



**Last Time**

- Equations and Term Rewriting
- Confluence and Termination of reduction systems
- Term Rewriting in Isabelle

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**Content**

- Intro & motivation, getting started [1]
- Foundations & Principles
  - Lambda Calculus, natural deduction [1,2]
  - Higher Order Logic [3]
  - Term rewriting [4<sup>a</sup>]
- Proof & Specification Techniques
  - Inductively defined sets, rule induction [5]
  - Datatypes, recursion, induction [6<sup>b</sup>, 7]
  - Code generation, type classes [7]
  - Hoare logic, proofs about programs, refinement [8,9<sup>c</sup>,10<sup>d</sup>]
  - Isar, locales [11,12]

<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>session break; <sup>d</sup>a3 due

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**Applying a Rewrite Rule**

- $l \rightarrow r$  **applicable** to term  $t[s]$   
if there is substitution  $\sigma$  such that  $\sigma l = s$
- **Result:**  $t[\sigma r]$
- **Equationally:**  $t[s] = t[\sigma r]$

**Example:**

- Rule:**  $0 + n \rightarrow n$
- Term:**  $a + (0 + (b + c))$
- Substitution:**  $\sigma = \{n \mapsto b + c\}$
- Result:**  $a + (b + c)$

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## Conditional Term Rewriting



Rewrite rules can be conditional:

$$[P_1 \dots P_n] \Longrightarrow l = r$$

is **applicable** to term  $t[s]$  with  $\sigma$  if

→  $\sigma l = s$  and

→  $\sigma P_1, \dots, \sigma P_n$  are provable by rewriting.

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## Rewriting with Assumptions



Last time: Isabelle uses assumptions in rewriting.

**Can lead to non-termination.**

**Example:**

**lemma** "f x = g x ∧ g x = f x ⇒ f x = 2"

simp	<b>use and simplify</b> assumptions
(simp (no_asm))	<b>ignore</b> assumptions
(simp (no_asm_use))	<b>simplify</b> , but do <b>not use</b> assumptions
(simp (no_asm_simp))	<b>use</b> , but do <b>not simplify</b> assumptions

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## Preprocessing



Preprocessing (recursive) for maximal simplification power:

$$\begin{aligned} \neg A &\mapsto A = False \\ A \longrightarrow B &\mapsto A \Longrightarrow B \\ A \wedge B &\mapsto A, B \\ \forall x. A \ x &\mapsto A \ ?x \\ A &\mapsto A = True \end{aligned}$$

**Example:**

$$\begin{aligned} &(p \longrightarrow q \wedge \neg r) \wedge s \\ &\mapsto \\ p \Longrightarrow q = True \quad &p \Longrightarrow r = False \quad s = True \end{aligned}$$

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**DEMO**

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## Case splitting with simp

$$P \text{ (if } A \text{ then } s \text{ else } t) \\ = \\ (A \rightarrow P s) \wedge (\neg A \rightarrow P t)$$

**Automatic**

$$P \text{ (case } e \text{ of } 0 \Rightarrow a \mid \text{Suc } n \Rightarrow b) \\ = \\ (e = 0 \rightarrow P a) \wedge (\forall n. e = \text{Suc } n \rightarrow P b)$$

**Manually: apply** (simp split: nat.split)

Similar for any data type `t`: `t.split`

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## Congruence Rules

**congruence rules are about using context**

**Example:** in  $P \rightarrow Q$  we could use  $P$  to simplify terms in  $Q$

For  $\implies$  hardwired (assumptions used in rewriting)

For other operators expressed with conditional rewriting.

**Example:**  $\llbracket P = P'; P' \implies Q = Q' \rrbracket \implies (P \rightarrow Q) = (P' \rightarrow Q')$

**Read:** to simplify  $P \rightarrow Q$

- first simplify  $P$  to  $P'$
- then simplify  $Q$  to  $Q'$  using  $P'$  as assumption
- the result is  $P' \rightarrow Q'$

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## More Congruence

Sometimes useful, but not used automatically (slowdown):  
**conj\_cong:**  $\llbracket P = P'; P' \implies Q = Q' \rrbracket \implies (P \wedge Q) = (P' \wedge Q')$

Context for if-then-else:

**if\_cong:**  $\llbracket b = c; c \implies x = u; \neg c \implies y = v \rrbracket \implies$   
 $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$

Prevent rewriting inside then-else (default):

**if\_weak\_cong:**  $b = c \implies (\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$

- declare own congruence rules with `[cong]` attribute
- delete with `[cong del]`
- use locally with e.g. `apply (simp cong: <rule>)`

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## Ordered rewriting

**Problem:**  $x + y \rightarrow y + x$  does not terminate

**Solution:** use permutative rules only if term becomes lexicographically smaller.

**Example:**  $b + a \rightsquigarrow a + b$  but not  $a + b \rightsquigarrow b + a$ .

For types `nat`, `int` etc:

- lemmas `add_ac` sort any sum (+)
- lemmas `times_ac` sort any product (\*)

**Example:** `apply (simp add: add_ac)` yields

$$(b + c) + a \rightsquigarrow \dots \rightsquigarrow a + (b + c)$$

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## AC Rules



**Example for associative-commutative rules:**

**Associative:**  $(x \odot y) \odot z = x \odot (y \odot z)$

**Commutative:**  $x \odot y = y \odot x$

These 2 rules alone get stuck too early (not confluent).

**Example:**  $(z \odot x) \odot (y \odot v)$

**We want:**  $(z \odot x) \odot (y \odot v) = v \odot (x \odot (y \odot z))$

**We get:**  $(z \odot x) \odot (y \odot v) = v \odot (y \odot (x \odot z))$

**We need: AC rule**  $x \odot (y \odot z) = y \odot (x \odot z)$

If these 3 rules are present for an AC operator  
Isabelle will order terms correctly

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DEMO

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## Back to Confluence



**Last time:** confluence in general is undecidable.

**But:** confluence for terminating systems is decidable!

**Problem:** overlapping lhs of rules.

**Definition:**

Let  $l_1 \rightarrow r_1$  and  $l_2 \rightarrow r_2$  be two rules with disjoint variables.

They form a **critical pair** if a non-variable subterm of  $l_1$  unifies with  $l_2$ .

**Example:**

**Rules:** (1)  $f x \rightarrow a$  (2)  $g y \rightarrow b$  (3)  $f (g z) \rightarrow b$

**Critical pairs:**

$$\begin{array}{l} (1)+(3) \quad \{x \mapsto g z\} \quad a \xleftarrow{(1)} f (g z) \xrightarrow{(3)} b \\ (3)+(2) \quad \{z \mapsto y\} \quad b \xleftarrow{(3)} f (g y) \xrightarrow{(2)} f b \end{array}$$

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## Completion



(1)  $f x \rightarrow a$  (2)  $g y \rightarrow b$  (3)  $f (g z) \rightarrow b$

is not confluent

**But it can be made confluent by adding rules!**

**How:** join all critical pairs

**Example:**

$$(1)+(3) \quad \{x \mapsto g z\} \quad a \xleftarrow{(1)} f (g z) \xrightarrow{(3)} b$$

shows that  $a = b$  (because  $a \xleftarrow{*} b$ ), so we add  $a \rightarrow b$  as a rule

This is the main idea of the Knuth-Bendix completion algorithm.

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## DEMO: WALDMEISTER

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### Orthogonal Rewriting Systems

**Definitions:**

A rule  $l \rightarrow r$  is **left-linear** if no variable occurs twice in  $l$ .

A **rewrite system** is **left-linear** if all rules are.

A system is **orthogonal** if it is left-linear and has no critical pairs.

**Orthogonal rewrite systems are confluent**

Application: functional programming languages

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### We have learned today ...

- Conditional term rewriting
- Congruence rules
- AC rules
- More on confluence



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